1	Tropical agroforestry and ecosystem services: trade-offs analysis for
2	better design strategies
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#### 38 Introduction

39 Agroforestry and tree cover on productive landscapes deliver key provisioning and regulating ecosystem 40 services that improve farmers' livelihoods and aid conservation efforts (Tscharntke et al., 2011; Harvey et al., 41 2014; Zomer et al., 2014). Agroforestry covers a significant amount of land across several climatic and 42 geographic conditions resulting in a wide range of ecosystem services which requires careful attention to 43 enhance their extent and duration overtime. It is estimated that agroforestry covers between 200 and 357 44 million hectares in Latin America, including 14-26 million hectares in Central America and 88-315 million 45 hectares in South America (Somarriba et al., 2012). The most prominent agroforestry examples in the region 46 are commercial silvopastoral systems, and shaded tree-crop systems involving coffee (Coffea spp) and cocoa 47 (Theobroma cacao L.). Currently, agroforestry offers a unique opportunity to achieve the millennium 48 development goals while curving tree cover loss and reducing pressure on remaining forest (Perfecto and 49 Vandermeer, 2010; Kragt and Robertson, 2014).

50 Recent research provides new insights into the significant contribution of agroforestry to food security, nutrition 51 and conservation of local agrobiodiversity across Latin America. For instance, agroforestry systems (AFS) of 52 coffee and cocoa are important cropping systems that provide goods and services for income generation and 53 family nutrition. Timber production, building materials, fruit consumption and sale, firewood supply and the 54 provision of fiber, honey and medicinal plants are some notable examples (Lopez-Sampson and Somarriba, 55 2005; Dahlquist et al., 2007; Salgado-Mora et al., 2007; Deheuvels et al., 2012; Almendarez et al., 2013; Cerda 56 et al., 2014; de Sousa et al., 2015). Silvopastoral systems such as live fences and riparian forests aid landscape 57 connectivity and provide shelter for migratory birds, while pastures with medium tree density improve cattle 58 behavior and milk and meat yield, cast shade that enriches grass nutritional value and improve water quality 59 for livestock (Montagnini et al., 2013; Bussoni et al., 2017).

60 A large body of research has documented a wide list of provisioning and regulating services from AFS 61 throughout the neotropics. So far, carbon sequestration, nutrient cycling, maintenance of water 62 quality/infiltration and enhanced landscape connectivity are the most common and studied services (Jose, 2009: Lin. 2010; Rousseau et al., 2012; Somarriba et al., 2013; Harvey et al., 2014; Lorenz and Lal, 2014). In 63 64 tropical Latin America, there are many types of AFS with varying design features, plant biodiversity, structure 65 and management regimes, and therefore their performance in providing ecosystem services differ widely in 66 space and over time (Boreux et al., 2013; Rapidel et al., 2015; Mortimer et al., 2017). Furthermore, AFS can 67 exhibit trade-offs between ecosystems services that need to be balanced and reduced to simultaneously offer 68 two or more benefits for farmers in a sustainable way (Mckechnie et al., 2011; Vaast and Somarriba, 2014; 69 Grossman, 2015; Rapidel et al., 2015; Mora et al., 2016; Rahman et al., 2016; Tamburini et al., 2016).

The main objectives of this chapter are to offer an overview of the ecosystem services that the main tropical AFS can offer, and to show the usefulness of several approaches based on the analysis of trade-offs between different ecosystem, and between ecosystem services and plant biodiversity, for better design (or re-design) and management of AFS. This chapter is structured as follows: Section 1 offers an overview of the main

- 74 provisioning and regulating services provided by five selected AFS (cocoa AFS, coffee AFS, pastures -
- silvopastoral-, grain fields and home-gardens); Section 2 describes practical approaches to assess trade-offs
- 56 based on recent scientific literature, and which can be applied in any given AFS; and, Section 3 presents a
- 57 study case, using data of a so-called Sentinel Landscape El Tuma-La Dalia in Nicaragua, where we applied
- 78 approaches for trade-off analysis, and based on that we derived recommendations to enhance the delivering
- 79 of ecosystem services from agroforestry.

#### 80 Section 1: Overview on ecosystems services provided by tropical agroforestry

AFS provide a wide range of provisioning and regulating ecosystems services at both farm and landscape scale. The quality and extend of those services depend on the arboricultural complexity, species richness, management intensity and the scale of the AFS on the land (Beer *et al.*, 2003; Jose and Bardhan, 2012; Harvey *et al.*, 2014). Notorious examples are given below.

#### 85 Provisioning services

86 The shade canopy of coffee and cocoa AFS, dispersed trees in pasture, associated trees in grain fields and 87 home gardens delivers products which significantly contribute to home consumption and sale. For instance, 88 cocoa AFS yield timber and fruits accounting for up to 30% of total annual income for small farmers in Central 89 America (Cerda et al., 2014). The economic contribution of the shade canopy varies according to tree richness 90 and density and the proportion of basal area occupied by shade trees. For example, simple mixed cocoa AFS 91 in Bolivia, produce up to 3 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> of commercial timber (Orozco-Aguilar and Somarriba, 2005). Likewise, 92 cumulative timber stock in 12 years cocoa-Cordia alliodora in a lowland of Costa Rica was calculated in 82 m<sup>3</sup> 93 ha<sup>-1</sup> (Ramirez et al., 2001). In 10 years the over bark stem volume was 128 m<sup>3</sup> ha<sup>-1</sup> for C. alliodora, 97 m<sup>3</sup> ha<sup>-1</sup> 94 for Tabebui rosea, and 172 m<sup>3</sup> ha<sup>-1</sup> for Terminalia ivorensis in AFS (Somarriba and Beer, 2011). Timber stocks 95 in live fences, coffee and cocoa AFS are also significant; for instance, de Sousa et al. (2015) estimated the 96 standing volume in the range of 21-87 m<sup>3</sup> ha<sup>-1</sup>, 18-87 m<sup>3</sup> ha<sup>-1</sup> and 44-81 m<sup>3</sup> ha<sup>-1</sup>, respectively. The authors 97 claimed that, despite low timber market prices, the net value from timber sales represents 11-49 % of the total revenue from these AFS. However, this amount could be 58 % higher if farmers were able to improve 98 99 management practices. In coffee AFS of Nicaragua, Pinoargote et al. (2016) demonstrated that the 100 consumption and sale of coffee, fruits, timber, and banana equal the economic returns from high yielding full-101 sun coffee plantations. Other studies report yields and/or family consumption rates in complex or simple mixed 102 cocoa and coffee AFS that offer key vitamins and mineral (Lopez-Sampson and Somarriba, 2005; Dahlquist et 103 al., 2007; Salgado-Mora et al., 2007; Méndez et al., 2009; Almendarez et al., 2013). Yet, family incomes from 104 fruit sale is limited to the lack of local market channels. Recent research argues that species richness increases 105 income small farmers of eastern Amazonia. It was documented that enriched fallows and multi-strata 106 agroecosystems resulted in higher income:cost ratios and greater satisfaction than pastures and shifting 107 cultivation (Cardozo et al., 2015).

