

Member Investment Selection Form: Optimal Option

Important Information

- This form must be completed by members who wish to select their own investment portfolios or change the portfolios already selected. Members can select the investment portfolios in respect of their existing investments, and/or their future contributions (including transfer values).
- This document serves as an official investment selection instruction to Sanlam Corporate.
- Contact Individual Member Support (IMS) on **080 0111 956** or send an e-mail to IMS@sanlam.co.za for support, guidance or referral to a Financial Adviser.
- Please e-mail the completed documentation to: SEBMemberSwitches@sanlam.co.za

SECTION A: Member's personal details

Title			
First name(s)			
Surname			
RSA Identity number*			*Compulsory
If not RSA, passport number*			*Compulsory (if RSA ID not used above)
Date of birth* (dd/mm/yyyy)			*Compulsory if Passport used
Contact number(s)	Cell phone		Alternative
Email address			
Employer name			
Member number			

I agree to the following investment choice, switch terms and conditions:

I hereby make application to make my own investment choice and ask that my existing investments and future contributions be invested as follows:

1. Sanlam Corporate will implement an investment instruction when they have received a completed application in the prescribed format, registered the member's request to make their own investment choice selections and have confirmed to the member receipt of the investment instruction form.
2. I understand that should the form be incomplete or inaccurately completed, the instruction may not be actioned by Sanlam Corporate.
3. An investment instruction will be implemented within 5 working days of confirmation of receipt.
4. If I have not received a confirmation of receipt within 5 days, I must make enquiries as my request may not have been received and processed.
5. Queries regarding the progress of an investment selection instruction must be directed to the call centre on 086 122 3646
6. Information relating to the portfolios on the investment choice menu, their performance and any amendments thereto will be maintained on Sanlam Corporate's Member Portal (<https://www.sanlamonline.co.za/login/>)
7. If I switch from any smoothed bonus portfolio, the rules of the specific product will apply. In certain instances, the disinvestment(s) may be done at the lower of market- and book value.

I hereby make application to make my own investment choice and ask that my existing investments and future contributions be invested as follows:

Important information:

Please choose one of the options below. If Option 2 is chosen, please ensure the total allocation adds up to 100%.

Option 1: Participating Employer Selected Default Investment Strategy

Members will be 100% invested in this strategy



Option 2: Member investment choice

Portfolios	Existing investments	Future contributions
Sanlam Monthly Bonus Fund	%	%
Glacier <i>(only available to members if the employer has elected this portfolio)</i>	%	%
	Total 100%	Total 100%

Glacier terms and conditions (where applicable):

Glacier - An extensive range of Collective Investment Schemes available for members who agree to the following terms and conditions and who meet the following criteria:

1. Minimum fund credit of R2 million or
2. Minimum annual pensionable salary of R450 000 and a minimum monthly contribution of R7500
3. Members are required to:
 - Appoint and take financial advice from a FAIS-accredited financial adviser, and
 - Complete the separate Glacier form (attached) in addition to this investment selection form.

To invest via Glacier, the following administration fees will apply:

	Rate (excl. VAT)
On the first R750 000	0.40%
On the next R200 000	0.25%
On the next R4 000 000	0.15%
Thereafter	0.10%
Minimum administration fee	R80.00 per month (excl. VAT)
Additional administration fee on the value of a share portfolio	Up to 0.15% (excl. VAT)

SECTION D: Member declaration

Please select your decision in section below

Declaration by the member:

I hereby declare that:

1. I have taken financial advice.	<input type="checkbox"/>
-----------------------------------	--------------------------

OR

2. I assert that I have a good understanding of investments and do not need the services of a financial adviser.	<input type="checkbox"/>
------------------------------------------------------------------------------------------------------------------	--------------------------

I understand the risks in selecting my own investment portfolios and am satisfied that my selection serves my needs, and

I take full responsibility for my choices and hereby indemnify and undertake not to hold the Sanlam Umbrella Fund, the Board of Trustees, my employer, Sanlam Life Insurance Limited (acting herein through its Sanlam Corporate division), its agents, directors, officers and any entity in the Sanlam Group of Companies responsible for any losses or damages that may result from the investment portfolios I select.

Member's Signature		Place	
Signed at		Date (dd/mm/yyyy)	

SECTION E: Financial adviser's details

Initials and surname			
FSP license number			
Contact numbers	Cell phone		Office
Email address			
Signed at		Date (dd/mm/yyyy)	
CFA signature			

Protection of Personal Information Disclosure

Why Personal Information is required: Sanlam Life Insurance Limited ("Sanlam Life"), a subsidiary of Sanlam Limited, will process and protect your personal information as required by relevant laws and the Constitution of the Republic of South Africa ("RSA"). The personal information requested in this form, which may include special personal information is being collected and will be processed for the following purposes:

- underwriting and providing accurate and effective insurance cover and related value-added services;
- member communication;
- market research and statistical analysis;
- verification of the personal information provided;
- to comply with all legal and regulatory requirements, including applicable codes of conduct;
- for operational and administrative processes to protect Sanlam Life's interests

Failure to provide the mandatory information will prejudice your insurance cover.

Changing and correcting Personal Information: You have the right to:

- Request a copy of your personal information as processed by Sanlam Life;
- Ask for an update and/or correction of your personal information;
- Lodge a complaint with the Information Regulator.

Sanlam Life may charge an administrative fee subject to prior notice of any such cost before executing the request for a copy of your personal information.

Other parties that may receive the Personal Information:

- We may share your personal information within Sanlam Limited and/or with other service providers where required for any of the purposes listed above, or with third parties where Sanlam Life is lawfully required to do so.
- We may send your personal information to service providers outside the RSA for storage or further processing on Sanlam Life's behalf. We will not send your information to a country that does not have information protection legislation similar to that of the RSA, unless we have a binding agreement with the service provider which ensures that it effectively adheres to the principles for processing of personal information in accordance with the Protection of Personal Information Act, 2013.

For more information, please refer to the [Sanlam Group Privacy Notice](#).

Member
Quick Access
Self Service



Get in touch with your
retirement information

Member Support:

You can update your contact details by registering and logging into our member portal here:

Web: <https://www.sanlamonline.co.za/login/> or **Email:** SCClientCare@sanlam.co.za or **Tel:** 086 122 3646