

### Quota Owner Details

This is the person whose quota shares are being caveated.

#### Quota Owner Client Number

--	--	--	--	--	--	--	--

#### Quota Owner Full Legal Name


### Caveator Details

This is the person who is registering the caveat over the quota shares.

#### Caveator Client Number

--	--	--	--	--	--	--	--

#### Caveator Full Legal Name


### Caveat Details

If you are registering a caveat over quota shares for more stocks than this form provides for, continue on a copy of the caveat details table on page 2 of this form. Any additional caveat details pages must be signed by both the Quota Owner and the Caveator and must be securely attached to this application.

This application will be rejected if:

1. The quota holder does not hold sufficient quota shares for the stock, or
2. The quota shares are already subject to a caveat under the Fisheries Act 1996.

#### Account

This is the account where the quota shares are held by the quota owner. Please refer to your quota holdings summary for details of your accounts.

#### Caveat Amount

This is the amount of quota shares being caveated for the stock.

	Stock species   area	Account NOR   SET	Caveat Amount (Number of Quota Shares)
1		<input type="radio"/> <input type="radio"/>	
2		<input type="radio"/> <input type="radio"/>	
3		<input type="radio"/> <input type="radio"/>	
4		<input type="radio"/> <input type="radio"/>	
5		<input type="radio"/> <input type="radio"/>	
6		<input type="radio"/> <input type="radio"/>	
7		<input type="radio"/> <input type="radio"/>	
8		<input type="radio"/> <input type="radio"/>	
9		<input type="radio"/> <input type="radio"/>	
10		<input type="radio"/> <input type="radio"/>	
11		<input type="radio"/> <input type="radio"/>	
12		<input type="radio"/> <input type="radio"/>	

### Fishserve Use Only

Receipt No. \_\_\_\_\_

Fee Required \$ \_\_\_\_\_

Fee Paid \$ \_\_\_\_\_

Data Entry Initials \_\_\_\_\_

Data Entry Completed \_\_\_\_ / \_\_\_\_ / \_\_\_\_

OFFICE USE ONLY

DATE PRESENTED

**Caveat Details Continued**

	Stock species   area	Account NOR   SET	Caveat Amount (Number of Quota Shares)
13		<input type="radio"/> <input type="radio"/>	
14		<input type="radio"/> <input type="radio"/>	
15		<input type="radio"/> <input type="radio"/>	
16		<input type="radio"/> <input type="radio"/>	
17		<input type="radio"/> <input type="radio"/>	
18		<input type="radio"/> <input type="radio"/>	
19		<input type="radio"/> <input type="radio"/>	
20		<input type="radio"/> <input type="radio"/>	
21		<input type="radio"/> <input type="radio"/>	
22		<input type="radio"/> <input type="radio"/>	
23		<input type="radio"/> <input type="radio"/>	
24		<input type="radio"/> <input type="radio"/>	
25		<input type="radio"/> <input type="radio"/>	
26		<input type="radio"/> <input type="radio"/>	
27		<input type="radio"/> <input type="radio"/>	
28		<input type="radio"/> <input type="radio"/>	
29		<input type="radio"/> <input type="radio"/>	
30		<input type="radio"/> <input type="radio"/>	
31		<input type="radio"/> <input type="radio"/>	
32		<input type="radio"/> <input type="radio"/>	
33		<input type="radio"/> <input type="radio"/>	
34		<input type="radio"/> <input type="radio"/>	
35		<input type="radio"/> <input type="radio"/>	
36		<input type="radio"/> <input type="radio"/>	
37		<input type="radio"/> <input type="radio"/>	
38		<input type="radio"/> <input type="radio"/>	
39		<input type="radio"/> <input type="radio"/>	
40		<input type="radio"/> <input type="radio"/>	
41		<input type="radio"/> <input type="radio"/>	
42		<input type="radio"/> <input type="radio"/>	
43		<input type="radio"/> <input type="radio"/>	
44		<input type="radio"/> <input type="radio"/>	
45		<input type="radio"/> <input type="radio"/>	
46		<input type="radio"/> <input type="radio"/>	
47		<input type="radio"/> <input type="radio"/>	
48		<input type="radio"/> <input type="radio"/>	

**Additional Pages**

Have you attached any additional caveat details pages?

No

Yes



Number of additional caveat details pages attached

## Quota Owner Declaration

### I declare that:

- The information I have given on this application is true and correct.
- I am authorised to give this consent and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I consent to the registration of the caveats detailed in this application.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /

## Caveator Declaration

### I declare that:

- The information I have given on this application is true and correct.
- I am authorised to give this consent and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /

## General Notes

It is an offence under section 230(1) of the Fisheries Act 1996 to make any false or misleading statement in this application. If you are convicted of an offence against that section, you are liable to a fine not exceeding \$250,000.

All information on this application must be typewritten or hand printed in legible letters using ink. Correction fluid may not be used.

All amendments must be initialed.

The Quota Owner and the Caveator are required to sign this form.

The Quota Owner and the Caveator will be notified of the outcome of this application.

This caveat application is not effective until successfully registered.

A successful application for registration will be registered pursuant to Section 159 of the Fisheries Act 1996.

This application must be accompanied by the prescribed fee.

The fee for this application can be determined by referring to the Schedule of Fees available from Fishserve.

This fee is inclusive of GST.

This application can be submitted via post to Fishserve, PO Box 297, Wellington, 6140, or e-mail to [registry@fishserve.co.nz](mailto:registry@fishserve.co.nz).

If you have any queries regarding this form, please contact the Fishserve helpline on (04) 460 9555.

## Privacy Act 2020 – Collection of Personal Information

Your personal information is being collected to enable your application to register a caveat to be processed.

The agency collecting and holding this information is Commercial Fisheries Services Limited (Fishserve), PO Box 297, Wellington, 6140.

The collection of this information is required under section 149 of the Fisheries Act 1996. It is not mandatory that you supply this information, but your application may not be processed if you do not provide all the information requested on this form.

You have the right to access and correct your personal information.

You may apply to Fishserve to prevent the display of your personal address on registers kept under the Fisheries Act 1996 if you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.