**Appendix B – Quarterly Compliance Certificate**

**Clearing Participant:**

**Quarter Ended:**

Having made due and careful enquiry, to the best of my knowledge, I hereby certify and represent that, except to the extent disclosed in writing to CHO:

1. The representations and warranties set out in Rule 2.11.1 and Rule 2.11.2 are true and accurate in all material respects.

2. The Clearing Participant continues to satisfy the requirements of Rule 2.2.

3. The Clearing Participant has made all notifications to CHO required by Rule 2.9.

4. No Potential Credit Event is continuing as at the date of this certificate.\*

Name: (Responsible Person)

Dated:

\* *If this statement cannot be made, the certificate should identify any Potential Credit Event that is continuing and the steps, if any, being taken to remedy it.*