

Privacy Policy

Collective Actions Portal: Woodford

Our Portal

This Portal is hosted by Slater and Gordon (UK) 1 Limited, part of a group of companies whose ultimate parent company is Slater and Gordon UK Holdings Limited (“**Slater and Gordon**”, “**we**”, “**us**”).

Slater and Gordon UK 1 Limited (“SGUK”) is part of the Slater and Gordon group of companies and is authorised and regulated by the Solicitors Regulation Authority (“SRA”). SGUK is authorised and regulated by the Financial Conduct Authority for insurance mediation activity.

Slater and Gordon Scotland Limited (“S&G Scotland”) is a company registered in Scotland (SC572803) and regulated by the Law Society of Scotland (“LSS”). S&G Scotland is an associated office of SGUK.

As a user of this Portal, we will use the information you provide to make an automated decision as to your eligibility to bring a claim as part of Slater and Gordon’s group action (the ‘**Group Action**’).

Your eligibility to join the Group Action is made in accordance with Slater and Gordon’s own eligibility criteria and other lawyers may take a different view.

The information we collect and how we use it

In order to establish your eligibility to join the Group Action, we’ll ask you for:

- **confirmation that you invested in the Woodford Equity Income Fund and suffered a loss of capital:** You may be acting in your capacity as a trustee or personal representative, in your capacity as a company director or on behalf of a minor. If any of these circumstances apply, we’ll need to establish you have the necessary authority to bring a claim on the other entity/person’s behalf.
- **details of when and how you invested:** How and when you invested might impact on the merits of your claim. This is because you may have additional claims depending on information that might have influenced your decision to invest and/or maintain an investment. Whether you were invested at the time the Woodford Equity Income Fund was suspended may affect the amount of compensation to which you may be entitled.

The information we collect is assessed at each stage as you enter it, and used to generate a decision as to your eligibility to join our Group Action. We can then inform you as to whether you qualify or not.

There are no legal consequences for you if we provide you with a decision as to whether you can join our Group Action or not. You do not have to accept an ineligibility decision as final advice (other lawyers may come to a different view). However, we recognise that the decision we provide represents information on which you might rely. We therefore ensure that:

- (i) if you are deemed not to be eligible to join our Group Action, you are notified that the decision was made in accordance with Slater and Gordon’s bespoke eligibility criteria and that other law firms may take a different view; and
- (ii) the eligibility criteria we apply are correct. We monitor the criteria we apply closely, and, if changes arise that might increase the prospect of particular claims, we will consider amending our decision-making accordingly.

If you disagree with the decision we make, or you wish to appeal, you can email us at

woodford.portalsupport@slatergordon.co.uk

If you create an account but don't proceed with a claim

If you create an account on this Portal, but don't proceed to make a claim, we will delete your personal data provided while creating your account on this Portal within 3 months of your last account activity. During this 3-month period, we may continue to contact you in relation to your enquiry.

If you create an account on this Portal but make a request to close your account, we will delete your personal data within 1 month of your request.

If we amend our eligibility criteria for any reason, we may contact you to ask if you would like us to re-assess your eligibility to join the Group Action (provided we have your consent to do so).

We process your personal data in accordance with your legitimate interests and those of Slater and Gordon.

If you create an account and proceed with a claim

If you create an account on this Portal, and proceed with a claim, we may collect further information from you which may include: your name, address, contact details, date of birth, National Insurance number, and your bank details for payment of compensation. We will retain this information and other data we collect for the statutory limitation period for professional negligence (or other period as prescribed by applicable law or regulations applicable to solicitors) and may use them to:

- provide legal advice to you and act for you in relation to your claim;
- perform services ancillary to your claim, such as engaging with an individual or company to provide legal, insurance, medical or other expert reports or other services;
- perform activities in connection with our regulatory obligations (such as conflict checking, conducting identity and money-laundering checks);
- carry out activities for the legitimate business purposes associated with the operation of a law firm such as auditing, commercial data analysis and client satisfaction surveys, as well as bankruptcy checks;
- contact you to tell you about updates, products or services that may be of interest to you which are provided by us or by trusted third parties; and
- comply with any applicable laws or regulations.