#### 108 Regulation of pests and diseases

109 The regulation of pests and diseases is an ecosystem service that biodiversity can provide (Pumariño et al., 110 2015; Mortimer et al., 2017). However, in the case of AFS, the associated plant biodiversity could have either 111 positive or negative effects on the regulation of pathogens and other undesirable organisms. On one hand, 112 shade trees can hamper noxious pathogens by favoring their natural enemies, by forming barriers to the movement of infectious propagules or pests, and by modifying microclimate of the understory (Beer et al., 1998; 113 114 Staver et al., 2001; Charbonnier et al., 2017). Trees are also capable of increasing soil nutrients, which is a 115 key function that enhances plant resistance to pests and diseases (Avelino et al., 2012; Tully et al., 2012). On 116 the other hand, shade conditions (especially high dense shade) could favor the development of pathogens 117 including fungi and insects, and some trees and plants could host other pathogens (López-Bravo et al., 2012; 118 Soto Pinto et al., 2012; Cerda et al., 2017a). The reduction of farmer's dependence on pesticides given the 119 diversity and abundance of insects and natural predators in AFS is another key service that aid the maintenance 120 and improvement of water quality and supply (Gómez-Delgado et al., 2011; Allinne et al., 2016).

121 Tree species diversity, spatial arrangement and even phenology of associated trees within agroforestry 122 systems may play a role in favoring or limiting biological control of pest and disease outbreaks (Schroth et al., 123 2000; Sonwa et al., 2002; Perfecto and Vandermeer, 2008). For instance, diversity and clustered rather than 124 regular or random spatial disposition of shade trees negatively affected cocoa yield and favored pathogen 125 pressure in Costa Rican cocoa AFS (Bienga Ngo et al., 2013). Moreover, Opoku et al. (2002) reported that 126 some shade trees such as Funtumia elastica, Sterculia tragacantha, Dracaena mannii and Ricinodendron 127 heudelotii may function as alternative hosts of the cocoa pathogen Phytophthora megakarya. In addition, 128 Bisseleua et al. (2013) documented that greater species richness of native shade tree species was associated 129 with a higher number of wasp nests and spider webs while species richness of understory plants did not have 130 a strong impact on these beneficial species. Likewise, other authors reported that the number of parasitoid 131 families increased with tree species richness and density in spring and summer, but decreased in winter 132 (Sperber et al., 2004). This result implies that a higher diversity of shade trees will help to maintain high 133 parasitoid levels and, in consequence, higher levels of natural enemies of pests, particularly in the warmer 134 seasons. Finally, other authors argued that high diversity and dimensions of shade trees in traditional agroforest 135 of resulted in a considerable heterogeneity of plot light conditions causing that populations of mirids 136 (Sahlbergella singularis) were distributed as pockets in those areas where light transmission was highest 137 (Babin et al., 2010). Overall, the positive or negative effects of shade trees on pest and disease outbreaks will 138 depend on the type of pathogen being assessed and shade canopy structure and management given to both 139 the main crop and trees in the shade canopy. For future studies in AFS, it is suggested to assess primary yield 140 losses (those caused in the current year) and/or secondary yield losses (the reduction of yielding capacity due 141 to negative effects of pests in the previous year) as indicators of regulation of pests and diseases, considered 142 a service given by the structure and plant diversity of the system (Cerda et al., 2017b).

#### 144 Nutrient cycling and soil quality

145 Nutrient cycling is a well-researched feature of several AFS. In general terms, it is believed that nutrient cycling 146 is more efficient in AFS than in monoculture because of complementary nutrients uptake, litter deposition. 147 reduced nutrient leaching and improvement of soil quality that enhance roots development (van Noordwijk and 148 Purnomosidhi, 1995; Nair, 2001; Defrenet et al., 2016). A comprehensive review on nutrient stocks, nutrient 149 cycling, and soil changes in cocoa ecosystems is presented elsewhere (Hartemink, 2005). Moreover, 150 Seneviratne et al. (2010) provides a compilation of the potential, limitations and the effects of plant structure, 151 species richness, spatial arrangement and management practices on nutrient cycling in homegardens. Some 152 notorious examples of cocoa AFS, coffee AFS, homegardens and silvopastoral are given here:

153 Soil fertility and nutrient cycling in coffee and cocoa AFS has been also well researched across the tropics 154 (Schroth et al., 2001; Pinho et al., 2012; Lorenz and Lal, 2014; Tamburini et al., 2016). The mitigation of nutrient 155 leaching losses is an important ecosystem service which is easily affected by small-scale management 156 decisions. For instance, similar N and P losses at 100 cm were reported between organically and conventionally 157 managed AFS (119 kg N ha-1 yr-1 and 1.5 kg P ha-1 yr-1, respectively) (Tully et al., 2012); however, N 158 losses declined linearly with increasing shade tree biomass, which is determined by farmers. P losses, on the 159 other hand, declined with increasing soil iron pools, which are independent of management decisions. 160 Furthermore, Defrenet et al. (2016) reported no significant effect of trees on coffee fine root biomass (coffee 161 root systems comprised 49 % of the total plant biomass in the 1.5 m soil depth) suggesting that coffee root 162 systems are very competitive in the topsoil.

163 A recent study assessed the ability of shade trees to increase soil carbon stocks and soil fertility and found 164 localized positive effects of individual shade trees on soil carbon and nitrogen content, as well as soil 165 aggregation (Blaser et al., 2017). However, there was no evidence for positive effects of AFS via improved soil 166 fertility or carbon sequestration with increasing shade-tree cover at the plot scale. In a previous study, Isaac et 167 al. (2007) reported higher nutrient uptake by cocoa trees under shade (43-80% and 22-45% for N and P. 168 respectively), with K (96–140%) as the most responsive nutrient. Cocoa biomass was also positively affected 169 under low-density shade trees, however, the study cautioned that proper management of upper stratum trees 170 is required for optimum cocoa productivity and effective nutrient cycling. In a subsequent study Wartenberga 171 et al. (2017) reported that increase in tree diversity within cocoa plantations did not increase soil fertility 172 parameters in topsoil layers or cocoa yields. The study concluded that in cocoa AFS tree diversification alone 173 may not be an effective solution to mitigate soil degradation after deforestation.

