
1. BACKGROUND

Pursuant to Section 6 of both the Nasdaq Global Data Agreement and the Nasdaq Canada Data Agreement (collectively, the “**GDA**”), a Distributor may include an Affiliate under such Distributor’s GDA. This Affiliates Policy (“**Policy**”) details the terms and conditions for adding any such affiliate. Terms not otherwise defined in the Policy shall have the meaning detailed in the GDA.

2. REQUIREMENTS

In order to add an entity as an Affiliate under the GDA, such entity must meet the definition of an Affiliate and satisfy eligibility requirements under the GDA. In the event that the Affiliate does not meet such definition, the entity will be required to enter into a GDA with Nasdaq. In the event Distributor provides access to the Information to an entity that does not meet the definition of an Affiliate or is not otherwise deemed eligible to receive the Information, such access and/or use shall be deemed a knowing provision of Other Information.

3. AMENDMENT OF AFFILIATES

A Distributor may add an Affiliate that meets the requirements detailed in Section 2 above by submitting a [List of Affiliates](#). Nasdaq may require additional documentation demonstrating that the Affiliate meets such requirements. Distributor shall update the List of Affiliates promptly in the event the Affiliate(s) no longer meets the requirements.

4. EXISTING GDA

An Affiliate that meets the requirements of Section 2 may not be added to the List of Affiliates if such Affiliate has entered into a GDA with Nasdaq until such time as the Affiliate terminates the GDA in accordance with Section 10 (Term and Termination). Any addition prior to termination shall be deemed null and void and Nasdaq shall continue to administer the services for the Affiliate in accordance with the GDA with such Affiliate, including all applicable fees.

5. AFFILIATES IDENTIFIED ON MORE THAN ONE LIST OF AFFILIATES

In the event an Affiliate is identified on a List of Affiliates for more than one Distributor, Nasdaq shall recognize the most recently submitted List of Affiliates; provided the submitting Distributor has demonstrated to Nasdaq’s reasonable satisfaction that the identified Affiliate meets the requirements of Section 2 herein. In such an event, the Affiliate shall be deemed removed from the previous List(s) of Affiliates with or without notice to the other Distributors. If the submitting Distributor is not able to demonstrate that the Affiliate meets the qualifications of Section 2: (a) the Affiliate may not be added to the List of Affiliates; and (b) Nasdaq may request certification from the other Distributor(s) and/or Affiliate that the Affiliate still meets the

qualifications of Section 2 for such other Distributor(s). If the Affiliate and/or other Distributor(s) fails to demonstrate that the Affiliates meets the qualifications to Nasdaq's reasonable satisfaction, any further access to the Information by the Affiliate for which the Affiliate is not otherwise deemed eligible to receive the Information, shall be deemed a knowing provision of Other Information by the other Distributor providing access to such Information.