



Practice Note

Application for Quotation

Applies to Rules as at: 1 January 2019

Summary

This Practice Note provides a standard form template to be used for an application for quotation

Relevant Listing Rules (Rules): 1.13 to 1.23

The quotation of a new class of securities on an NZX Market requires issuers to submit an application for quotation. The application can be made by the issuer or any person authorised by the issuer.

The Listing Rules prescribe the information that must be submitted with an application for quotation. It is important that the application include all required information, to enable NZX's operational and regulatory processes to be completed in a timely manner.

NZX Regulation has prepared an application template to assist applicants, which can be found [here](#). This applies to applications for quotation by issuers of equity securities, debt securities and fund securities. Issuers of other financial products should contact NZX Regulation at regulation@nzx.com before submitting an application for quotation.

Practice Notes are not intended to be a definitive statement of the application of the rules in every situation and are only a guide to NZX's policy and practice. This Practice Note does not limit NZXR's discretion under the rules. NZX takes no responsibility for any error contained in the Practice Note. NZXR may replace Practice Notes at any time.