Large amounts of plant litter deposited in cocoa AFS play a key role in nutrient cycling and soil quality. Overall increase of soil organic matter and soil nutrient in improved traditional cocoa AFS was better than in traditional cocoa plantations (Arevalo-Gardini *et al.*, 2015). Rousseau *et al.* (2012) by using a minimum set of four wellaccepted abiotic soil quality indicators (bulk density, sum of bases, pH and carbon) were able to separate cocoa AFS plots and forests into five distinct clusters along a low-to-high "soil quality" gradient. The study concluded that cocoa AFS in Talamanca, Costa Rica can conserve soil and provide a high level of soil-related ecological

- 180 services. Similarly, Silva Moço et al. (2008) reported a significant litter layer on the soil of old cocoa AFS and
- 181 cabrucas (rustic AFS) in Bahia, Brazil which resulted in higher abundance and diversity of soil fauna (2,094
- 182 individuals m<sup>-2</sup> in the litter and 641 individuals m<sup>-2</sup>). Finally, Pérez-Flores *et al.* (2017) reported that 35-year-old
- 183 cocoa produced more litter than 55-year-old cocoa (2042 vs 1570 kg DM ha<sup>-1</sup> year<sup>-1</sup>) and argued that both N-
- 184 P–K–Ca–Mg contents in litter of 35-year-old cocoa and in litter of 55-year-old cocoa-AFS are enough to recover
- 185 the nutrients extracted by the cocoa crop.

#### 186 Carbon sequestration

187 The potential of several AFS to store atmospheric carbon is the most assessed environmental service across 188 Latin America (Albrecht and Kandji, 2003; Montagnini and Nair, 2004; Nair et al., 2009). Above-ground biomass 189 thus stored carbon has been calculated in coffee and cocoa AFS, silvopastoral systems, home gardens, live 190 fences and riparian forest. Below-ground biomass and soil carbon has been evaluated to a less extent due to 191 presumably the difficulty of capturing mining full data from soil profiles. For instance, Somarriba et al. (2013) 192 reported that total carbon stock of Central American cocoa AFS (soil + biomass + dead biomass) was 117 ± 47 Mg ha<sup>-1</sup>, with 51 Mg ha<sup>-1</sup> in the soil and 49 Mg ha<sup>-1</sup> (42% of total carbon) in aboveground biomass (cocoa 193 194 and canopy trees). The study concluded that stored carbon and sequestration rates in cocoa AFS are significant 195 and similar to those in other cocoa growing regions around the world. Similarly, successional agroforestry 196 systems in Alto Beni, Bolivia had a mean above-ground carbon stock of 143.7 Mg ha<sup>-1</sup> (± 5.3) with notorious 197 differences among plots depending on the tree diversity and shade canopy complexity (Jacobi et al., 2013). 198 Likewise, Jadan et al. (2015) reported that total carbon stocks (aboveground and belowground C) of diversified 199 cocoa AFS in Ecuador were in the range of 85.2 to 141.4 Mg ha<sup>-1</sup>. These registered values were equivalent to 200 half the stored carbon in near primary forests and three times higher the full-sun cocoa. Rustic cocoa or 201 "cabrucas" in Bahia, Brazil store significant amount of carbon estimated in the range of 87 to 187 Mg ha<sup>-1</sup> 202 (Schroth et al., 2013). The authors concluded that this rustic cocoa hold 59 % of the total aboveground C stocks 203 of the tree dominated vegetation at landscape scale, while forests hold 32 % and fallows hold 9 %. In a 204 subsequent study, the same authors found that cabrucas with 55% shade level can sustain yield levels up to 205 of 585 kg ha<sup>-1</sup>, twice the current regional average of 285 kg ha<sup>-1</sup>, and are compatible with an aboveground C 206 stock in the large shade trees (>30 cm dap) of up to 65 Mg ha<sup>-1</sup> (Schroth *et al.*, 2014).

207 Coffee AFS plantations in Latin America also sustain significant quantity of atmospheric carbon in both the 208 coffee shrubs and shade trees. For instance, polyculture-shade organic coffee plots store on average 184.2 209 (±16.5) Mg C ha<sup>-1</sup> in living and dead biomass, and soil organic matter (Soto-Pinto et al., 2010). Moreover, 210 carbon storage of coffee AFS with different dominant shading trees, including Inga spp., Pinus spp. (both 15 211 years old) and Eucalyptus spp. (7 years old) in Villa Rica, Peru were in the range of 119.9 to 177.5 Mg ha<sup>-1</sup>. 212 Most carbon was fixed in the soil (57-99 %), followed by aboveground tree biomass (23-32 %), tree 213 belowground biomass (8–9%), coffee shrubs (0.2–2%) and litter (1%) (Ehrenbergerová et al., 2015). Contrary 214 as expected, (Noponen et al., 2013) used data from two long-term coffee agroforestry experiments in Costa 215 Rica and Nicaragua to assess the effect on total soil organic carbon (SOC) stocks of (i) organic versus 216 conventional management, (ii) higher versus moderate agronomic inputs, (iii) tree shade types. The study

reported that coffee AFS sometimes can function as sinks (Mattsson *et al.*, 2014) or source of soil organic carbon depending on the set of management activities done, type of production systems and shade type.

219 Homegardens, probably the most ancient, complex and widely used AFS in the tropics, also have a substantial 220 potential to store carbon (Mendez et al., 2001; Kumar and Nair, 2004). Recent research conducted by Saha et 221 al. (2009) and Kumar (2011) reveals that the soil C stock was directly related to plant diversity of homegardens 222 in Kerala, India. Overall, within 1 m profile, soil C content ranged from 101.5 to 127.4 Mg ha<sup>-1</sup>. Similarly, 223 (Mattsson et al., 2014) and Mattsson et al. (2013) assessed several homegardens in a dry zone area of 224 Moneragala district, Sri Lanka and reported a mean above-ground biomass stock of 13 Mg C ha<sup>-1</sup> with a large 225 range among homegardens  $(1 - 56 \text{ Mg C} \text{ ha}^{-1}, \text{ n} = 45)$  due tree density and composition among individual 226 homegardens. Total litter production in traditional Mayan home gardens varied from 1,000 to 4,000 kg ha-1 yr 227 <sup>1</sup> and ten arboreal species were found to contribute more than 33% of total litterfall biomass (Benjamin et al., 228 2001). Another set of studies highlighted the multifunctional role of homegardens in providing income, food, 229 timber and ecosystem services while decreasing pressure on natural forests and hence saving and storing 230 carbon (Albuquergue et al., 2005; Salinas-Peba and Parra-Tabla, 2007; Whitney et al., 2017).

