Appointment of Financial Services Provider for members

Important Information

- This form acts as an official appointment for the Financial Service Provider (FSP) who will assist you in making financial decisions.
- Please e-mail the completed documentation to: sanlamEB@sanlam.co.za

SECTION A: Member's personal details

Title				
First name(s)				
Surname				
RSA Identity number*			*Compulsory	
If not RSA, passport number*			*Compulsory (if RSA ID used above)*	
Date of birth* *(dd/mm/yyyy)*			*Compulsory if Passport used*	
Contact number(s)	Cell phone		Alternative	
Email address				

SECTION B: Financial Service Provider appointment and related advisory fees

- This document serves as confirmation that the under-mentioned person is appointed as Financial Services Provider (FSP) of the member.
- The FSP undertakes to comply with all requirements and duties in terms of the Rules of the Fund.
- The FSP further undertakes to comply with all requirements and their responsibilities in terms of the use of the Sanlam Corporate Portal.

Advice fees are only payable monthly in arrears at the negotiated percentage of member share as instructed by you subject to: (please add the percentages in the cell provided below)

A once-off **initial fee** of up to 0.50% of assets (excluding VAT):	
An **ongoing fee** of up to 0.75% of assets per annum (excluding VAT):	

SECTION C: Financial Service Provider (FSP) details

FAIS number*			*Compulsory	
First name				
Surname				
RSA identity number*			*Compulsory	
If not RSA, passport number*			*Compulsory (if RSA ID used above)*	
Date of birth* (dd/mm/yyyy)			*Compulsory if Passport used	
FSP Contact number	Cell phone			
FSP email address				
Brokerage name				
Brokerage postal address				
			Postal Code	
Office contact number				
VAT registration number				
FSP number*			*Compulsory	
Sanlam code*			*If applicable	
Consultancy fees paid via	1. Sanlam Code	☐	2. Business Account	☐

Please Note:
- Complete banking details if Option 2 (above) was selected.
- Verification of bank details is required is required to be submitted.

Account holder name	
Bank name	
Account number	
Type of account	

Please Note:
- Payments cannot be made to a third party or split into different accounts.

SECTION D: Declarations

1. Declaration by the Financial Services Provider (FSP):
   I, the undersigned, confirm the following:
   - I have made the disclosures required, in terms of the FAIS Act 37 of 2002, to the investor.
   - I have explained all the fees that relate to this investment to the investor.
   - I confirm that I have concluded this transaction in terms of my FAIS accreditation and that I am authorized to give advice on The Sanlam Umbrella Fund benefits and services.

FSP signature		Date (*dd/mm/yyyy*)	

2. Declaration by the member:
   I, the undersigned member, confirm the following:
   - I have appointed a Financial Service Provider and declare that the information given herein is true and correct.

Member's signature		Date (*dd/mm/yyyy*)	

Protection of Personal Information Disclosure

Why Personal Information is required: Sanlam Life Insurance Limited ("Sanlam Life"), a subsidiary of Sanlam Limited, will process and protect your personal information as required by relevant laws and the Constitution of the Republic of South Africa ("RSA"). The personal information requested in this form, which may include special personal information is being collected and will be processed for the following purposes:

- underwriting and providing accurate and effective insurance cover and related value-added services;
- member communication;
- market research and statistical analysis;
- verification of the personal information provided;
- to comply with all legal and regulatory requirements, including applicable codes of conduct;
- for operational and administrative processes to protect Sanlam Life's interests.

Failure to provide the mandatory information will prejudice your insurance cover.

Changing and correcting Personal Information: You have the right to:

- Request a copy of your personal information as processed by Sanlam Life;
- Ask for an update and/or correction of your personal information;
- Lodge a complaint with the Information Regulator.

Sanlam Life may charge an administrative fee subject to prior notice of any such cost before executing the request for a copy of your personal information.

Other parties that may receive the Personal Information:

- We may share your personal information within Sanlam Limited and/or with other service providers where required for any of the purposes listed above, or with third parties where Sanlam Life is lawfully required to do so.
- We may send your personal information to service providers outside the RSA for storage or further processing on Sanlam Life's behalf. We will not send your information to a country that does not have information protection legislation similar to that of the RSA, unless we have a binding agreement with the service provider which ensures that it effectively adheres to the principles for processing of personal information in accordance with the Protection of Personal Information Act, 2013.

For more information, please refer to the Sanlam Group Privacy Notice.

Member
Quick Access
Self Service

Get in touch with your retirement information

Member Support:
You can update your contact details by registering and logging into our member portal here:
Web: https://www.sanlamonline.co.za/login/ **or Email:** SCClientCare@sanlam.co.za or **Tel:** 086 122 3646