Local Authority Report
to
The Schools Adjudicator
from

Essex County Council
28 June 2019

Report Cleared by: Name: Shamsun Noor
Title: Head of Statutory and Regulated Customer Services
Telephone number: 033301 32246
Email: shamsun.noor@essex.gov.uk

Date submitted: 28 June 2019

www.gov.uk/government/organisations/office-of-the-schools-adjudicator

Contents

Section 1 – The normal admissions round
A. Determined arrangements.
B. Co-ordination.
C. Looked after and previously looked after children.
D. Special educational needs and disabilities.

Section 2 – In year admissions
A. Number of in year admissions.
B. Co-ordination of in year admissions.
C. Looked after and previously looked after children.
D. Special educational needs and disabilities.
E. Other children.
F. Fair access protocol

**Section 3 – Directions**

A. Directions made by the local authority to voluntary aided and foundation schools.
B. Directions made by the local authority to maintained schools in other local authorities’ areas.
C. Requests to the Education and Skills Funding Agency (ESFA) to direct an academy to admit a child.

**Section 4 – Pupil, service and early years premiums**

**Section 5 – Electively home educated children**

**Section 6 – Other matters**

**Section 7 - Feedback**
Introduction and guidance on completing the report

1. Section 88P of the School Standards and Framework Act 1998 (the Act) requires every local authority to make an annual report to the adjudicator. The Chief Adjudicator then includes a summary of these reports in her annual report to the Secretary for State for Education. The School Admissions Code (the Code) sets out the requirements for reports by local authorities in paragraph 6. Paragraph 3.23 specifies what must be included as a minimum in the report to the adjudicator and makes provision for the local authority to include any other matters. The report must be returned to the Office of the Schools Adjudicator by 30 June 2019.

2. Please note that the specified date for returning this form by 30 June is a Code requirement; this is why some data are asked for by financial year.

3. We have made some changes to the information and categories of information sought this year:
   a. we have removed references to “all through” schools and instead would be grateful if local authorities would follow the approach used in statutory provisions and in the Department for Education Statistical First Release\(^1\) and the Education Middle School (England) Regulations 2002\(^2\), and
   b. we have decided not to use the term “own admission authority schools” to mean those schools for which the local authority is not the admission authority (that is foundation, voluntary aided and academy schools). This is because a large number of arrangements are now determined by multi-academy trusts. We will therefore refer to ‘schools for which the local authority is not the admission authority’.

4. Local authorities will notice that we have not included this year a number of questions which have been asked in past years. This is because we judge that we are unlikely to receive much information that adds to the existing body of knowledge and do not wish to take up local authorities’ time unnecessarily. We have not asked:
   a. for details of the particular provisions of admission arrangements determined by other admission authorities challenged by local authorities;
   b. local authorities’ views of how well the interests of children with special educational needs or disabilities are met at the normal points of admission;
   c. about the advantages and disadvantages of co-ordinating in year admissions;
   d. about the reliance on paragraph 3.12 of the Code by other admission authorities in the local authority’s area;
   e. for information about admission authorities’ approaches to deciding whether or not they had places available in year;

---

\(^1\) Department for Education Statistical First Release
\(^2\) The Education Middle School (England) Regulations 2002
for the number of children refused admission to a school under the fair access protocol.

Local authorities are, of course, free to comment on any of these matters if they wish to do so under section 6. The views expressed by local authorities in previous years also remain a matter of public record.

5. We are asking new questions this year about:

   a. the proportion of schools with other admission authorities in the local authority area for which the local authority ranks preferences for the schools concerned on the admission authorities’ behalf;
   b. use of oversubscription criteria which give priority to children adopted having previously been in care abroad; and
   c. how well served are children who are looked after by another local authority but being educated in the area of the local authority submitting the report.

6. We continue to ask about the use of the premiums in admission arrangements but have provided further guidance on this in footnote 11. In particular, we ask local authorities to include in their responses schools using part of any of the premiums (such as free school meals eligibility). Please consider this footnote before answering the questions on this matter.

Information requested

Section 1 - Normal point of admission

A. Determined arrangements

   i. Please give the date your local authority determined arrangements for admission in 2020 to its voluntary controlled and community schools.

   a. This local authority has no community or voluntary controlled primary schools (please tick box if this applies) ☐

   b. This local authority has no community or voluntary controlled secondary schools (please tick box if this applies) ☒

   ii. Please specify the date the determined arrangements for voluntary controlled and community schools were published on the local authority’s website.

   iii. Please provide a link to where the admission arrangements can be viewed on the local authority’s website on publication.
iv. What proportion of arrangements for schools for which the local authority is not the admission authority was provided to the local authority by 15 March 2019?