### 231 Water cycling and hydric regulation

232 The potential of AFS to provide quality water and regulate water balance is one of the less researched topics 233 in modern agroforestry in Latin America. Yet, trees could modify the water cycle by intercepting condense water 234 (and contained nutrients) from clouds, increasing transpiration rates and facilitating water infiltration thus 235 reducing water run-off (Beer et al., 2003; Montagnini et al., 2013). Pioneer research conducted by de Oliveira 236 and Valle (1990) documented that rainfall and throughfall water in cocoa plots shaded by Erythrina spp. and 237 Ficus spp. in Bahia, Brazil may supply key nutrients to the systems as compared to unshaded cocoa plots. The 238 rainwater contributions of N, Ca, Mg, K, and P reached averages of 43, 21, 9, 9 and nearly 1 kg ha<sup>-1</sup> year<sup>-1</sup>, 239 respectively. In addition, the average annual throughfall recycling rate to the soil in the shaded plots were 21 240 kg Ca ha<sup>-1</sup>, 21 and 12.2 kg Mg ha<sup>-1</sup>, and 13 and 8 kg P ha<sup>-1</sup>. The authors concluded that throughfall and leaf 241 fall constitute the most important nutrient recycling processes in the cocoa ecosystem, which appears to be 242 self-sufficient in terms of its nutrient requirements. Interestingly, Poppenborg and Hölscher (2009) documented 243 emergent trees in cocoa AFS tended to reduce rain water input, and produced clear spatial patterns in 244 throughfall distribution since estimated rainfall interception loss was 4% and 16% of Pg in cocoa only and cocoa 245 plus trees plots, respectively. These results suggest that a reduced water availability may lead to reduced 246 cocoa bean yields in times of water shortage. Finally, it was confirmed that the presence of Inga trees modifies 247 coffee architecture with shaded coffee plants presenting larger stems and branches resulting in higher coffee 248 funneling ratio under shade. In AFS, coffee plants and trees accounted respectively for 88% and 12% of total 249 stemflow which represented 11.8% of incident rainfall (Siles et al., 2010).

Trees increase hydrologic services in pasture lands, a rapidly expanding land use type across Latin America, and therefore may be a viable land management option for mitigating some of the negative environmental impacts associated with land clearing and extensive cattle ranching (Wassenaar *et al.*, 2007; Montagnini *et al.*, 253 2013; Benegas et al., 2014). For instance, Bharati et al. (2002) reported that infiltration rates were up to five 254 times greater in multispecies riparian buffers than under cultivated fields and grazed pasture, suggesting that 255 pastures with dispersed trees have the potential to increase infiltration and prevent nutrient leaching to 256 groundwater. Likewise, in other study, the tree cover was negatively correlated with runoff (R = -0.71; P=0.01) 257 and positively with infiltration (r = 0.75; P=0.01)(Rios et al., 2008). The study concluded that silvopastoral 258 systems, which have tree components, showed better hydrological benefits in the recharge zone due to a 259 decreased on the runoff and increased infiltration enhancing soil water conservation. After comparing rainfall 260 intensity and frequency data to the measured infiltrability values, Benegas et al. (2014) conclude that trees in 261 pasture systems reduce surface runoff at the highest observed rainfall intensities (>50 mm  $h^{-1}$ ). Finally, in other 262 crops, it was estimated that rainfall interception losses were 6.4% in the polyculture, 13.9 and 12.3% in the peach palm monocultures (Bactris gasipaes), respectively, 0.5% in the Theobroma grandiflorum monoculture 263 264 and 3.1% in the fallow (Schroth et al., 1999). With more than 20% of the open-area rainfall, the highest stem 265 flow contributions to the water input into the soil were measured in the peach palm monocultures and in the 266 fallow in central Amazonia.

267 Similarly, Cannavo et al. (2011) documented that runoff was lower in coffee AFS that in monoculture (5.4 and 268 8.4% of total rainfall, respectively) and a higher water infiltration was observed under AFS. Still, the higher 269 combined rainfall interception + transpiration of coffee and shade trees in AFS resulted in a lower drainage 270 than in monoculture. No coffee water stress was recorded either in SC or MC as relative extractable soil water 271 remained above 20% during the dry seasons. More recently, Padovan et al. (2018) evaluated water loss by 272 transpiration and soil evaporation in coffee AFS compared to full sun coffee in sub-optimal environmental 273 conditions and found that AFS were more efficient water user than full sun coffee because a greater proportion 274 of rainfall was used by plant transpiration rather than being lost by soil evaporation.

275 Likewise, a study of coffee AFS in Southern Mexico (Chiapas, Mexico) conducted to examine the ability of 276 shade trees to maintain water availability in a shade agroecosystem reported that with 60-80% shade cover, 277 daily soil evaporation rates significantly decreased by 41% compared to the low shade site (10–30% shade), 278 although high levels of soil moisture were maintained in the dry season with only 30-65% shade cover (Lin, 279 2010). The study concluded that the presence of shade cover can reduce overall evaporative demand from soil 280 evaporation and coffee transpiration. Conversely, Abdulai et al. (2017) documented that soil water content in 281 full sun is higher than in shaded cocoa (Albizia ferruginea and Antiaris toxicaria) suggesting that cocoa mortality 282 in the shaded systems is linked to strong competition for soil water. Therefore, promoting cocoa AFS as drought 283 resilient system especially under climate change needs to be carefully reconsidered.

284

Section 2. Practical approaches to assess trade-offs between different ecosystem services, and between
 ecosystem services and biodiversity

Balancing production and conservation requires a better understanding of the multiple trade-offs and synergies among ecosystems services to aid design interventions towards resilient agricultural landscapes (Howe *et al.*, 2014; Cordingley *et al.*, 2016; Rahman *et al.*, 2016). There are several approaches to analyze and identify possible trade-offs between indicators of ecosystem services and plant biodiversity in the systems, ranging from exploratory analysis of relationships, correlations, linear and nonlinear relationships, calculation of magnitude of trade-offs, and analysis of services in relation with the allocation of trees in the system. Here below we describe recent practical approaches to assess trade-offs that can be applied to AFS.

### 295 Principal component analysis (PCA)

PCA among indicators of ecosystem services, and variables of structure and tree diversity of shade canopies, is useful in order to explore the overall relationships among shade canopy features and among ecosystem services. The position of indicators and variables in a biplot of PCA can reveal whether relationships are positive or negative. This latter then could be denoting possible trade-offs which deserves more attention.

#### 300 Analysis of Spearman correlations

Spearman correlation analysis between pairs of variables are useful to identify types and levels of relationships (Felipe-Lucia *et al.*, 2014; Tamburini *et al.*, 2016). In the case of agroforestry systems such correlations can be performed between pairs of indicators of ecosystem services, and between ecosystem services and shade canopy features. This analysis provides a first insight of whether positive or negative relationships between two variables are present. Negative correlations denote trade-offs, and the higher the coefficient the higher the trade-off can be between paired ecosystems services.

#### 307 Linear and nonlinear regressions

Linear and nonlinear regression analysis can be done between original values of pairs of indicators of ecosystem services, and between ecosystem services and shade canopy features (Rapidel *et al.*, 2015; Cerda *et al.*, 2017a). Significant negative relationships would be denoting trade-offs, with the R<sup>2</sup> as an indicator of the strength of the influence of one ecosystem service on the reduction of the other one.

#### 312 Estimation of the magnitude of trade-offs

There is also another way to analyze relationships between pairs of indicators. Indicators of ecosystem services can be standardized to values between 0-1 to analyze relationships (plots) between pairs of indicators, and then calculate the root mean square deviation (RMSD) as representatives of the magnitudes of the trade-offs (Bradford and D'Amato, 2012; Lu *et al.*, 2014). The higher the RMSD, the higher the trade-off. This approach provides a promising way to visualize trade-offs. Paired ecosystems services plotted closer to the 1:1 line represent the ideal relationship (no trade-offs). Paired ecosystems services plotted far from the 1:1 line indicates otherwise (greater trade-offs) within the agroecosystem (Figure 1). The standardization (0-1) for each
 observation of indicators of ecosystem services is done as follows (Lu *et al.*, 2014):

321 
$$ESstd = (ESobs - ESmin / ESmax - ESmin)$$

where *ESstd* is the standardized value of any ES, *ESobs* is an observed value, and *ESmin* and *ESmax* are the

323 minimum and maximum observed values.

