

**Items covered include:**

- Charges for enquiries and transfers of non NZX-listed securities using FSS

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4 August 2006

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**Disclaimer**

This Pricing Update has been issued by NZX to promote market certainty and to assist Market Participants. NZX may replace Pricing Updates at any time and a Market Participant should ensure it has the most recent version.

**MARKET PARTICIPANT PRICING UPDATE No. 6**

NZX has extended its settlement system (FSS) to serve non NZX-listed securities. Participants are now able to use FSS to perform electronic transfers and enquire on balances for eligible non NZX-listed securities.

**DELIVERY & SETTLEMENT CHARGES – NON NZX-LISTED SECURITIES****Dual Entry DvP Settlement (Mutual PPT, SRI230)**

The fee for the simultaneous exchange of cash for securities between two Participants is \$1.50 per side.

**Delivery Free of Payment (PPT, SRI220)**

The fee for the transfer of securities (and excluding cash) between two Participants is \$0.75 per side.

**CIT/COT/OIT/OOT (SRI200, SRI210, SRI240, SRI250)**

The fee for the above transfer types is \$0.75 per transfer. This fee applies to all participants.

**ENQUIRY CHARGES – NON NZX-LISTED SECURITIES****Shareholder Balance Enquiry (SRI300)**

This enquiry allows the Participant to view the balance of an individual security for a particular CSN. There is no charge for this type of enquiry.

**Portfolio Balance Enquiry (SRI370)**

This enquiry allows the Participant to view all holdings for a particular CSN. The fee for this enquiry is \$0.10 per line item returned.

If you have any queries please contact Adrienne Quinn at [adrienne.quinn@nzx.com](mailto:adrienne.quinn@nzx.com) or phone +64 4 495 2811.