

FairX (LMX Labs, LLC)
20 N Wacker Drive
Suite 3000
Chicago, IL 60606

FairX Submission #2021-14E

September 3, 2021

Mr. Christopher J. Kirkpatrick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

VIA CFTC Electronic Filing

Re: CFTC Regulation 40.6 Certification: Notification of Rulebook Updates

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(a), LMX Labs, LLC, operator of FairX, (the “Exchange”) hereby submits for self-certification an amendment to the definitions of the Exchange rulebooks.

The Exchange has made these amendments to add a definition for Market Maker as a Participant Firm who has signed a Market Maker Agreement with the Exchange.¹

A copy of the revised rules in redline format are attached hereto as Exhibit A. The proposed amendments will become effective on September 20, 2021.

Compliance with Core Principles

The Exchange has reviewed the designated contract market (“DCM”) core principles (“Core Principles”) set forth in the Commodity Exchange Act and has identified that the amendments may most directly implicate the following Core Principles:

Core Principle 7 -- Availability of General Information

When made effective, the revised rules will be contained in the Exchange Rulebook, which is available on the Exchange’s website.

Certification

The Exchange is not aware of any substantive opposing views to the amendments. The Exchange certifies that the amendments comply with the Commodity Exchange Act and the rules and regulations promulgated thereunder.

The Exchange certifies that this submission has been concurrently posted on the Exchange’s website at: www.fairx.com.

¹ Concurrent with this filing, the Exchange is filing a market maker program for US trading hours.

If you have any questions or require any further information, please contact me at 773-832-7973 or mpiracci@fairx.com or Anne Loranger, Director of Compliance at 773-409-4128 or aloranger@fairx.com.

Sincerely,

/s/

Michael A. Piracci
Chief Regulatory Officer and
Senior Counsel

Attachments: Exhibit A

EXHIBIT A

(additions underscored; deletions ~~struck through~~)

CHAPTER 1: DEFINITIONS**RULE 101. DEFINITIONS**

When used in this Rulebook the following terms shall have the respective meanings as follows:

* * * * *

“Market Maker” means a Participant Firm that has entered into a Market Maker Agreement.

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