Sharing your personal data outside Slater and Gordon

We may need to transfer your personal data to other individuals or organizations if this is directly relevant to and necessary for our work on your claim. For example, we may need to transfer your personal data to:

1. experts, for the purpose of instructing them as expert witnesses;
2. other parties interested in the Group Action for the purposes of furthering your claim (for example to law firms acting for other claimants) in confidence and to the extent we consider it to be strictly necessary and in your legitimate interests, ;
3. insurance companies for the purpose of obtaining After the Event insurance;
4. brokers and/or third party introducers who referred your claim to us for the purpose of complying with our contractual obligations; and/or
5. other members of the Slater and Gordon group of companies and associated offices for legal advice and/or specialist services necessary to facilitate our work on your claim.

In working on your claim, we may also transfer your information to third parties providing us with support and administrative services for the more efficient processing of your claim and/or the legitimate business purposes associated with the operation of a law firm. Your data will be processed in each case in strict

confidence and we will take all reasonable steps to ensure that any third party has sound security measures.

If after providing us with your personal data it becomes apparent that we are unable to act for you, we may, if we have obtained your consent, pass your details to another company or individual in order that they may attempt to secure legal representation for you.

In the unlikely event that we sell our business, or a part of it, we may share your information as part of the sale to allow the purchaser to carry on providing some or all of our services to you.

Accessing and updating your personal data

You have a number of legal rights over the personal data held by us. These include the right:

- to access your personal data held in our records, whether electronically or manually;
- to correct or update any personal information that you think is incorrect;
- to object to further processing, including objecting to receiving marketing material from us which you previously agreed to receive;
- to ask us to delete your personal data. We will only be able to accommodate this request where the data are no longer necessary for the purpose(s) for which it was provided and/or where we no longer have a lawful basis to process your personal data;
- to receive the personal data we hold about you in a portable format, however this will only be provided in limited circumstances where the processing has been carried out solely by automated means; and
- to ask us to stop processing your personal data in certain circumstances. Asking us to stop doing this may mean we are no longer able to provide you with our services and may affect your client retainer.

You should contact us should you wish to have access to your personal data or to update or complete any of our records pertaining to your personal data. Requests can be made to our Data Protection Officer:

58 Mosley Street
Manchester
M2 3HZ

For more information about this policy, or if you would like to make a complaint about the way we process your personal data, please contact Compliance@slatergordon.co.uk. We will endeavour to resolve any complaints, however if we are unable to do so to your satisfaction, you can contact the Information Commissioner's Office (ICO), the website is www.ico.org.uk or their telephone helpline 0303 123 1113.

Other Websites

Sometimes other websites may collect information from you that subsequently may be passed onto us. In addition, this Portal may contain links to other websites. This Privacy Policy only applies to this Portal, so please ensure you also read the privacy policy of any other relevant website provider. We are not responsible for the operation, content or policies of other websites.

Transferring personal data overseas In order to provide our services we may need to transfer your personal data to locations outside the UK for the purposes set out in this privacy policy. This may entail a transfer of your information from a location within the European Economic Area (the "EEA") to outside the EEA, or from outside the EEA to a location within the EEA. The level of information protection in countries outside the EEA may be lower than that offered within the EEA. Where this is the case, we will implement appropriate measures to ensure that your personal information remains protected and secure in accordance with applicable data protection laws. Where our third party service providers process personal data outside the EEA in the course of providing services to us, our written agreement with them will include appropriate measures, usually standard contractual clauses.

If you need further information or have any questions about our privacy policy or practices, please contact: DPO@slatergordon.co.uk

Security

We will keep your personal data confidential and will take adequate measures to protect it against loss, theft or misuse and to safeguard your privacy in accordance with the Data Protection Act 2018.

Updating this Policy

We may amend this policy at any time by either notifying you or by posting a revised version on this Portal.

If we make a change to this policy, we will take your continued use of our services after that date as your acceptance of the change, so if an amendment is not acceptable to you then you should stop using our services.