

# WINJAMMER FILING

INITIAL

End Date:10/1/2026

Firm Name:Coinbase Financial Markets Inc

Form:Daily Seg - 1-FR - Daily

Submit Date:10/2/2026

**INITIAL****End Date:10/1/2026****Firm Name:Coinbase Financial Markets Inc****Form:Daily Seg - 1-FR - Daily****Submit Date:10/2/2026****Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder **0** [5605]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
    - A. Cash **5,592** [5615]
    - B. Securities (at market) **0** [5617]
  2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade **0** [5625]
  3. Exchange traded options
    - A. Market value of open option contracts purchased on a foreign board of trade **0** [5635]
    - B. Market value of open contracts granted (sold) on a foreign board of trade **0** [5637]
  4. Net equity (deficit) (add lines 1. 2. and 3.) **5,592** [5645]
  5. Account liquidating to a deficit and account with a debit balances - gross amount **0** [5651]  
Less: amount offset by customer owned securities **0** [5652] **0** [5654]
  6. Amount required to be set aside as the secured amount - Net Liquidating Equity **5,592** [5655]  
Method (add lines 4 and 5)
  7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. **5,592** [5660]
- FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS**
1. Cash in Banks
    - A. Banks located in the United States **6,012,750** [5700]
    - B. Other banks qualified under Regulation 30.7 **0** [5720] **6,012,750** [5730]
  2. Securities
    - A. In safekeeping with banks located in the United States **0** [5740]
    - B. In safekeeping with other banks qualified under Regulation 30.7 **0** [5760] **0** [5770]
  3. Equities with registered futures commission merchants
    - A. Cash **0** [5780]
    - B. Securities **0** [5790]
    - C. Unrealized gain (loss) on open futures contracts **0** [5800]
    - D. Value of long option contracts **0** [5810]
    - E. Value of short option contracts **0** [5815] **0** [5820]
  4. Amounts held by clearing organizations of foreign boards of trade
    - A. Cash **0** [5840]
    - B. Securities **0** [5850]
    - C. Amount due to (from) clearing organization - daily variation **0** [5860]
    - D. Value of long option contracts **0** [5870]
    - E. Value of short option contracts **0** [5875] **0** [5880]
  5. Amounts held by member of foreign boards of trade
    - A. Cash **22** [5900]
    - B. Securities **0** [5910]
    - C. Unrealized gain (loss) on open futures contracts **0** [5920]
    - D. Value of long option contracts **0** [5930]
    - E. Value of short option contracts **0** [5935] **22** [5940]
  6. Amounts with other depositories designated by a foreign board of trade **0** [5960]
  7. Segregated funds on hand **0** [5965]
  8. Total funds in separate section 30.7 accounts **6,012,772** [5970]
  9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) **6,007,180** [5680]
  10. Management Target Amount for Excess funds in separate section 30.7 accounts **5,000,000** [5980]
  11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target **1,007,180** [5985]

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## SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

|     |                                                                                             |                                                |
|-----|---------------------------------------------------------------------------------------------|------------------------------------------------|
| 1.  | Net ledger balance                                                                          |                                                |
|     | A. Cash                                                                                     | <u><b>252,862,172</b></u> [5000]               |
|     | B. Securities (at market)                                                                   | <u><b>0</b></u> [5010]                         |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <u><b>-5,952,086</b></u> [5020]                |
| 3.  | Exchange traded options                                                                     |                                                |
|     | A. Market value of open option contracts purchased on a contract market                     | <u><b>0</b></u> [5030]                         |
|     | B. Market value of open option contracts granted (sold) on a contract market                | <u><b>0</b></u> [5040]                         |
| 4.  | Net Equity (deficit) (add lines 1, 2, and 3)                                                | <u><b>246,910,086</b></u> [5050]               |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <u><b>23</b></u> [5060]                        |
|     | Less: amount offset by customer owned securities                                            | <u><b>0</b></u> [5070] <u><b>23</b></u> [5080] |
| 6.  | Amount required to be segregated (add lines 4 and 5)                                        | <u><b>246,910,109</b></u> [5090]               |
|     | FUNDS IN SEGREGATED ACCOUNTS                                                                |                                                |
| 7.  | Deposited in segregated funds bank accounts                                                 |                                                |
|     | A. Cash                                                                                     | <u><b>209,657,334</b></u> [5100]               |
|     | B. Securities representing investment of customers' funds (at market)                       | <u><b>0</b></u> [5110]                         |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u><b>0</b></u> [5120]                         |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |                                                |
|     | A. Cash                                                                                     | <u><b>57,669,987</b></u> [5130]                |
|     | B. Securities representing investment of customers' funds (at market)                       | <u><b>0</b></u> [5140]                         |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u><b>0</b></u> [5150]                         |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <u><b>-1,194,175</b></u> [5160]                |
| 10. | Exchange traded options                                                                     |                                                |
|     | A. Value of open long option contracts                                                      | <u><b>0</b></u> [5170]                         |
|     | B. Value of open short option contracts                                                     | <u><b>0</b></u> [5180]                         |
| 11. | Net equities with other FCMs                                                                |                                                |
|     | A. Net liquidating equity                                                                   | <u><b>125,938,589</b></u> [5190]               |
|     | B. Securities representing investment of customers' funds (at market)                       | <u><b>0</b></u> [5200]                         |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u><b>0</b></u> [5210]                         |
| 12. | Segregated funds on hand                                                                    | <u><b>0</b></u> [5215]                         |
| 13. | Total amount in segregation (add lines 7 through 12)                                        | <u><b>392,071,735</b></u> [5220]               |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <u><b>145,161,626</b></u> [5230]               |
| 15. | Management Target Amount for Excess funds in segregation                                    | <u><b>15,000,000</b></u> [5240]                |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <u><b>130,161,626</b></u> [5250]               |
|     | Excess                                                                                      |                                                |