☐ None  ☐ Minority  ☒ Majority  ☐ All

v. How many sets of admission arrangements of schools for which the local authority is not the admission authority were queried directly by your local authority because they were considered not to comply with the Code?

<table>
<thead>
<tr>
<th>Primary</th>
<th>Secondary</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

vi. Please provide any comments on the determination of admission arrangements not covered above.

B. Co-ordination

i. Provision of rankings:

a. What proportion of schools for which the local authority is not the admission authority provided their rankings correctly undertaken by the agreed date?

☐ None  ☐ Minority  ☒ Majority  ☐ All

b. For what proportion of schools with other admission authorities in the local authority’s area did the local authority rank preferences expressed for those schools in 2019?

☐ None  ☒ Minority  ☐ Majority  ☐ All

ii. Please provide any comments you wish to make in respect of provision of rankings:

iii. Does the local authority charge schools for providing rank preferences?

☒ Yes  ☐ No
iv. Does the local authority rank preferences for other admission authorities in OTHER local authority areas and, if so, for how many schools?  

No

v. How well did co-ordination of the main admissions round work?  

<table>
<thead>
<tr>
<th>Not well</th>
<th>A large number of small problems or a major problem</th>
<th>Well with few small problems</th>
<th>Very well</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reception</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Year 7</td>
<td></td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Other relevant years of entry</td>
<td></td>
<td>X</td>
<td></td>
</tr>
</tbody>
</table>

vi. Please give examples to illustrate your answer:

We find that provided there are no system related issues, either within our own LA or with neighbouring authorities, the process works well. We do still consider that a mandatory date for each admission round by which other LAs are required to share data would be helpful.

C. Looked after and previously looked after children

i. How well does the admissions system in your local authority area serve the interests of looked after children at normal points of admission?

☐ Not at all  ☐ Not well  ☐ Well  ☒ Very well  ☐ Not applicable³

ii. How well do the admissions systems in other local authority areas serve the interests of children looked after by your local authority at normal points of admission?

☐ Not at all  ☐ Not well  ☐ Well  ☒ Very well  ☐ Not applicable³

iii. How well does your admissions system serve the interests of children who are looked after by other local authorities but educated in your area at normal points of admission?

☐ Not at all  ☐ Not well  ☐ Well  ☒ Very well  ☐ Not applicable³

iv. How well does the admissions system in your local authority area serve the interests of previously looked after children at normal points of admission?

³ ‘Not applicable’ will only be appropriate if there are no children falling within this definition.

a. Do the arrangements for any community or voluntary controlled primary schools include this priority for 2020? ☐ Yes ☒ No

If yes please provide the number of community or voluntary controlled primary schools that include this priority.

b. Do the arrangements for any community or voluntary controlled secondary schools include this priority for 2020? ☐ Yes ☒ No

If yes please provide the number of community or voluntary controlled secondary schools that include this priority.

There are no such schools.

c. Do the arrangements for any primary schools for which the local authority is not the admission authority include this priority for 2020? ☐ Yes ☒ No

If yes please provide the number of primary schools for which the local authority is not the admission authority that include this priority.

d. Do the arrangements for any secondary schools for which the local authority is not the admission authority include this priority for 2020? ☐ Yes ☒ No

If yes please provide the number of secondary schools for which the local authority is not the admission authority that include this priority.

e. Please comment on the use of a priority in admission arrangements for a child adopted who was previously in care abroad if you wish.

vi. Please give any examples of good or poor practice or difficulties which exemplify your answers about the admission to schools of looked after and previously looked after children at the normal points of admission:
D. Special educational needs and disabilities

i. Please provide any comments you wish to make on the admission of children with special educational needs and/or disabilities at the normal points of admission:

We feel that the 15th February date for EHCPs to be finalised for children moving from Year 6 to 7 is not helpful when considering this is in the critical phase of secondary allocations. Bringing this date back to the end of January would be worth exploring by the DfE.

