



The Future of Futures

FairX (LMX Labs, LLC)
20 N. Wacker Drive
Suite 3000
Chicago, IL 60606

FairX Submission #2021-10E

June 4, 2021

Mr. Christopher J. Kirkpatrick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, DC 20581

VIA CFTC Electronic Filing

Re: CFTC Regulation 40.6(d) Weekly Notification of Rule Amendments

Dear Mr. Kirkpatrick:

Pursuant to Commodity Futures Trading Commission (“CFTC” or “Commission”) Regulation 40.6(d), LMX Labs, LLC, operator of FairX, (the “Exchange”) hereby submits this Weekly Notification of the following rule amendments issued during the week of May 31, 2021.

- Revisions to the definition of “Participant Documentation” remove an extraneous “X” when referencing the “FairX Clearing Firm Agreement”
- Notice of the Exchange Fee Schedule effective June 2, 2021 was published on the Exchange website.

Attached to this filing is a redline showing the change to the Exchange Rulebook and a copy of the Fee Schedule.

The Exchange Rulebook as revised and the Fee Schedule are available at www.fairx.com. If you have any questions or require any further information, please contact me at 773-832-7973 or mpiracci@fairx.com.

Sincerely,

/s/

Michael A. Piracci
Chief Regulatory Officer and
Senior Counsel

Attachments: Appendix A Amendments to Exchange Rulebook
Appendix B Exchange Fee Schedule

Appendix A

Additions underscored; deletions ~~struck through~~

CHAPTER 1: DEFINITIONS

RULE 101. DEFINITIONS

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“Participant Documentation” means the agreements (together with any applicable schedules, exhibits or appendices thereto required by the Exchange) in form and substance acceptable to the Exchange, that are required to be executed and delivered to the Exchange before a Person may access the Exchange Trading System, including, but not limited to the FairX Participant Firm Agreement; FairX~~X~~ Clearing Firm Agreement; the FairX Broker Firm Access Agreement; and the User ID Request Form, as applicable and amended from time to time by the Exchange.

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Appendix B



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FairX Fee Schedule As of June 2, 2021

FairX charges fees according to the below schedule:

Exchange Product	Symbol	Fees Charged Per Side		
		Market Maker	Non-Pro	Pro
Nano Bloomberg US Large Cap Index Futures	B5	\$0.35	\$0.02	\$0.25
Micro Bloomberg US Large Cap Index Futures	LB5	\$0.40	\$0.05	\$0.30
Nano SuperTech Index Futures	TEC	\$0.35	\$0.02	\$0.25
Micro SuperTech Index Futures	LTEC	\$0.40	\$0.05	\$0.30
Delivery Fee	All	\$0.00	\$0.00	\$0.00

*For purposes of determining the fee amount the following definitions apply:

Market Maker - Account in the name of any person that has signed a market maker agreement with Fair Exchange.

Non-Professional Trader - Account in the name of an Individual or entity that is:

A. Not registered, qualified, or otherwise identified as a professional trader, market maker, liquidity provider, money manager, commodity trading advisor, or investment adviser with any stock, commodities or futures exchange or contract market, or with any financial regulatory authority;

B. Not engaged in brokerage, banking, investment, or financial activities, as part of their regular course of business;

C. Not using a fully automated order generating computer system; and

D. Trading for its own account.

Professional Trader Fee - Account in the name of any person that is not a Market Maker or a Non-Professional Trader.