



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET: SUMMARY TEMPLATE

Non-qualifying Regulatory Provisions
(NQRP) summary reporting template

Regulator: GAMBLING COMMISSION

Business Impact Target Reporting Period Covered: 21st June 2018 – 20th June 2019

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)	<p>The Commission made changes to the Licence Conditions and Codes of Practice following consultations on:</p> <ul style="list-style-type: none"> • changes to online age verification controls, which requires all remote operators to undertake age verification checks before a consumer gambles; • changes to marketing and advertising, unfair terms and complaints and disputes conditions and codes of practice to clarify requirements, which applies to all B2C operators; • clarifying the protections afforded to customer funds in the event of insolvency, which applies to all B2C operators.
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Measures certified as concerning EU Withdrawal Bill operability measures	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Pro-competition	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Systemic Financial Risk	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion
Civil Emergencies	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Fines and Penalties	<p>Fines and penalties</p> <p>There have been 9 settlements in lieu of financial penalty made by industry during the year following agreement between the Commission and the operator to secure compliance with regulatory requirements, with the monies returned to identifiable victims, used for socially responsible purposes or cover the Commission’s costs. Additionally, there were three financial penalties (paid for breach of anti-money laundering conditions and failure to keep consumers safe from gambling harms).</p>
Misuse of Drugs	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Casework	<p>Casework</p> <p>The Commission imposed regulatory sanctions this year:</p> <ul style="list-style-type: none"> • 17 personal licences revoked, • 8 personal licensees received a warning • 4 operating licences revoked • 2 operators received warnings and financial penalties <p>These actions against non-compliant businesses were to secure compliance with existing regulatory requirements.</p> <p>During this period 129 new operating licences were issued and 270 surrendered.</p>
Education, communications and promotion	<p>Education, communications and promotion</p> <p>The Commission has given:</p> <ul style="list-style-type: none"> • Over 30 presentations to external stakeholders and over 40 to co-regulatory partners and exhibited at 10 trade fairs. • General information was provided to operators and co regulatory partners via 9 Licensing Authority and 28 E bulletins and over 60 press releases during the year and we made various updates to our website content including advice notes, guidance notes, quick guides, mini blogs. • Launched a new online application process for personal function licences <p>Publications</p> <p>The Commission published:</p> <ul style="list-style-type: none"> • New national strategy to reduce gambling harm; • Framework for measuring harms among children and young people; • Various research documents on gambling behaviour and industry statistics; • Enforcement report for 2017-18; • Business plan for 2019-20 and an annual report and accounts for 2017-18. • Guidance on complaints and disputes handling. <p>No activities listed in this section represent a change in the burden of regulation placed on business.</p>
Activity related to policy development	<p>The Commission consulted on and is currently considering responses to:</p> <ul style="list-style-type: none"> • Alternative dispute resolution processes;

Excluded Category*	Summary of measure(s), including any impact data where available**
	<ul style="list-style-type: none"> • Requirements to funding the research, education and treatment of gambling related harm; • Processes for identifying and interacting with customers who may be experiencing or at risk of gambling related harm. <p>Opened calls for evidence on:</p> <ul style="list-style-type: none"> • the issue of gambling online with credit cards and, separately, on improving player control measures on Category B gaming machines; • gambling website blocking software. <p>We will report on the outcome of these next year.</p>
Changes to management of regulator	Dr Anna van der Haag took over as chair of the renamed Advisory Board for Safer Gambling (previously the Responsible Gambling Strategy Board).

* For detailed guidance on the exclusion categories, please see <https://www.gov.uk/government/publications/better-regulation-framework>

** Complete the summary box as 'Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.' where this is appropriate.