

# Schroders Personal Wealth (ACD) ISA Transfer (In) Form

**This form is to be used to transfer your ISA held elsewhere to Schroders Personal Wealth (ACD).**

- If you have any queries or support needs when completing this form, please call **+44 (0)344 822 8910**.
- Please fully complete sections 1, 2, 4, 5, 6 and 7, (section 3 if relevant) in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark **[X]** in the boxes.
- Only complete section 3 if you are investing in income shares.

Return your completed application form to: **Schroders Personal Wealth (ACD), PO Box 13482, Chelmsford CM99 2GN.**

## 1 Your details

- We must have your National Insurance number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance number by marking **[X]** in the box below.
- We cannot accept 'care of' or 'PO Box' addresses.

Existing Schroders Personal Wealth (ACD) Investor	Yes <input type="checkbox"/>	Country of address <input type="text"/>
Schroders Personal Wealth (ACD) Investor ID (if marked 'yes' above) <input type="text"/>	Country of birth <input type="text"/>	
Title Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other title <input type="text"/>	Date of birth <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Gender Female <input type="checkbox"/> Male <input type="checkbox"/>	Daytime telephone number and email address Telephone (day) <input type="text"/> Email <input type="text"/>	
Surname <input type="text"/>	National Insurance number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Forename(s) <input type="text"/>	I do not have a National Insurance number <input type="checkbox"/>	
Residential address <input type="text"/> <input type="text"/> <input type="text"/>		
Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		

- In order to assist you, we are pleased to offer service enhancements. Please mark **[X]** in the boxes which apply to you:

I have limited understanding of the English Language	<input type="checkbox"/>	I would appreciate it if you could speak up on the phone	<input type="checkbox"/>
I am not very confident using the internet or web-based product	<input type="checkbox"/>	I need any written communication sent using large print	<input type="checkbox"/>
I would not like to be contacted by telephone	<input type="checkbox"/>	I need any written communication sent to me as braille where possible	<input type="checkbox"/>
If we talk on the phone, please speak slowly	<input type="checkbox"/>	I need any written communication sent out in an audio recording	<input type="checkbox"/>

To be completed by Financial Advisers (mark [X] in the box)

Advised investment

☐

OR

Non-advised (execution only) investment

☐

Broker stamp required for validity (not transferable)

Schroders Personal Wealth (ACD) Agent ID:

## 2 Investment choice

- Select which fund(s) you wish to transfer into from the list below, and enter the fund name(s) and share class in the section below.
  - SPW Balanced Solution,
  - SPW Cautious Solution,
  - SPW Discovery Solution,
  - SPW Dynamic Solution.
- Mark [X] to select either income or accumulation Shares for each fund. If you choose to have income Shares then please complete section 3.

Fund Name	Income Shares	or	Accumulation Shares	Investment split
<input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="text"/> %
<input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="text"/> %
<input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="text"/> %
<input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="text"/> %

Total investment = 100%

## 3 Income instructions

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income shares in section 2, the form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank/building society

Name of bank/building society account holder

Bank's/building society's full address

  
  

Building society roll number (if applicable)

Account number

Sort Code

Postcode

## 4 Details of existing account to be transferred

- If you are transferring an ISA from the current tax year, you must transfer your entire holding.
- If you are transferring ISA holdings from previous tax years, you must specify whether you are transferring your entire holding or a specific amount in section 4.2.
- You can transfer both your current tax year ISA holding and ISA holdings from previous tax years by completing sections 4.1 and 4.2.

Name of existing ISA Manager

Existing ISA Manager Account number

Address of existing ISA Manager

  
  

Existing ISA Manager telephone number

I confirm the ISA to be transferred is:

**4.1** Entire current year ISA holding

☒

**4.2** ISA holding from previous tax year(s)

Postcode

Transfer entire holding ☒ OR transfer amount

£

## 5 Instructions to existing ISA Manager to transfer my ISA

Please complete all details required in this section. The form will be sent to your existing ISA manager to arrange the transfer.

