

Schroders Personal Wealth (ACD) 2023/2024 ISA Application Form

Please write clearly in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark (X) in the boxes.

For the 2023/2024 tax year and where relevant, each subsequent tax year until further notice.

- If you have any queries when completing this form, or would like to receive a copy of the Fund Prospectus, please contact your financial adviser or call Schroders Personal Wealth (ACD) on **0344 822 8910**. Alternatively, an electronic copy can be downloaded from Schroders Personal Wealth (ACD) website at spw.com/fund-info
- If you are investing a lump sum, please make your cheque payable to: Schroders Personal Wealth (ACD).
- Third party cheques will not be accepted. Cheques are to be drawn on a UK bank account in GBP only.
- If you are investing by Direct Debit, please complete section 2 and section 5, and keep a copy of the Direct Debit Guarantee.
- Please make sure you have signed the declaration in section 4 and entered the fund's name(s) in section 2.

Return address: **Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB**

1 Your details

- We must have your National Insurance Number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance Number (NINO) by marking (X) in the box below.
- We cannot accept 'care of' addresses.

Title	Mr	Mrs	Miss	Ms	Other title	Country of address
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Gender	Female	Male				Country of birth
	<input type="checkbox"/>	<input type="checkbox"/>				<input type="text"/>
Surname	<input type="text"/>					Date of birth
						<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Forename(s)	<input type="text"/>					Daytime telephone number and email address
						Telephone (day) <input type="text"/>
Residential address	<input type="text"/> <input type="text"/> <input type="text"/>					Email <input type="text"/>
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>					National Insurance number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
						I do not have a National Insurance number <input type="checkbox"/>

To be completed by Financial Advisers (mark (X) only)

Advised investment

OR

Non-advised (execution only) investment

Broker stamp required for validity (not transferable)

2 Investment choice

- Select which fund(s) you wish to invest in from the list available on Schroders Personal Wealth (ACD) website, and enter the fund name(s) and share class in the section below.
- Mark to select either income or accumulation Shares for each fund. If you choose to have income Shares then please also complete section 3.
- If you are investing a lump sum, tell us how much to invest in each fund below and make your cheque payable to: Schroders Personal Wealth (ACD). The minimum investment per fund is £1,000.
- If you are investing by Direct Debit from your bank or building society, tell us how much you wish to invest each month in each fund below and ensure you have completed section 5. The minimum investment per fund is £50 per month. Please also indicate the day in the month you would like your collection to be made.
- Please note that there is no option of Direct Debit investment for funds within the Multi-Manager ICVC.
- If you want to invest through the combination of a lump sum and a regular monthly sum, you can also do so below.

Fund	Income Shares	or	Accumulation Shares	Lump sum (Minimum is £1,000 per fund)	and/or	Regular savings (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>
<input type="text"/>	<input type="checkbox"/>	or	<input type="checkbox"/>	£ <input type="text"/>	and/or	£ <input type="text"/>

For monthly investments only: Please collect my monthly payment on the day of each month.

3 Income

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income Shares in section 2, the form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank/building society <input type="text"/>	Name of bank/building society account holder <input type="text"/>
Bank's/building society's full address <input type="text"/> <input type="text"/> <input type="text"/>	Building society roll number (if applicable) <input type="text"/>
Postcode <input type="text"/>	Current account <input type="checkbox"/> Savings account <input type="checkbox"/>
<input type="text"/>	Account number <input type="text"/> Sort Code <input type="text"/>

4 Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a stocks and shares ISA for tax year 2023/2024 and each subsequent year until further notice and I declare that:

- a. I am aged 18 years or over and am subscribing with my own money.
- b. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties.

I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- c. I have not subscribed and will not subscribe more than the overall subscription limit (£20,000) in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- d. I will inform the ISA Manager promptly of any changes in my personal details as shown on this document.
- e. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
- f. I have been provided with the relevant Key Investor Information Document(s) and Supplementary Investor Information Document.

I understand that any false declaration may render my ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that

may be imposed.

I authorise Schroders Personal Wealth (ACD):

- i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown overleaf, will be governed by the Terms and Conditions.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have been provided with the relevant Key Investor Information Documents and Supplementary Investor Information Document for each fund.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date

D	D	M	M	Y	Y	Y	Y
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How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD) and by your financial adviser, and such processing may include the transfer of data out of the European Economic Area.

You can find out more about how we use your personal information by visiting our website to view our full privacy notice on spw.com/privacy-policy

Schroders | We
personalwealth | Change
Lives

spw.com

Please contact your
Personal Wealth Adviser if
you'd like this information in
an alternative format such as
Braille, large print or audio.

Calls may be monitored and recorded and
call costs may vary depending on your service
provider.

Schroders Personal Wealth is a trading name of Scottish Widows Schroder Personal Wealth Limited. Registered in England and Wales No. 11722983. Authorised and regulated by the Financial Conduct Authority number 830170.

Schroders Personal Wealth (ACD) is a trading name of Scottish Widows Schroder Personal Wealth (ACD) Limited. Registered in England and Wales No. 11722973. Authorised and regulated by the Financial Conduct Authority number 834833.

Registered Office for both companies: 25 Gresham Street, London EC2V 7HN. Eligible investments with us are protected by the Financial Services Compensation Scheme. We are covered by the Financial Ombudsman Service.

SPW00122 (04/22)