

Schroders Personal Wealth (ACD)

General Investment Account – Top-up Form

Please use this application form to make a lump sum and/or monthly investment in the SPW Solution Funds.

**SPW Balanced Solution/SPW Cautious Solution/SPW
Discovery Solution/SPW Dynamic Solution**

Please contact us if you'd like this information in an alternative format such as Braille, large print or audio.

- If you have any queries or support needs when completing this form, please call **+44 (0)344 822 8910**.
- Please fully complete sections 1-4, section 5 if relevant and section 6 in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark **[X]** in the boxes.
- To make a monthly investment, please also complete section 5 and keep a copy of the Direct Debit Guarantee.
- If you are making a lump sum investment please send a cheque with your completed application form.
- Cheques should be made payable to: Schroders Personal Wealth (ACD).
- Cheques will only be accepted from a UK bank account in GBP only.
- Third party cheques will not be accepted.

Return your completed application form and cheque (if applicable) to: **Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB.**

1. Your Details

- We cannot accept 'care of' addresses.

First / Sole

Existing Schroders Personal Wealth (ACD) investor

SPW (ACD) Investor ID (if marked "yes" above)

Title Mr Mrs Miss Ms Other Title

Surname

Forename(s)

Residential address

Postcode

Joint

Existing Schroders Personal Wealth (ACD) investor

SPW (ACD) Investor ID (if marked "yes" above)

Title Mr Mrs Miss Ms Other Title

Surname

Forename(s)

Residential address

Postcode

1. Your Details (continued)

Country of address

Country of address

Country of birth

Country of birth

Date of birth

D	D	M	M	Y	Y	Y	Y
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Date of birth

D	D	M	M	Y	Y	Y	Y
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Your nationality(s)

Your nationality(s)

Daytime telephone number and email address

Daytime telephone number and email address

Please state below if you would like to have a specific name for this account e.g. tuition fees (20 characters maximum).

Account designation (if any)

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Adviser details (only to be completed by a financial adviser)

If you did not provide advice on this sale please tick this box

Company name

Adviser reference number

Adviser name

FCA number

2. Your Investment Choice

- Enter the fund name(s) and share classes you wish to invest in. A list of available funds is provided on the front of this application form.
- If you choose to have income shares then please also complete section 3.
- Income shares are not offered in SPW Balanced Solution.

	Income Shares	or	Accumulation Shares	Lump sum (Minimum is £1,000 per fund)	and/or	Monthly investment (Minimum is £50 per fund)
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		£ <input type="text"/>

For monthly investments only:

Please collect my monthly payment on the day of each month.

3. Your Income

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income shares in section 2, the form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank/building society

Name of bank/building society account holder

Bank's/building society's full address

Postcode

Building society roll number (if applicable)

Current account

Savings account

Account number

Sort Code

4. Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I/We understand that this application will be effected at the ruling buying price at the next valuation point after Schroders Personal Wealth (ACD)'s receipt of my/our application form and that for lump sum investments a contract note giving details of the shares purchased will be issued no later than the next valuation day following the valuation point. I/We acknowledge that I/we may request the latest Managers' Report and Fund Prospectus, and that these are available from Schroders Personal Wealth (ACD)'s offices. I/We understand that any monies held as client money on my/our behalf will be held in a client money account which is a noninterest bearing account.

I/we understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I/We also confirm that I/we have been provided with the relevant Key Investor Information Document(s) and Supplementary Investor Information Document for each fund.

I/We declare that:

- I/We are not a US person and that I/we are not applying as the proxy-holder of a person who is a US Person or I/we are a US Person but only acting as a nominee distributor for underlying investors who are not a US Person;
- I/We have not been solicited to purchase shares while physically present within the US;
- At the time I/we placed our order to buy shares

I/we were outside of the US;

- I/We will not transfer any of the shares or any interest therein to a US Person;
- if our underlying Investor status as a non-US person should change, I/we will immediately inform you. In such event, I/we agree that Schroders Personal Wealth (ACD) shall be entitled to (but shall not be obligated to) repurchase, or to require to us to sell our shares to a person designated by Schroders Personal Wealth (ACD).

If you are completing this form as an individual or individuals, please ensure you complete the Individual Self-Certification in section 5.

Please note that we are unable to accept your application unless a valid Individual Self-Certification is completed which accompanies this application form.

First applicant's signature

Joint holder's signature (if applicable)

Date

D	D	M	M	Y	Y	Y	Y
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How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD) and by your financial adviser, and such processing may include the transfer of data out of the European Economic Area. You can find out more about how we use your personal information by visiting our website to view our full privacy notice on spw.com/privacy-policy

5. Instruction to your bank or building society to pay by Direct Debit

- Please only complete this section if you wish to invest by Direct Debit. For joint bank or building society accounts please ensure both account holders sign the instruction.
- Your Direct Debit will be collected on the date of your choice as stated in section 2.



Please fill in the whole form including official use box using a ballpoint pen and send it to:

Schroders Personal Wealth (ACD)
PO Box 560
Darlington
DL19ZB

Name(s) of account holder(s)

Bank/building society account number

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Branch Sort Code

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Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	

Postcode

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Service user number

1	8	8	5	2	2
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FOR Schroders Personal Wealth (ACD) OFFICIAL USE ONLY. This is not part of the instruction to your bank or building society.

Instruction to your bank or building society

Please pay Schroders Personal Wealth (ACD) Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Schroders Personal Wealth (ACD) and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

D	D	M	M	Y	Y	Y	Y
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Banks and building societies may not accept Direct Debit Instructions for some types of account.

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Schroders Personal Wealth (ACD) will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Schroders Personal Wealth (ACD) to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Schroders Personal Wealth (ACD) or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
 - If you receive a refund you are not entitled to, you must pay it back when Schroders Personal Wealth (ACD) asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



6. Your Preferences

Marketing

We would like to keep you up to date with information about the range of products and services available from Schroders Personal Wealth*.

Please cross the boxes below to let us know you are happy for us to send you further details. If you change your mind you can update your choice at any time by contacting us.

Your marketing preferences:

I am happy to receive information from
Schroders Personal Wealth via:

Email Post Telephone

*Schroders Personal Wealth means:

- Scottish Widows Schroder Personal Wealth Limited
- Scottish Widows Schroder Personal Wealth (ACD) Limited

Half-yearly statements

If you wish to automatically receive your half-yearly statement in large print/ Braille, please cross the below boxes:

Braille

Large print

Schroders | We
personalwealth | Change
Lives

spw.com

Calls may be monitored and recorded and call costs may vary depending on your service provider.

Schroders Personal Wealth (ACD) is a trading name of Scottish Widows Schroder Personal Wealth (ACD) Limited. Registered in England and Wales No. 11722973. Authorised and regulated by the Financial Conduct Authority number 834833. Registered Office: 25 Gresham Street, London EC2V 7HN. Eligible investments with us are protected by the Financial Services Compensation Scheme. We are covered by the Financial Ombudsman Service. SPW00122 05.23