

# Schroders Personal Wealth (ACD)

## 2023/2024 ISA Fund Switch Form

**Please use this application form to switch some or all of the shares you currently hold in your ISA to shares in another SPW Solutions Fund/Funds and/or change or cancel your existing monthly investment.**

**SPW Balanced Solution/SPW Cautious Solution/SPW Discovery Solution/SPW Dynamic Solution.**

Please contact us if you'd like this information in an alternative format such as Braille, large print or audio.

- If you have any queries or support needs when completing this form, please call **+44 (0)344 822 8910**.
- Please fully complete sections 1, 2 and 4, sections 3 and 5 if relevant and section 6 in BLOCK CAPITALS with a BLACK ballpoint pen in the white spaces or mark **[X]** in the boxes.
- Only complete section 3 if you are switching from accumulation to income shares.
- Only complete section 5 if you currently make a monthly investment into your ISA. To set-up a new monthly investment in the SPW Solution Funds via your Stocks and Shares ISA you will need to complete a Schroders Personal Wealth 2023/24 ISA Top-up Form. This can be found on our website: [www.spw.com/our-services/fund-info](http://www.spw.com/our-services/fund-info)

Return your completed application form to: **Schroders Personal Wealth (ACD), PO Box 560, Darlington DL1 9ZB.**

## 1. Your Details

We must have your National Insurance Number and Date of Birth in order to be able to process your application. Please let us know if you don't have a National Insurance Number by marking **[X]** in the box below. We cannot accept 'care of' addresses.

Surname

Forename(s)

Title: Mr Mrs Miss Ms Other title

    

Country of birth

Date of birth:

       

Gender: Female Male

 

Residential address

  
  


Daytime telephone number and email address

Telephone (day):

Email:

Country of address

Postcode

      

National Insurance number

       

I do not have a National Insurance number

### Adviser details (only to be completed by a financial adviser)

If you did not provide advice on this sale please tick this box

Company name

Adviser reference number

Adviser name

FCA number

## 2. Fund Switch

- Enter the fund name(s), share class and £ amount or % that you are looking to switch from and to. A list of available funds is provided on the front of this application form.
- If you switch to income shares then please also complete section 3.
- Income shares are not offered in SPW Balanced Solution.
- If you have an existing Direct Debit that you wish to transfer to your new fund choice then please also complete section 4.

	Income Shares	or	Accumulation Shares	Amount (GBP)	or	%
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		<input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		<input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		<input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>	£ <input type="text"/>		<input type="text"/>
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>			
Fund <input type="text"/>	<input type="checkbox"/>		<input type="checkbox"/>			

Total amount to switch: £  = 100%

## 3. Your Income

- Please complete this section for your income to be paid directly into your bank or building society account.
- If this section is not completed and you have chosen income shares in section 2, the form will be rejected as incomplete.
- We cannot make a payment into an account which is not in your name.

Name of bank/building society <input type="text"/>	Name of bank/building society account holder <input type="text"/>
Bank's/building society's full address <input type="text"/>	Building society roll number (if applicable) <input type="text"/>
Postcode <input type="text"/>	Current account <input checked="" type="checkbox"/> Savings account <input checked="" type="checkbox"/>
	Account number <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Sort Code <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

## 4. Existing Direct Debit Amendment

For existing Direct Debits only. For new Direct Debit instructions please complete a Schroders Personal Wealth 2023/24 ISA Top-up Form. Mark **[X]** in the box below to cancel your Direct Debit.

- If you do not cancel your Direct Debit monies will continue to be invested in your existing fund selection.
- Enter the fund name(s) and share class you wish to change your monthly investment to. A list of available funds is provided on the front of this application form.
- If you chose to have income shares then please also complete section 3.
- Income shares are not offered in SPW Balanced Solution.
- Your instructions will be amended at the next available collection date.