324 The root mean square deviation (RMSD) is calculated as following (Lu *et al.*, 2014):

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326

$$RMSD = \sqrt{\frac{1}{n-1} \cdot \sum_{i=1}^{n} (ES_i - \stackrel{\wedge}{ES})^2}$$

327 where ESi is the standardized value of ESi and ES<sup>^</sup> is the expected value of the i number of ESs. RMSD

328 quantifies the average difference between an individual ESstd and the mean ESstd. In a two-dimensional plot,

329 ES<sup>^</sup> is on the 1:1 ESs (Figure 1).



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Figure 1. Diagram of trade-off analysis between two indicators of ecosystem services by
 standardization of ecosystem services values and use of root mean square deviation (RMSD). Source:
 Bradford and D'Amato (2012) and Lu et al. (2014).

#### Analysis of allocation of tree basal area in the agroforestry system

336 Consists on the analysis of the competitiveness between basal area of trees of the main crop and trees of the 337 shade canopy in the agroforestry system (Somarriba et al., 2018). The increase of the presence of a given type 338 of tree can influence the presence of other trees, and therefore influence the quantity of services provided 339 (Figure 2). For instance, a high density and basal area occupied by the main crop may reduce the presence of 340 trees in the shade canopy. Thus, a service provided by the main crop (e.g. production) may be limiting the 341 provision of other service that shade canopy can provide (e.g. carbon sequestration), that way denoting trade-342 offs. That is why is also worth to analyze the provision of given ecosystem services in function of densities 343 and/or basal areas of different type of trees of the system.

344



Figure 2. Analysis of competitive allocation of basal areas between tree components of the system that may result in trade-offs. Modified from (Somarriba *et al.*, 2018).

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#### 355 Identification of promising individual systems with the least trade-offs

Apart from identifying trade-offs, it is worth to identify the most promising individual systems (those with least trade-offs and achieving simultaneously desired values of ecosystem services), which could serve as successful models to follow. When plotting in two dimensions, for instance, ecosystem service 1 vs. ecosystem service 2, or ecosystem service vs. biodiversity indicator, or ecosystem service vs. tree basal area of the system, it is also possible to define desired values for the given indicators of ecosystem services, and identify which systems surpass such desired value and at the same time reach good value of the other indicator (Figure 3).



<sup>369</sup> ES1: ecosystem service; ES2: another ecosystem service; Biod: biodiversity in the system; BasalA: basal area of trees in the system

# Figure 3. Approach to identify the most promising systems. Modified from (Rapidel *et al.*, 2015; Cerda *et al.*, 2017a).

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We believe that the application of all techniques presented above in a given study, will ensure the identification of all possible trade-offs between paired ecosystem services, and between ecosystem services and biodiversity. The integral analyzes of results, will allow to draw tailored recommendations and technical advocacy (on design and management) to balance possible trade-offs in each land use being studied or at a broader scale in a productive landscape composed by several land uses.

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Section 3: Study case. Application of trade-offs analysis to derive better management strategies for agroforestry
 systems

#### 388 Study site: The Sentinel Landscape El Tuma-La Dalia, Nicaragua.

389 El Tuma-La Dalia is a municipality of the department of Matagalpa, located in the north center of Nicaragua. El 390 Tuma-La Dalia is part of The Sentinel Landscape Nicaragua-Honduras, where research and initiatives are 391 promoted in order to achieve better management and/or re-introduction of trees at farm and landscape level to 392 increase ecosystem restoration. The landscape of El Tuma-La Dalia is mountainous, where 60% of the land is 393 in slopes and nearly 40% is flat. About 60% of the landscape is fragmented with low forest cover. More than 50% of the land is cultivated in farms whose sizes range from ~0.5 ha - 300 ha, and most commonly 394 395 smallholders have individual private ownership, and good accessibility. The climate is subtropical, semi-humid 396 forest, with annual precipitation between 2,000 and 2,500 mm. The temperature ranges between 22° and 24°C. 397 Land use includes mainly cattle ranching, coffee plantations and staple grains, although homegardens and 398 cocoa plantations are also important. All of these land uses have tree components; therefore, we consider all 399 of them as agroforestry systems in the sentinel landscape. They are the main source of income for families, 400 however crop yields are low, due to the impact of diseases (e.g. coffee rust), pests (affecting staple grains), 401 and the alternation of drought and excessive rainfall. Agricultural practices and climate change have taken their 402 toll on the environment: water sources have decreased their flow and are contaminated, and the soils are less 403 fertile. Soils are clayey and loamy clay, with erosion moderate and mostly with agricultural coverage.

#### 404 Data collection

405 We used data set from an ongoing project (Forests, Trees and Agroforestry) that assessed tree cover in agroforestry systems of cocoa, coffee, pastures, grains and homegardens in the Sentinel Landscape. This 406 407 project has generated information regarding provisioning and regulating services from each agroforestry 408 system. We used data of yields of all products produced by the system (not only products from the main crop) 409 to calculate cash flow, value of domestic consumption and family benefit as indicators of provisioning services. 410 We used data of carbon in the above-ground biomass in the shade canopy as indicator of a regulating service. 411 In addition, we selected data about plant diversity (species richness, plant density, basal area) to describe tree 412 canopy structure and reflect the plant biodiversity in the systems. We used these data of 31 cocoa AF, 41 413 coffee AF, 63 grain fields, 61 pastures (silvopastoral), and 75 homegardens, for a total of 271 plots for this 414 study case.

#### 415 Shade canopy features and indicators of ecosystem services

416 Data of the structure and plant biodiversity were obtained through field inventories. Trees recorded in each 417 agroforestry system were identified at species level, and basic measurements such as trunk diameter, tree 418 height and local used were taken. These field inputs allowed us to calculate tree species richness, Shannon 419 index, and tree density and basal area. We calculated economic indicators (cash flow, value of domestic consumption and family benefit) as indicators of provisioning services, to reflect the overall contribution of the agroforestry systems to family's wellbeing (Cerda *et al.*, 2014; Pinoargote *et al.*, 2016). Economic indicators comprise incomes provided by the main crops as well as other products such as bananas, other fruits and timber. Farm gate prices per product, cultural practices, applied inputs, and production costs (labor and inputs) were obtained through farmers' interview. Calculated economic indicators are described below:

426 •  $GI = AS \times MP$ 

 $427 \quad \bullet \quad CF = GI - CC$ 

- 428  $VDC = ADC \times MP$
- 429 FB = CF + VDC

430 Where: GI = gross income from sale of agroforestry products; AS = amount of agroforestry products for sale;

MP = market price; CC = cash costs; CF = cash flow; FB = family benefit; VDC = value of domestic consumption;
 ADC = amount of agroforestry products for domestic consumption. All results were expressed in United State

- 433 dollars as USD.
- Finally, we quantified aboveground carbon stock in the trees of the shade canopy (not including carbon in the main crops) of the agroforestry systems as indicator of regulating service. Tree inventory inputs were computed and combined with published allometric equations to calculate biomass thus stored carbon for coffee shrubs, cocoa plants and shade trees, dispersed trees in pastures, associated trees in grain fields and tree population in home gardens (Somarriba *et al.*, 2013; Cerda *et al.*, 2014; Andrade *et al.*, 2016; Pinoargote *et al.*, 2016).