Name of the new ISA Manager

Title

Mr

☒

Mrs

☐

Miss

☐

Ms

☐

Other title

Existing ISA Manager Account number

Surname

ISAs to be transferred in full

I wish to transfer my current year's ISA subscription in cash

☒

Yes

I wish to transfer all my previous year's ISA subscription in cash

☒

Yes

Forename(s)

ISAs to be transferred in part (previous tax years only):

Please transfer  % of my total ISA holding in cash

Or

Please transfer £  of my total ISA holding in cash

Or

Signed

Date

If you want to transfer specific tax years, please list the tax years below:

Existing ISA Manager Fund name(s)

I hereby instruct the ISA manager named above in section 4 (the Existing ISA Manager) as soon as reasonably practicable to liquidate the investments in the ISA(s) listed above and to transfer the ISA(s) together with the sale proceeds and interest to Schroders Personal Wealth (ACD) (the New ISA Manager) subject to acceptance.

I further instruct my Existing ISA Manager to provide Schroders Personal Wealth (ACD) with any information requested in respect of the existing ISA(s) including but not limited to transaction and dividend histories and details of the current portfolio and its value.

I understand that the cash transferred in respect of the above ISA(s) will be reinvested in accordance with the instructions provided to Schroders Personal Wealth (ACD).

# 6 Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

## Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a stocks and shares ISA for tax year 2025/2026 and I declare that:

- a. all subscriptions made, and to be made, belong to me.
- b. I am aged 18 years or over.
- c. I have not subscribed, and will not subscribe, to more than the overall ISA subscription limit total in the same tax year.
- d. I am resident in the United Kingdom (UK) for tax purposes:
  - or if not resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK,
  - or I am married to, or in a civil partnership with, a person who performs such duties.I will inform the ISA account manager if I cease to be resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- e. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
- f. I agree to the Terms and Conditions.

I understand that any false declaration may render my ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that may be imposed.

## I authorise Schroders Personal Wealth (ACD):

- i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown overleaf, will be governed by the Terms and Conditions.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have been provided with the relevant Key Investor Information Documents (KIID) and Supplementary Investor Information Document for each fund.

I am aware that you will use the Delivery versus Payment Exemption as detailed in the Supplementary Investor Information Document.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date

D

D

M

M

Y

Y

Y

Y

## How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD) and by your financial adviser, and such processing may include the transfer of data out of the European Economic Area.

You can find out more about how we use your personal information by visiting our website to view our full privacy notice on [spw.com/privacy-policy](https://spw.com/privacy-policy)

## 7 Your preferences

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### Stay informed, stay ahead

- We would like to share exclusive content with you, including our 'Financial Focus' email series with articles, webinars, and insights tailored to help support your investment journey. Gain access to the latest research, expert tips and updates developed in collaboration with our sister company, Schrodgers Personal Wealth\* (SPW), to keep you informed and maximise your potential as an investor with SPW.

### Your marketing preferences:

I am happy to receive information from Schrodgers Personal Wealth. Please mark [X] in the boxes which apply to you:

Email

☐

Post

☐

Telephone

☐

### \*You will receive marketing from:

- Scottish Widows Schroder Personal Wealth Limited
- Scottish Widows Schroder Personal Wealth (ACD) Limited



**spw.com**

Please contact us if you'd like this information in an alternative format such as Braille, large print or audio.

Calls may be monitored and recorded and call costs may vary depending on your service provider.

Schroders Personal Wealth (ACD) is a trading name of Scottish Widows Schroder Personal Wealth (ACD) Limited. Registered in England and Wales No. 11722973. Authorised and regulated by the Financial Conduct Authority number 834833.

Registered Office for both companies: 25 Gresham Street, London EC2V 7HN. Eligible investments with us are protected by the Financial Services Compensation Scheme. We are covered by the Financial Ombudsman Service.