Section 2 - In year admissions

A. The number of in year admissions

i. Do you know the number of in year admissions to primary schools in your local authority area? ☒Yes ☐No

ii. If ‘no’ is this for one or more of the following reasons (tick boxes as appropriate) because:

☐ schools with other admission authorities are not complying with the requirement in paragraph 2.22 of the Code to notify the local authority of applications for places and the outcome;

☐ the local authority does not use the information provided by schools with other admission authorities to collect the numbers of in year admissions; and/or

☐ other? (please specify)

iii. Do you know the number of in year admissions to secondary schools in your area? ☒Yes ☐No

iv. If ‘no’ is this for one or more of the following reasons (tick boxes as appropriate) because:

---

4 By in year we mean admission at the start of any school year which is not a normal point of entry for the school concerned (for example at the beginning of Year 2 for a five to eleven primary school) and admission during the course of any school year after the end of the statutory waiting list period in normal years of admission.
☐ schools with other admission authorities are not complying with paragraph 2.22 of the Code;

☐ the local authority does not use the information provided by schools with other admission authorities to collate the numbers of in year admissions; and/or

☐ other? (please specify)

v. If the local authority does know the number of in year admissions to state funded schools in its area, please complete the following table.

<table>
<thead>
<tr>
<th></th>
<th>Primary aged children</th>
<th>Secondary aged children</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of in year admissions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>between 1/9/17 and 31/8/18</td>
<td>8358</td>
<td>1993</td>
</tr>
<tr>
<td>Number of in year admissions</td>
<td>6,482</td>
<td>1578</td>
</tr>
<tr>
<td>between 1/9/18 and 31/3/19</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

B Co-ordination of in year admissions

i. To what proportion of community and voluntary controlled schools did the local authority delegate responsibility for in year admissions in the academic year 2018/19?

a) Primary: ☐ Not applicable\(^5\) ☒ None ☐ Minority ☐ Majority ☐ All

b) Secondary: ☒ Not applicable\(^5\) ☐ None ☐ Minority ☐ Majority ☐ All

ii. For what proportion of schools for which the local authority is not the admission authority does the local authority co-ordinate in year admissions?

a) Primary: ☐ None ☐ Minority ☐ Majority ☒ All

b) Secondary: ☒ None ☐ Minority ☐ Majority ☐ All

\(^{5}\) ‘Not applicable’ will only be appropriate if the local authority has no community or voluntary controlled primary/secondary schools.
iii. Please provide any comments on the co-ordination of in year admissions if you wish.

Parents applying directly to secondary schools continues to be a positive development since our experience under co-ordination was that parents would frequently wish to engage in discussions about GCSE curriculum and directly school related matters with the LA when previously, they had to apply to the LA for a mid year secondary place. Applying to the schools directly means that both the application and associated issues are dealt with by one body and, given that all secondary schools are own admission authority schools in any event, this is both reasonable and logical.

At primary level, as the LA remains the admission authority for many schools, it remains our view that the Local Authority co-ordinating mid year applications is in the best interests of parents at this time.
There can be concern on occasions when there is a misunderstanding that looked after children and previously looked after children are guaranteed a place at a school of preference mid year. It would be helpful if a future version of the Code made it clear that there is no such guarantee or entitlement.

D  Children with special educational needs and/or disabilities

i. How well served are children with special educational needs and/or disabilities who have an education health and care plan that names a school when they need to be admitted in year?

☐ Not at all  ☐ Not well  ☒ Well  ☐ Very well  ☐ Not applicable

ii. How well served are children with special educational needs and/or disabilities who do not have an education health and care plan when they need to be admitted in year?

☐ Not at all  ☐ Not well  ☒ Well  ☐ Very well  ☐ Don’t know

iii. Please give examples of good or poor practice or difficulties which support or exemplify your answers:

On rare occasions, schools can express their concern at admitting a child with SEND and their ability to meet the needs.

E  Other children

i. How well served are other children when they need a new school place in year?

☐ Not at all  ☐ Not well  ☒ Well  ☐ Very well  ☐ Don’t know

ii. Please provide any comments you wish to make in respect of other children:

Admissions into Year 11 and sometimes into Years 2 and 6 pre the examination and SATS periods can be challenging.

F  Fair access protocol

7 ‘Not applicable’ will only be appropriate if there are no children falling within this definition.

8 Other children are those not looked after, previously looked after or with special educational needs and/or disabilities.
i. Has your fair access protocol been agreed\(^9\) with the majority of state-funded mainstream schools in your area?

☐ Yes for primary
☐ Yes for secondary

ii. If you have not been able to tick both boxes above, please explain why:

iii. How many children were admitted to schools in your area under the fair access protocol between 1 April 2018 and 31 March 2019?

<table>
<thead>
<tr>
<th>Type of school</th>
<th>Number of children admitted</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Primary aged children</td>
</tr>
<tr>
<td>Community and voluntary controlled</td>
<td>119</td>
</tr>
<tr>
<td>Foundation, voluntary aided and academies</td>
<td>148</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>267</strong></td>
</tr>
</tbody>
</table>

iv. How well do you consider hard to place children are served by the fair access protocol in your area?