#### 439 Data analysis

We applied all the techniques aimed to identify possible trade-offs between paired ecosystem services, and between ecosystem services and biodiversity described in section 2: PCA, Spearman correlations, linear relationships, magnitude of trade-offs, and relationships with shade canopy features. Biodiversity was represented by Shannon index, and densities and basal areas of different types of trees in the agroforestry systems. Based on the evidences, we derived recommendations to improve the design of agroforestry systems for better provisioning of ecosystem services.

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451 Results

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### 453 Structure and plant biodiversity in the agroforestry systems of the Sentinel Landscape

The five agroforestry systems within the Sentinel Landscape were contrasting in terms of cultivated area, tree diversity, densities and basal areas. Pastures with trees was the land use with more area per system followed by grain fields, cocoa and coffee AFS with similar areas, and homegardens with the lowest area. Yet, homegardens were the most complex systems as expressed by high densities and tree basal areas (specially fruit trees), followed by coffee and cocoa AFS. Grain fields and pastures had similar characteristics in terms of tree density, basal area and species diversity (Table 1 and Figure 4).

### 460 Ecosystem services provided by agroecosystems

461 Important differences were found between economic indicators (representatives of provisioning services) and 462 carbon stocks (representative of regulating services) among agroforestry systems. Homegardens contributed 463 the most to the overall family benefit, but thanks only to their high domestic consumption. Moreover, cash flow 464 of homegardens was negative on average, which means that most of the whole production is consumed on 465 farm. Both coffee and cocoa AFS registered higher contributions to cash flow than the other systems, and 466 higher value of standing timber, which represents an important family provisioning good for future needs. Grain fields, like homegardens, contributed more to the value of domestic consumption than to the cash flow. Finally, 467 468 pastures showed the lowest indicators of provisioning in general. Carbon stock was higher in coffee AFS, 469 followed by cocoa AFS, homegardens and least in pastures and grain fields. (Table 2, Figure 4).

Characteristics		Cocoa	AFS	Coffee	AFS	Grain fi	elds	Pastu	res	Homega	rdens
	Units	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Area of the system	ha	1.9	2.5	1.8	1.8	2.0	2.2	8.3	13.5	0.2	0.2
Density of service trees		33.2	28.9	43.6	24.8	8.5	9.5	14.8	11.9	41.7	41.7
Density of posts trees		1.5	3.3	4.5	7.8	0.8	2.0	1.0	2.2	1.8	4.6
Density of fruit trees	ind/ha	35.2	49.0	51.5	55.2	4.8	7.3	6.7	9.8	109.6	88.2
Density of timber trees		38.7	36.1	55.1	45.1	19.6	19.2	22.9	19.2	26.7	30.0
Total density of shade canopy		108.6	85.7	154.7	86.4	33.6	30.1	45.4	29.7	180.1	111.8
Basal area of service trees		1.7	1.2	2.0	0.9	0.4	0.4	0.7	0.5	1.7	2.2
Basal area of posts trees		0.2	0.4	1.1	3.3	0.1	0.3	0.1	0.3	0.1	0.6
Basal area of fruit trees	m²/ha	1.0	1.6	1.3	1.6	0.2	0.3	0.2	0.3	3.2	3.2
Basal area of timber trees		2.7	2.0	3.8	2.8	1.2	1.7	1.2	0.9	1.4	2.1
Total Basal area of shade canopy		5.5	3.4	8.2	4.1	1.9	2.1	2.1	1.4	6.5	4.7
Total species richness	number	26.4	15.5	31.5	12.2	12.4	6.8	29.6	20.9	12.9	8.5
Shannon index		2.5	0.6	2.7	0.4	1.9	0.6	2.3	0.8	2.0	0.7

### 470 Table 1. Structural feature of five dominant agroforestry systems in the Sentinel Landscape, Nicaragua

471 SD: standard deviation; ind: individuals; AF: agroforestry systems.



485 Agroforestry systems are represented by blue circles

486 Indicators of provisioning services are represented in green

487 Carbon in the shade canopy is the indicator of a regulating service, and is showed in purple

488 Shannon index is representative of plant biodiversity in the shade canopies, and is displayed in red

489 Structural features of tree cover are represented in black

490 Figure 4. Principal component analysis to explore the relationships among structural features of tree

#### 491 cover and ecosystem services among five agroforestry systems in the Sentinel landscape, Nicaragua.

492	Table 2. Ecosystem services provided by five dominant agroforestry systems in the Sen	tinel
493	Landscape, Nicaragua	

Indicators of ecosystem	Cocoa AFS		Coffee AFS		Grain fields		Pastures		Homegardens	
services	Mean	SD	Mean	SD	Mean	SD	Mean	SD	Mean	SD
Cash Flow (USD/ha)	426	776	796	953	126	567	226	400	-753	1521
Value of domestic consumption (USD/ha)	529	753	555	663	745	551	223	245	2978	3042
Family Benefit (USD/ha)	955	1035	1351	1123	871	708	449	479	2225	2564
Value of standing timber (USD/ha)	4857	3833	6564	5189	2001	2723	1896	1688	2163	3294
Carbon in the shade canopy (tons/ha)	20	16	36	32	6	5	9	5	22	17

494 SD: standard deviation; AFS: agroforestry systems

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### Trade-offs between ecosystem services, and biodiversity

#### 497 Principal component analysis

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499 This analysis permitted to visualize, in an exploratory way, some possible trade-offs (negative relationships). 500 For instance, trade-offs between cash flow and value of domestic consumption, between cash flow and family 501 benefit, and between plant biodiversity (Shannon index) and family benefit. Shannon index showed positive 502 relationships with carbon in the shade canopy and cash flow. While carbon in the shade canopy showed 503 practically no relationships with economic indicators (Figure 4).

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#### 505 Spearman correlations and linear regressions

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507 We found four significant relationships denoting trade-offs among economic indicators and standing timber, 508 and among economic indicators and plant biodiversity. The value of domestic consumption was negatively 509 correlated with cash flow and with the value of standing timber. Plant biodiversity showed negative relationships 510 with value of domestic consumption and family benefit. No significant relationships were found between 511 economic indicators and carbon in the shade canopy (Table 3). The coefficients of correlation were low in 512 general, therefore according to this analysis the negative relationships would be considered as slight trade-513 offs. Based on linear regression analysis with paired ecosystem services (similar to Table 3), the same four 514 significant negative relationships (p<0.05) were found but with even lower R<sup>2</sup> than the coefficients of spearman, 515 supporting that such trade-offs would be slight.

