

# Schroders Personal Wealth (ACD)

## 2023/2024 ISA Top-up Form

**Please use this form to top-up your investment or set-up a monthly investment in the SPW Solution Funds via your existing Stocks and Shares ISA.**

**SPW Balanced Solution/SPW Cautious Solution/SPW Discovery Solution/SPW Dynamic Solution.**

Please contact us if you'd like this information in an alternative format such as Braille, large print or audio.

- If you do not hold an ISA with SPW (ACD) in the 2023/2024 tax year, you cannot use this form and will need to complete our Schroders Personal Wealth (ACD) 2023/2024 ISA Application form. This can be found on our website: [www.spw.com/our-services/fund-info](http://www.spw.com/our-services/fund-info)
- If you have any queries or support needs when completing this form, please call **+44 (0)344 822 8910**.
- Please fully complete sections 1-4, section 5 if relevant and section 6 in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark **[X]** in the boxes.
- To make a monthly investment, please also complete section 5 and keep a copy of the Direct Debit Guarantee.
- If you are making a lump sum investment please send a cheque with your completed top-up form.
- Cheques should be made payable to: Schroders Personal Wealth (ACD).
- Cheques will only be accepted from a UK bank account in GBP only.
- Third party cheques will not be accepted.

Return your completed application form and cheque (if applicable) to: **Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB.**

## 1. Your Details

We must have your National Insurance Number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance Number by marking **[X]** in the box below. We cannot accept 'care of' addresses.

Surname

Forename(s)

Country of birth

Date of birth:

D	D	M	M	Y	Y	Y	Y
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Title: Mr Mrs Miss Ms Other title

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
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Gender: Female Male

<input type="checkbox"/>	<input type="checkbox"/>
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Residential address

  
  


Daytime telephone number and email address

Telephone (day):

Email:

Country of address

Postcode

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National Insurance number

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I do not have a National Insurance number

### Adviser details (only to be completed by a financial adviser)

If you did not provide advice on this sale please tick this box

Company name

Adviser reference number

Adviser name

FCA number



## 4. Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

### Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a stocks and shares ISA for tax year 2023/2024 and each subsequent year until further notice and I declare that:

- a. I am aged 18 years or over and am subscribing with my own money.
- b. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties.

I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

- c. I have not subscribed and will not subscribe more than the overall subscription limit (£20,000) in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- d. I will inform the ISA Manager promptly of any changes in my personal details as shown on this document.
- e. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
- f. I have read the relevant Key Investor Information Document(s) and Supplementary Investor Information Document.

I understand that any false declaration may render my ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that may be imposed.

### I authorise Schroders Personal Wealth (ACD):

- i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown overleaf, will be governed by the Terms and Conditions.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have read the relevant Key Investor Information Documents and Supplementary Investor Information Document for each fund.

I am aware that you will use the Delivery versus Payment Exemption as detailed in the Supplementary Investor Information Document.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date

D	D	M	M	Y	Y	Y	Y
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### How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD), and such processing may include the transfer of data out of the European Economic Area. You can find out more about how we use your personal information by visiting our website to view our full privacy notice on [spw.com/privacy-policy](https://spw.com/privacy-policy)



## 5. Instruction to your bank or building society to pay by Direct Debit

- Please only complete this section if you wish to invest by Direct Debit. For joint bank or building society accounts please ensure both account holders sign the instruction.
- Your Direct Debit will be collected on the date of your choice as stated in section 2.



Please fill in the whole form including official use box using a ballpoint pen and send it to:

Schroders Personal Wealth (ACD)
PO Box 560
Darlington
DL19ZB

Name(s) of account holder(s)


Bank/building society account number

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Branch Sort Code

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Name and full postal address of your bank or building society

To: The Manager <span style="float: right;">Bank/building society</span>
Address

Postcode

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Service user number

1	8	8	5	2	2
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FOR Schroders Personal Wealth (ACD) OFFICIAL USE ONLY. This is not part of the instruction to your bank or building society.

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### Instruction to your bank or building society

Please pay Schroders Personal Wealth (ACD) Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Schroders Personal Wealth (ACD) and, if so, details will be passed electronically to my bank/building society.

Signature(s)


Date

D	D	M	M	Y	Y	Y	Y
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Banks and building societies may not accept Direct Debit Instructions for some types of account

### The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Schroders Personal Wealth (ACD) will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Schroders Personal Wealth (ACD) to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Schroders Personal Wealth (ACD) or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Schroders Personal Wealth (ACD) asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



## 6. Your Preferences

### Marketing

We would like to keep you up to date with information about the range of products and services available from Schroders Personal Wealth\*.

Please cross **[X]** the boxes below to let us know you are happy for us to send you further details. If you change your mind you can update your choice at any time by contacting us.

Your marketing preferences:

I am happy to receive information from Schroders Personal Wealth via:

Email  Post  Telephone

\*Schroders Personal Wealth means:

- Scottish Widows Schroder Personal Wealth Limited
- Scottish Widows Schroder Personal Wealth (ACD) Limited

### Half-yearly statements

If you wish to automatically receive your half-yearly statement in large print/ Braille, please cross the below boxes:

- Braille  
 Large print

**Schroders**  
personalwealth | We  
Change  
Lives  
**spw.com**

Calls may be monitored and recorded and call costs may vary depending on your service provider.

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