☐ Not at all  ☐ Not well  ☒ Well  ☐ Very well  ☐ Not applicable\(^{10}\)

Please make any relevant comment on the protocol not covered above.

We feel that further definitions, within the Code of what can be constituted as ‘challenging behaviour’ may be helpful in a future iteration of the Code.

**Section 3 - Directions**

**A.** How many directions did the local authority make between 1 April 2018 and 31 March 2019 for children in the local authority area?

<table>
<thead>
<tr>
<th></th>
<th>Primary aged children (not looked after)</th>
<th>Primary aged looked after children</th>
<th>Secondary aged children (not looked after)</th>
<th>Secondary aged looked after children</th>
</tr>
</thead>
</table>

\(^9\) An existing protocol remains binding on all schools up until the point at which a new one is adopted.

\(^{10}\) ‘Not applicable’ would mean that there were no hard to place children for which the protocol was required.
Voluntary aided or foundation | 0 | 0 | 0 | 0 | 0

**B.** Please add any comments on the authority's experiences of making directions in these circumstances.

We have had little or no need in recent years to direct a school to admit and this is a tribute to the relationships we have with schools and the generally inclusive approach.

<table>
<thead>
<tr>
<th>For primary aged children</th>
<th>For secondary aged children</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**C.** How many directions did the local authority make between 1 April 2018 and 31 March 2019 for a maintained school in another local authority area to admit a looked after child?

**D.** Please add any comments on the authority's experiences of making directions in these circumstances.

We have had little or no need in recent years to direct a school to admit and this is a tribute to the relationships we have with schools and the generally inclusive approach.

<table>
<thead>
<tr>
<th>Primary aged children (not looked after)</th>
<th>Primary aged looked after children</th>
<th>Secondary aged children (not looked after)</th>
<th>Secondary aged looked after children</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**E.**

<table>
<thead>
<tr>
<th>How many requests to the ESFA to direct an academy to admit a child did the local authority make between 1 April 2018 and 31 March 2019?</th>
<th>0</th>
<th>0</th>
<th>0</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>How many children were admitted to an academy school as a result of the request for a</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
F. Please add any comments on the authority’s experiences of requesting directions in these circumstances.

We have had little or no need in recent years to direct a school to admit and this is a tribute to the relationships we have with schools and the generally inclusive approach.

G. Any other comments on the admission of children in year not previously raised.

Section 4 - Pupil, service and early years pupil premiums (the premiums)

A. How many community or voluntary controlled schools in the local authority area will use each premium as an oversubscription criterion (including the tiebreaker) for admissions in 2020?

<table>
<thead>
<tr>
<th>Premium</th>
<th>Primary</th>
<th>Secondary excluding grammar</th>
<th>Grammar</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early years pupil premium</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

11 Please include in these figures all schools whose arrangements give priority on the basis of eligibility for one or more of the premiums or part thereof except where the only sub-group is looked after and previously looked after children as all schools must give first priority to these children.

Admission authorities can limit priority to specific sub-groups of those who attract a premium. Examples are:

- children of parents who are currently serving in the UK regular armed forces (rather than all children who attract the service premium); or
- children who are eligible for free school meals at the time of application (rather than all children who attract the pupil premium).

If such sub-groups have priority at any point within the oversubscription criteria, they should be included in the totals for this table even if there is no specific use of the terms, ‘pupil premium,’ ‘early years premium’ or ‘service premium’ in the arrangements. Paragraphs 1.39A and 1.39B of the Code provide the relevant exceptions to paragraph 1.9f (which prohibits giving a priority to a child according to the occupational or financial status of parents applying).

12 Do not include use in post 16 arrangements.
<table>
<thead>
<tr>
<th>Premium Type</th>
<th>Primary</th>
<th>Secondary excluding grammar</th>
<th>Grammar</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early years pupil premium</td>
<td>5</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Pupil premium</td>
<td>7</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Service premium</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total number of schools using at least one premium in their oversubscription criteria</td>
<td>12</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>

**Section 5 - Electively home educated children**

**A.** How many children were recorded as being electively home educated in the local authority area on 29 March 2019?

**B.** Any comments to make relating to admissions and children electively home educated that you have not previously raised?

**Section 6 - Other matters**

Are there any other matters that the local authority would like to raise that have not been covered by the questions above?
Section 7 - Feedback

We would be grateful if you could provide any feedback on completing this report to inform our practice for 2020.

Thank you for completing this template.

Please return to Lisa Short at OSA.Team@schoolsadjudicator.gov.uk by 30 June 2019