# BPOT Approved Client Request Form

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| **Section 1: Particulars of Participant submitting BPOT approved client request** | |
| Name of Participant: |  |
| Name of Participant Employee submitting this form: |  |
| Position of Participant Employee submitting this form *(select one)*: | 󠇯 Managing Principal  󠇯 Responsible Executive  󠇯 Compliance Manager󠇯  󠇯 Authorised Compliance Manager Delegate |
| Contact phone number: |  |
| Contact email address: |  |
| **Section 2: Particulars of Institutional Client or Principal Account seeking Approved BPOT Client recognition** | |
| Name of Institutional Client or Principal Account: |  |
| Confirmation of the Institutional Client’s country of domicile as one of the Recognised BPOT Jurisdictions *(select one)*: | 󠇯 New Zealand  󠇯 Australia  󠇯 Singapore  󠇯 Hong Kong  󠇯 United Kingdom  󠇯 United States of America  󠇯 Canada  󠇯 Japan |
| SMP Key relevant to this request: |  |
| **Section 3: Acknowledgements** | |
| 󠄒 We agree to pay the relevant fees specified in the fee schedule in respect of our use of the self-match prevention service.  󠄒 We agree to provide NZ RegCo with a register of all our Approved BPOT Clients and Principal Accounts within 10 business days of the end of each quarter.  󠄒 We acknowledge that the self-match prevention service will operate in the manner described in the Self Match Prevention Practice Note. | |
| **Section 7: Authorisation** | |
| **By signing below, we consent to processes of personal data in accordance with the Privacy Policy statement included on** [**NZX’s website**](https://www.nzx.com/meta-pages/privacy-policy)**:**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Authorised Signature Date**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Name of Signatory** | |