**INITIAL****End Date:10/1/2026****Firm Name:Coinbase Financial Markets Inc****Form:Daily Seg - 1-FR - Daily****Submit Date:10/2/2026****Daily Segregation - Swaps Statement**STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|                                                                                                                   |                                       |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Cleared Swaps Customer Requirements                                                                               |                                       |
| 1. Net ledger balance                                                                                             |                                       |
| A. Cash                                                                                                           | <u>760,109</u> [8500]                 |
| B. Securities (at market)                                                                                         | <u>0</u> [8510]                       |
| 2. Net unrealized profit (loss) in open cleared swaps                                                             | <u>0</u> [8520]                       |
| 3. Cleared swaps options                                                                                          |                                       |
| A. Market value of open cleared swaps option contracts purchased                                                  | <u>7,415,921</u> [8530]               |
| B. Market value of open cleared swaps option contracts granted (sold)                                             | <u>0</u> [8540]                       |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)                                                                   | <u>8,176,030</u> [8550]               |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount                              | <u>778,881</u> [8560]                 |
| Less: amount offset by customer owned securities                                                                  | <u>0</u> [8570] <u>778,881</u> [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                               | <u>8,954,911</u> [8590]               |
| Funds in Cleared Swaps Customer Segregated Accounts                                                               |                                       |
| 7. Deposited in cleared swaps customer segregated accounts at banks                                               |                                       |
| A. Cash                                                                                                           | <u>108,281,286</u> [8600]             |
| B. Securities representing investments of cleared swaps customers' funds (at market)                              | <u>0</u> [8610]                       |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | <u>0</u> [8620]                       |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts       |                                       |
| A. Cash                                                                                                           | <u>63,767,445</u> [8630]              |
| B. Securities representing investments of cleared swaps customers' funds (at market)                              | <u>0</u> [8640]                       |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | <u>0</u> [8650]                       |
| 9. Net settlement from (to) derivatives clearing organizations                                                    | <u>0</u> [8660]                       |
| 10. Cleared swaps options                                                                                         |                                       |
| A. Value of open cleared swaps long option contracts                                                              | <u>7,415,921</u> [8670]               |
| B. Value of open cleared swaps short option contracts                                                             | <u>0</u> [8680]                       |
| 11. Net equities with other FCMs                                                                                  |                                       |
| A. Net liquidating equity                                                                                         | <u>0</u> [8690]                       |
| B. Securities representing investments of cleared swaps customers' funds (at market)                              | <u>0</u> [8700]                       |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | <u>0</u> [8710]                       |
| 12. Cleared swaps customer funds on hand                                                                          |                                       |
| A. Cash                                                                                                           | <u>0</u>                              |
| B. Securities representing investments of cleared swaps customers' funds (at market)                              | <u>0</u>                              |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                             | <u>0</u> [8715]                       |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                                   | <u>179,464,652</u> [8720]             |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)                | <u>170,509,741</u> [8730]             |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                                | <u>5,000,000</u> [8760]               |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess | <u>165,509,741</u> [8770]             |