



HASTINGS DEERING EQUIPMENT PROTECTION PLAN (EPP)

OWNER AGREEMENT

This Hastings Deering Equipment Protection Plan (EPP) commences at the expiration of Caterpillars Standard Warranty.

This agreement is between Hastings Deering (Aust) Ltd and the Owner and covers the nominated unit for the Caterpillar components described and applies in Queensland and the Northern Territory.

The objective of this agreement is to ensure the owner of Caterpillar products receive the benefits of consistent, managed maintenance including repair before failure, resulting in greater reliability, less costly down-time and lower operating costs.

In consideration of the owner complying with the 'Owner Responsibilities' defined in this agreement, Hastings Deering (Aust) Ltd agrees to carry out its responsibilities as also set out in this agreement in respect of the Caterpillar product described below, subject to the limitations listed and for the covered period shown.

GENERAL CONDITIONS, EXCLUSIONS AND LIMITATIONS

Failures caused by normal wear or deterioration are not covered. Damage due to collision, accident, flood, fire, vandalism or abuse of the product is not covered. Any diagnostic repair or maintenance activity not listed under 'Extent of Coverage' is not covered.

Where any diagnostic, repair or maintenance activity is not a valid claim under this agreement, then the owner will be required to pay all costs associated with the performed diagnostic, repair or maintenance activity.

Hastings Deering (Aust) Ltd shall in no event be liable for any losses, damages, costs or expenses claimed by the owner whether caused as a result of claimed negligence by Hastings Deering (Aust) Ltd or otherwise.

In the event that Hastings Deering (Aust) Ltd is unable to completely exclude its liability, then Hastings Deering (Aust) Ltd liability shall be limited to the re-supply of the parts and services supplied under the agreement.

Hastings Deering (Aust) Ltd shall in no circumstances be liable for any indirect, special incidental or consequential damages or loss.

This agreement does not in any way reduce or limit the owner's rights under the product's standard warranty or any Statutory or common law remedy.

OWNER RESPONSIBILITIES

These responsibilities are to ensure a known standard of maintenance and supplies have been used on the nominated product throughout its covered period.

Therefore, without implying any effect on entitlement under Caterpillar warranty, the conditions below must be adhered to from date of delivery, and throughout the coverage period, in order for the extended coverage of this agreement to apply.

The owner agrees to:

1. Use the Caterpillar product described in the schedule within its intended application.
2. Not modify the product in a manner, which affects its mechanical operation as designed by Caterpillar.
3. Adhere to routine operation and maintenance practices as defined in the Caterpillar "Operation & Maintenance Manual" for the product.
4. Use Caterpillar brand filter elements exclusively.
5. Utilize the dealer's Scheduled Oil Sampling (S.O.S.) program including Infrared analysis, wear metal and physical tests, and submit samples promptly with necessary sample information initially at first 250hr then at intervals designated in the Operation & Maintenance Manual.

6. Use Caterpillar brand oils as recommended or a substitute, which must meet oil specification in the Operator & Maintenance guide, and change frequency that the used oil analysis produces satisfactory S.O.S. results (including Infrared).
7. Act promptly to make the product available for repairs when S.O.S results or other indications such as filter material inspection, screens etc. show it necessary.
8. Ensure the covered product has an operating hour meter by checking at least each week. If it is found defective, promptly advise the dealer for a new meter to be installed. For any claim, the total hours operated must be shown.
9. Maintain records of completed routine services, dealer S.O.S reports, inspection reports detailing compliance with the maintenance requirements in this agreement. These records are to be promptly available to the dealer on request.
10. The costs of transporting the Caterpillar product, travel time, vehicle cost, meals, accommodation, overtime, site allowances or penalty rates, or other costs incidental to the repair such as crane hire are not covered and are owner's costs.

Should any of the above conditions not be adhered to, this agreement may be cancelled/void.

HASTINGS DEERING RESPONSIBILITIES

1. To promptly process oil samples, that are submitted by the owner for S.O.S. analysis and to identify clearly where special attention or action by the owner is needed to avoid failure or escalating damage.
2. To repair during normal working hours and at a dealer facility or other agreed place at no cost to the owner (except for any excess and additional costs not covered by this agreement), any failure of parts or systems covered by this agreement caused by defects in Caterpillar parts or workmanship.

NOTE: *The Agreement is not transferable and does not apply outside QLD and NT.*

Owner (or authorized agent):	Hastings Deering (Aust) Ltd
Signature: _____	Signature: _____
Date: _____	Date: _____
Machine Model: _____	Serial Number: _____

3 EASY STEPS TO PROTECT YOUR NEW CAT® EQUIPMENT

You count on your Cat® machines to get the job done, day in and day out. Choose a protection plan that's just as dependable and long-lasting. EPP offers the only coverage designed specifically for new Cat equipment - giving you the highest level of repair cost protection available. Comprehensive EPP options protect your investment and your peace of mind.

1 SELECT YOUR PROTECTION OPTION

Choose from our **Powertrain**, **Powertrain + Hydraulics**, **Powertrain + Hydraulics + Technology** and **Premier** plans to get the exact age and hours for the protection you need.