#### 516 Table 3. Spearman correlations between economic indicators of ecosystem services of five dominant 517 agroecosystems of the Sentinel Landscape, Nicaragua

	Cash Flow		Value domestic consumption		Family E	Benefit	Value standi timber	ng	Carbon in the shade canopy		
	Coef	p-value	Coef	p-value	Coef	p-value	Coef	p-value	Coef	p-value	
Value of domestic consumption	-0.41	1.20E-12									
Family Benefit	0.16	0.01	0.74	0							
Value of standing timber	0.35	2.30E-09	-0.12	0.04	0.06	0.35					
Carbon in the shade canopy	0.1	0.12	0.09	0.14	0.24	5.60E-05	0.52	0			
Shannon index	0.27	<0.0001	-0.32	<0.0001	-0.12	0.0398	0.44	<0.0001	0.44	<0.0001	

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### 521 Magnitude of trade-offs

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522 The analysis of relationships using standardized indicators and RMSD revealed trade-offs between economic 523 indicators and plant biodiversity, and carbon stocks. The trade-offs favoring one indicator over another one, 524 and the magnitude of such trade-offs, were different depending on the type of agroforestry system as it is 525 explained bellow.

526 Most agroforestry systems favored plant biodiversity over economic indicators, especially in the case of the 527 relationship with cash flow. The systems with higher magnitude of trade-offs favoring plant biodiversity were 528 coffee AFS, cocoa AFS and pastures. Homegardens showed lower trade-offs and was the only system 529 exhibiting more observations closer to the 1:1 line (the line of no trade-offs) in the relationships of plant 530 biodiversity with value of domestic consumption and family benefit (Figure 5). These are important findings 531 which indicate that in most of the systems such trade-offs occur due to two possible reasons: the tree species 532 of the plant diversity do not provide products for the consumption of the families or domestic animals, nor other 533 products for on-farm uses (timber, firewood, materials) as it would be desired (not useful trees); and/or, farmers 534 are not properly managing their trees, nor taking advantage of the products they could harvest.



549 Red line is the 1:1 line, which represents no trade-offs.

Points and bars: black: home gardens; blue: grain fields; green: pastures; red: shaded coffee; orange: shaded cocoa. Magnitude of trade-offs are represented by the Root Mean Square Deviation (RMSD): the higher the RMSD, the greater the trade-off.

#### 553 Figure 5. Analysis of trade-offs between economic indicators and plant biodiversity (Shannon index) in 554 the shade canopy of five agroforestry systems in the Sentinel Landscape, Nicaragua.

Trade-offs between economic indicators and carbon stocks were notably different depending on the type of 555 556 agroforestry system. Most coffee AFS, cocoa AFS, homegardens and pastures favored carbon stocks over 557 cash flow, while most of grain fields on the contrary, favored cash flow instead. The relationships with the other 558 economic indicators showed a similar trend, except for homegardens. Approximately half of such systems 559 favored more the value of domestic consumption and family benefit over carbon stocks. In general, the magnitude of trade-offs was higher in coffee AFS, and then in cocoa AFS and homegardens (Figure 6). The 560 561 magnitude of trade-offs of grain fields and pastures were lower, but it is not an indicator that these systems 562 perform better, because their economic indicators were low. These findings, indicate that, in general, the 563 presence of trees is useful for sequestering carbon but represent an imbalance with the provisioning of incomes 564 and domestic consumption.



575 576 Red line is the 1:1 line, which represents no trade-offs.

Points and bars: black: home gardens; blue: grain fields; green: pastures; red: shaded coffee; orange: shaded cocoa. Magnitude of trade-577 offs are represented by the Root Mean Square Deviation (RMSD): the higher the RMSD, the greater the trade-off. SC: shade canopy

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#### 579 Figure 6. Analysis of trade-offs between economic indicators and carbon in the shade canopy of five 580 agroforestry systems in the Sentinel Landscape, Nicaragua.

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586 There were also trade-offs between carbons stocks and plant biodiversity. It was clear that all types of 587 agroforestry systems favored more plant biodiversity over carbon stocks. Only few cases of coffee AFS and 588 homegardens were closer to the line 1:1 as reference of no trade-offs (Figure 7). Considering this finding and 589 the others regarding the relationships with economic indicators, it can be said that the evaluated agroforestry 590 systems are contributing to the maintenance and conservation of plant biodiversity (specially trees), but this 591 biodiversity incur in trade-offs with both incomes and domestic consumption, and with carbon sequestration in 592 the current conditions.



Red line is the 1:1 line, which represents no trade-offs.

Points and bars: black: home gardens; blue: grain fields; green: pastures; red: shaded coffee; orange: shaded cocoa. Magnitude of tradeoffs are represented by the Root Mean Square Deviation (RMSD): the higher the RMSD, the greater the trade-off. SC: shade canopy

#### 610 Figure 7. Analysis of trade-offs between carbon and plant biodiversity (Shannon index) in the shade 611 canopy of five agroforestry systems in the Sentinel Landscape, Nicaragua. 612

#### 614 Promising individual agroforestry systems

615 By applying the approach of identifying individual promising systems (Figure 3), there were very few successful 616 cases among agroforestry systems assessed. Within paired relationship between cash flow and plant 617 biodiversity, only one coffee AFS and one cocoa AFS would be successful. In the case of homegardens, only 618 two cases would be ranked as being successful when family benefit and plant biodiversity (Figure 5) were 619 contrasted. While there were no promising systems to simultaneously achieve high economic indicators and 620 high carbon stocks (Figure 6). Finally, there were five coffee AFS able to reach high carbon stocks with good 621 plant biodiversity values (Figure 7), but they cannot be considered completely successful if they do not 622 contribute importantly to family benefits.

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#### 627 Relationships between ecosystem services and shade canopy features

628 Based on Spearman correlation analysis, a few significant and negative relationships between provisioning 629 services and shade canopy features were found, denoting trade-offs. It is possible to highlight the negative 630 relationship between cash flow and densities and basal area of fruit trees. There were also negative 631 relationships between value of domestic consumption and family benefit with post trees, but this does not 632 represent a considerable issue given the low density of such trees. Contrary to what was expected, the 633 relationships between value of domestic consumption and family benefit with species richness and Shannon 634 index were negative (Table 4). This latter indicates that plant biodiversity within the five agroforestry systems 635 is leading to trade-offs with the overall expected family benefits. This confirms the findings with the analysis of 636 magnitudes of trade-offs.

