

# Transaction Schedule

## Brokerage/Client Service Account

### Equities, ETFs, Closed-End Funds, and Options

Online Order Entry	Ticket Charge	Advisor/Staff
Equities, ETFs, and Closed-End Funds	\$19.95 <sup>1</sup>	\$4.95 <sup>1</sup>
Options	\$15 + \$1 per contract <sup>1</sup>	\$12 + \$1 per contract <sup>1</sup>

Fidelity ETFs are available without a transaction fee in NFS accounts.<sup>1</sup> For a list of these products, please visit Products > Investment Products > Exchange-Traded Funds > Fidelity ETFs (No transaction fee) on COMMunity Link.®

Trader Assisted	Ticket Charge	Advisor/Staff
Equities, ETFs, and Closed-End Funds	\$25 <sup>1,2</sup>	\$4.95 <sup>1</sup>
Options	\$20 + \$1.25 per contract <sup>1,2</sup>	\$12 + \$1 per contract <sup>1</sup>

Other	Ticket Charge
Fixed Income	\$30 <sup>1</sup>
Structured Products	\$30 <sup>1</sup>
Precious Metals	\$50 <sup>1</sup>
UITs	\$20 <sup>1</sup>
Alternative Investments	\$50

### Mutual Funds

We have established relationships with more than 20 Core Partners that share our goal of supporting you and your practice. They'll provide you with training sessions and presentation materials throughout the year. They are American Funds, BlackRock, Calvert, Columbia Threadneedle, Delaware, Eaton Vance, Federated, Fidelity Advisor, First Eagle, Franklin Templeton, Goldman Sachs, Hartford, Invesco, John Hancock, J.P. Morgan, Lord Abbett, MainStay, MFS, Natixis, PIMCO, Pioneer, Prudential Investments, Putnam, Thornburg, Transamerica, and Virtus Investment Partners. For a list of included share classes, please visit Products > Investment Products > Mutual Funds & Rec. List > Core Share Classes on COMMunity Link.

Three **nonsupporting mutual fund families** do not support intermediary distributions, resulting in higher transaction fees. They are Dimensional Fund Advisors (DFA), Dodge & Cox, and Vanguard.

Transaction	Core Funds	NTF	Supporting Funds	Nonsupporting Funds
Buy	Free <sup>1,3</sup>	Free	\$15 <sup>1,4,5</sup>	\$30 <sup>1,4,6</sup>
Sell	\$15 <sup>1,5</sup>	Free <sup>7</sup>	\$15 <sup>1,5</sup>	\$30 <sup>1,6,8</sup>
Exchange	Free <sup>5</sup>	Free	Free <sup>5</sup>	\$30 <sup>6</sup>
PIP/SWP	Free	Free	\$3	\$3

<sup>1</sup> Plus service fee of \$4 for accounts not enrolled in all available e-notification (e-delivery) options (excluding tax documents).

<sup>2</sup> Trader-assisted block trades will be the same ticket charge as online.

<sup>3</sup> \$2,000 minimum purchase.

<sup>4</sup> Charges for load fund purchases are paid by the advisor.

<sup>5</sup> \$20 fee if processed by the Trade Desk; \$10 for advisor/staff accounts.

<sup>6</sup> \$35 fee if processed by the Trade Desk; \$15 for advisor/staff accounts.

<sup>7</sup> Funds purchased prior to their NTF effective date will still incur a ticket charge.

<sup>8</sup> For sells of DFA funds: \$15; \$20 if processed by Trade Desk; \$10 for advisor/staff accounts.

**Rates and mutual funds list subject to change**