



# Practice Note 13/2017

## Application for Quotation Form

Applies to Rules as at: 23 June 2017

### Summary

This Practice Note provides a standard form template to be used for an application for quotation

### Relevant Listing Rules:

- NZX Main Board and Debt Market Listing Rules 5.2.1 and 5.2.2 (**Listing Rules**)

The quotation of a new class of securities on an NZX Market requires issuers to submit an application for quotation. This application must be made through a Primary Market Participant, unless it is an application in respect of rights over securities that are already quoted.

The Listing Rules prescribe the information that must be submitted with an application for quotation. It is important that the application include all required information, to enable NZX's operational and regulatory processes to be completed in a timely manner.

NZX Regulation has prepared an application template to assist applicants, which can be found [here](#).

*Practice Notes are not intended to be a definitive statement of the application of the rules in every situation and are only a guide to NZX's policy and practice. This Practice Note does not limit NZXR's discretion under the rules. NZX takes no responsibility for any error contained in the Practice Note. NZXR may replace Practice Notes at any time.*