PREMIER (includes all Powertrain, Powertrain + Hydraulics, and Powertrain + Hydraulics + Technology components listed below)

ENGINE RELATED

Governor/Speed Limiter
Fuel Injection Lines

STEERING & SUSPENSION

Power Steering Logic Module
Steering Linkage
Suspension Control & Control Valve
Suspension Cylinder

ELECTRONICS

Cat Grade Control
Product Link™
Traction Control System
Protection Devices & Alarms
Speed Sensors

BRAKING

Cylinder Head Assembly
Control Valves
Accumulator
Parking Brake

CAB

Steering Column
Gauges/Indicators/Instruments
Circuit Board
Wiring Harness/Switches
Relays/Circuit Breakers
Fuse/Circuit Breaker Panel

POWERTRAIN + HYDRAULICS + TECHNOLOGY (includes all Powertrain and Powertrain + Hydraulics components listed below)

CAT CONNECT TECHNOLOGY COMPONENTS – COMPACT, GRADE, PAYLOAD, LINK

Components covered under standard warranty that are factory or dealer installed prior to delivery

Integrated Machine Displays
Monitors
Sensors
Cables/Harness Wiring
Engine Control Module (ECM)

GNSS Antennas
GNSS Receivers
Inertial Measurement Unit
Laser Catcher/Receiver
Satellite Receiver

Position Sensing Cylinders
Integrated Joystick Buttons/Controls
Software
Status Lights
Load Lights

VIMS (Vital Information Management System)
Asset Control System
Product Link System Cellular and Satellite Global Positioning System

POWERTRAIN + HYDRAULICS (includes all Powertrain components listed below)

STEERING & IMPLEMENT CONTROLS

Hydraulic Pumps
Hydraulic Motors
Hydraulic Cylinders

Hydraulic Valves
Hydraulic Accumulators
Hydraulic Lines
Hydraulic Hoses
Electronic Controls
-Implement & Steering

Joystick
Pilot Control Valve
Hydraulic Tank
Hydraulic Oil Filter Base
Hydraulic Swivel

Hydraulic Oil Temp Sensor
Hydraulic Oil Cooler
Transmission Oil Lines
Drive Train Oil Lines
Steering Gear & Valve

POWERTRAIN

ENGINE

Fan & Fan Drive
Hydraulic Fan Motor
Jacket Water Pump, Drive Group
Thermostat/Regulator
Timing/Accessory Gears
Timing Chain/Belt
Engine Oil Cooler
Engine Oil Pump
Engine Oil Pan Group
Engine Oil Filter Housing/Base
Cylinder Block
Cylinder Head Casting
Crankshaft Main & Rod Bearings
Piston & Connecting Rod

Pistons & Piston Rings
Camshaft & Camshaft Bearing
Inlet/Exhaust Valve
Push Rod & Balancer
Rocker Arm & Rocker Shaft Assembly
Valve Cover & Base
Valve Spring
Valve Guide
Flywheel
Air Line/Pipe
Aftercooler Group
Turbocharger
Manifolds, Inlet & Exhaust

Fuel Pump
Governor
Fuel Injection Pump
Fuel Transfer Pump
Solenoids/Sensors
Electronic Control Module (ECM)

TRANSMISSION, TORQUE CONVERTER & TRANSFER CASE

Transmission Case
Transmission Gears
Transmission Shaft
Transmission Hydraulic Control
Transmission Electronic Control

Transmissions Oil Pump
Transmissions Oil Filter Base
Torque Converter
Transfer Gear Group

DRIVE TRAIN

Differential Case
Differential Steering Components
Axle Housing Assembly
Axle Shaft
Drive Axle
Final Drive Case/Bore
Final Drive Gears
Universal Joint

2 KNOW YOUR RESPONSIBILITIES

To qualify for coverage under an EPP, you need to:

- > Operate your equipment according to the Cat Operation & Maintenance Manual (OMM) (e.g., no improper fuel use)
- > Ensure recommended preventive maintenance is performed at intervals specified in the OMM
- > Provide proof of preventive maintenance compliance (receipts, copies of work orders, invoices) on request
- > Promptly provide your equipment for repair in the event of a covered failure

You can count on us to perform necessary inspections to confirm eligibility, install parts approved by Caterpillar on covered repairs and validate your enrollment in the program.

3 PURCHASE AND REGISTER YOUR EPP

Work with your local Cat dealer to complete the process— and get the protection and peace of mind you deserve.

EXCLUSIONS*

If a component isn't listed, it may not be included in your plan. Other exclusions include:

- > Failures caused by normal wear-out or improper or abusive use of the machine
- > Lubricating oil, antifreeze, filters, consumables and other maintenance items replaced during the covered component repair, unless such items are rendered unusable by a covered component failure
- > Freight charges for parts shipments
- > Travel time and mileage involved in getting to a jobsite
- > Hauling, retrieval, equipment rental or overtime labor costs
- > Repair costs resulting from the failure of any non-covered components
- > Downtime loss
- > Any incidental or consequential damages or costs incurred as a result of a covered component failure
- > Modifications unless approved by Caterpillar

* *These are examples of covered and excluded components or items. The actual dealer contract will govern. See your Cat dealer for a complete list of covered components and more information.*

Please contact your Cat dealer for more details.

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To the extent that the above publication(s), bulletin(s), and/or any designated contract(s) are ambiguous or inconsistent with the policy language, the policy language shall determine the coverage under this policy.

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