	Cas	h Flow	Value of domestic consumption		Value of domestic Family Benefit consumption		Value of standing timber		Carbon SC	
	Coef	p-value	Coef	p-value	Coef	p-value	Coef	p-value	Coef	p-value
Density of service trees	0.04	0.5198	0.15	0.0131	0.23	0.0001	0.33	<0.0001	0.44	<0.0001
Density of posts trees	0.27	<0.0001	-0.28	<0.0001	-0.12	0.0465	0.21	0.0005	0.24	0.0001
Density of fruit trees	-0.2	0.0008	0.46	<0.0001	0.43	<0.0001	0.18	0.0038	0.53	<0.0001
Density of timber trees	0.22	0.0004	-0.03	0.5762	0.09	0.1623	0.79	<0.0001	0.4	<0.0001
Total density of shade canopy	-0.11	0.0674	0.38	<0.0001	0.4	<0.0001	0.39	<0.0001	0.6	<0.0001
Basal area of service trees	0.13	0.0391	0.06	0.3154	0.18	0.0028	0.45	<0.0001	0.58	<0.0001
Basal area of posts trees	0.21	0.0004	-0.2	0.0012	-0.07	0.2377	0.21	0.0004	0.26	<0.0001
Basal area of fruit trees	-0.16	0.0065	0.43	<0.0001	0.42	<0.0001	0.2	0.0008	0.62	<0.0001
Basal area of timber trees	0.34	<0.0001	-0.1	0.0955	0.07	0.2197	0.98	<0.0001	0.53	<0.0001
Total Basal area of shade canopy	0.06	0.3553	0.24	0.0001	0.34	<0.0001	0.65	<0.0001	0.82	<0.0001
Total species richness	0.37	<0.0001	-0.43	<0.0001	-0.19	0.0021	0.5	<0.0001	0.45	<0.0001
Shannon index	0.27	<0.0001	-0.32	<0.0001	-0.13	0.0397	0.44	<0.0001	0.44	<0.0001

## Table 4. Spearman correlations analysis between economic indicators of ecosystem services and shade canopy features of five agroforestry systems in the Sentinel Landscape, Nicaragua

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640 The overall performance of provisioning indicators (family benefit) in relation to basal area of different types of 641 trees for all agroforestry systems in the Sentinel Landscape is presented in Figure 8. In the figures it is possible 642 to see which observations (individual systems) surpass a family benefit of 2500 USD/ha/year, which we 643 consider as a desirable family benefit in the context of that Sentinel Landscape El Tuma-La Dalia. Likewise, it 644 is possible to identify, per each agroforestry system, which observation achieved the maximum value of both 645 family benefit and tree basal area d. For instance, the best paired family benefit and total tree basal area values for pastures, grain fields, coffee AF, cocoa AF and home gardens were 3 m<sup>2</sup>/ha, 5 m<sup>2</sup>/ha, 9 m<sup>2</sup>/ha, 12 m<sup>2</sup>/ha, 646 647 and 16 m<sup>2</sup>/ha, respectively (Figure 8). In summary, these will be the maximum values of basal area 648 recommended to manage in each agroforestry system and avoid significant trade-offs. Overall, the approach 649 employed in this study allowed us to better understand the composition of basal areas of different types of trees 650 and their relationships with family benefits provided by trees of successful agroforestry systems.



Points: black: home gardens; blue: grain fields; green: pastures; red: shaded coffee; orange: shaded cocoa.

Horizontal black lines indicate the acceptable value of family benefit considered in this study. Colored circles point to the systems which achieved the highest family benefits of each agroforestry systems.

Vertical colored lines denote the total basal area in the shade canopy of the systems which achieved the highest family benefits of each agroforestry systems

Figure 8. Relationships between family benefit and basal area of different types of trees in the shade canopy of agroforestry systems in the

Sentinel Landscape, Nicaragua. 

### 678 Conclusion and guidelines for balancing trade-offs in agroforestry systems.

679 The statistical techniques used in this study were useful and complementary among them and aid to identify 680 and support the trade-offs assessment. Principal component analysis was useful to explore the overall 681 relationships among shade canopy features and ecosystem services across agroforestry systems studied. 682 Spearman correlations analysis was suitable to identify the main negative relationships among economic 683 indicators, plant biodiversity and carbon stocks. Standardized ecosystem services indicators of a given 684 agroforestry systems, observations in relationship figures and the RMSD allow the identification of trade-offs, 685 and their imbalances of benefiting given ecosystem services over others, depending on the type of agroforestry 686 systems.

In the study site, in general, most observations across agroforestry systems (coffee AFS, cocoa AFS, grain fields, pastures and homegardens) favored more plant biodiversity and a regulating service (carbon sequestration) over provisioning services (reflected by economic indicators). Such trade-offs need to be balanced in order to obtain higher incomes and overall tangible benefits for the farmers' families. By doing so, the exploration of economic indicators in relation to tree basal area will help to better identify appropriate levels of basal areas per type of tree that should set as thresholds to simultaneously obtain family benefits and key ecosystem services at landscape level.

Based on the analysis of trade-offs of indicators of ecosystem services and relationships with tree basal area,
the following recommendations can be drawn for the studied landscape:

- Across agroforestry systems, grain fields and pastures offered the lowest values of provisioning and
   regulating services. Therefore, these land uses require more tree-based interventions to increase the
   overall contributions of tree to both ecosystems services and family benefits.
- Homegardens provided important values for domestic consumption but not for cash flow, denoting a trade-off between those economic indicators. Thus, efforts should be made to promote the cultivation and sale of plant species which offer marketable products to increase family cash flow year-round.
- Contrary to homegardens, coffee AFS and cocoa AFS, need to increase the offer of products for
   domestic consumption. Such intervention will help create a better balance between family benefit and
   carbon stocks, and between family benefit and plant biodiversity.
- Within all systems, farmers should be encouraged to plan and properly manage only shade tree species which provide tangible products such as fruits, construction materials, firewood, timber, and medicines for the family, apart from providing shade and/or providing biomass and Nitrogen fixing (like leguminous trees).
- Data from Figure 8 can be considered as a technical advice to make informed decisions regarding the
   most suitable amount of basal areas per type of tree and total basal area of the shade canopy per each
   type of agroforestry system.

- 712 Actions aimed to reduce of trade-offs and the maximize the overall benefits that agroforestry systems can offer
- in productive landscapes, apart from contributing to improve farmers' wellbeing, might also reduce the pressure
- of clearing more secondary and primary forests to increase agricultural production. Therefore, such actions are
- 715 needed in landscapes like El Tuma-La Dalia which already are fragmented and has low forest cover.

The statistical techniques explained and applied in this chapter are especially useful for suggesting measures

- to reduce trade-offs and achieve better balances among ecosystem services and plant biodiversity. However,
- the way to achieve maximization of overall benefits, could be reinforced by techniques aimed to identify and
- 719 increase synergies. A multidimensional analysis and modelling of synergistic relationships among management
- 720 (agronomic and agroforestry practices), shade canopy structure and the provision of several ecosystem
- services, could derive further recommendations to design (or re-design) successful agroforestry systems.

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