Amend my monthly direct debit to  per month and invest as detailed below:

Fund Name	Income Shares	Accumulation Shares	Amount (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or <input type="checkbox"/>	£ <input type="text"/>
Fund Name	Income Shares	Accumulation Shares	Amount (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or <input type="checkbox"/>	£ <input type="text"/>
Fund Name	Income Shares	Accumulation Shares	Amount (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or <input type="checkbox"/>	£ <input type="text"/>
Fund Name	Income Shares	Accumulation Shares	Amount (Minimum is £50 per fund)
<input type="text"/>	<input type="checkbox"/>	or <input type="checkbox"/>	£ <input type="text"/>

or  cancel existing fund choice Direct Debit

Please collect my monthly payment on the   day of each month

## 5. Declaration

Please read through the declaration and, if you agree with it, sign and date the appropriate boxes below.

### Money Laundering

I understand that Schroders Personal Wealth (ACD) may need to use credit reference/information agencies in order to satisfy Schroders Personal Wealth (ACD) statutory money laundering obligations. These agencies may keep a record of this enquiry.

I apply to subscribe for a stocks and shares ISA for tax year 2023/2024 and each subsequent year until further notice and I declare that:

- a. I am aged 18 years or over and am subscribing with my own money.
- b. I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties.

I will inform Schroders Personal Wealth (ACD) if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

- c. I have not subscribed and will not subscribe more than the overall subscription limit (£20,000) in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- d. I will inform the ISA Manager promptly of any changes in my personal details as shown on this document.
- e. I am not a US Person as defined in the Terms and Conditions and the Prospectus and that I will notify Schroders Personal Wealth (ACD) immediately if my status changes to become a US Person.
- f. I have read the relevant Key Investor Information Document(s) and Supplementary Investor Information Document.

I understand that any false declaration may render my ISA void, in which case all tax benefits obtained will need to be repaid to HM Revenue and Customs with interest and/or any penalty that may be imposed.

### I authorise Schroders Personal Wealth (ACD):

- i. to hold in my Schroders Personal Wealth (ACD) ISA my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- ii. to make on my behalf any claims to relief from tax in respect of my ISA investments.

I agree that this ISA, the details of which are shown overleaf, will be governed by the Terms and Conditions.

I understand and accept that this application is subject to the current applicable Schroders Personal Wealth (ACD) Prospectus and Terms and Conditions. I also confirm that I have read the relevant Key Investor Information Documents and Supplementary Investor Information Document for each fund.

I am aware that you will use the Delivery versus Payment Exemption as detailed in the Supplementary Investor Information Document.

I hereby declare that to the best of my knowledge and belief all the information stated above is true and correct.

Signed

Date

D	D	M	M	Y	Y	Y	Y
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### How we use your information

For the purposes of the General Data Protection Regulation, the data controller in relation to any personal data you supply is Schroders Personal Wealth (ACD). Information you supply may be processed for the purposes of investment administration by Schroders Personal Wealth (ACD), by third parties who provide services to Schroders Personal Wealth (ACD), and such processing may include the transfer of data out of the European Economic Area. You can find out more about how we use your personal information by visiting our website to view our full privacy notice on [spw.com/privacy-policy](https://spw.com/privacy-policy)

## 6. Your Preferences

### Marketing

We would like to keep you up to date with information about the range of products and services available from Schroders Personal Wealth\*.

Please cross **[X]** the boxes below to let us know you are happy for us to send you further details. If you change your mind you can update your choice at any time by contacting us.

Your marketing preferences:

I am happy to receive information from Schroders Personal Wealth via:

Email  Post  Telephone

\*Schroders Personal Wealth means:

- Scottish Widows Schroder Personal Wealth Limited
- Scottish Widows Schroder Personal Wealth (ACD) Limited

### Half-yearly statements

If you wish to automatically receive your half-yearly statement in large print/ Braille, please cross the below boxes:

Braille

Large print

**Schroders** | We  
personalwealth | Change  
Lives

**spw.com**

Calls may be monitored and recorded and call costs may vary depending on your service provider.

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