

coinbase DERIVATIVES

Market Regulation Notice

To: Coinbase Derivatives Participants

From: CDE Market Regulation Department

Notice: 24-04

Date: 5/23/2024

Subject: REMINDER: CDE Rule 702 (Preliminary Inquiries and Investigations)

Coinbase Derivatives, LLC (“CDE” or “Exchange”) is reminding participants transacting on CDE that they are subject to the CDE Rulebook, including CDE Rule 702(c)(3) which prohibits participants from impeding or delaying any disciplinary action. For avoidance of doubt, this means participants are not permitted to discuss any pending or ongoing Preliminary Inquiries or investigations with anyone at CDE, outside of the CDE Market Regulation Department, until the case is fully resolved.

The entirety of the CDE Rulebook can be found here, and the most up to date version will always be available on our website, <https://www.coinbase.com/derivatives>, under the Regulation section.

Any questions please contact the CDE Market Regulation Department at CDE-MarketRegulation@coinbase.com.