ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

This is an application form for Managed Funds to be assessed by Invest New Zealand ("**Invest NZ**") against the Managed Funds eligibility criteria set out in Appendix 15 of the Active Investor Plus ("**AIP**") Visa Immigration New Zealand Instructions ("**Immigration Instructions**"). Before submitting your application, please make sure you have reviewed the guidance notes to the criteria (found on this page) as it contains important information required to complete this application form.

Invest NZ will consider all responses provided with respect to the Objective and Principles of the Active Investor Plus visa.

Any capitalised terms used in this application form have the meaning given to those terms in Appendix 15 of the Immigration Instructions unless otherwise defined in this application form. Any references to "we" or "us" in this application are to Invest NZ and references to "you" or "your" in this application are to the applicant.

Please email the application form plus any supporting documentation to **AIPacceptableinvestments@invest.govt.nz.**

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

Section one: About your organisation (i.e. the fund manager)

1.	**Legal name of your organisation:**	
2.	**Name of organisation (trading name):**	
3.	**Link to the organisation's details on the Companies Office website:**	
4.	**Registration number (e.g. NZBN):**	
5.	**Physical address of the head office:**	**Postal address (if different):**
6.	Please provide details of the person we can contact if we require more information. This person will receive all communications from Invest NZ in relation to this application:	
	Name:	
	Position title:	
	Relationship to the organisation:	
	Email:	
7.	**Will the managed fund to which this application relates to be a 'fund of funds' (i.e. a managed fund that invests in other managed funds) or a 'single asset fund' (a fund that will only make one investment) (select if applicable)?**	

☐ Fund-of-funds. If you tick this box, you will need to complete and submit the **Fund-of-funds addendum** in addition to this application form.

☐ Single asset fund. If you tick this box, you will need to complete and submit the

	Single asset fund addendum in addition to this application form.

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

Section two: Managed Investment Scheme

1. **Name of Managed Investment Scheme**

2. **a) Please confirm that the Managed Investment Scheme listed in your response at question 1 of this section (tick box to confirm):**

☐ is managed by a retail fund manager and/or general partner that is appropriately registered.

☐ is managed by a wholesale only fund manager and/or general partner that is appropriately registered.

b) Please provide the name of the fund manager and/or general partner and their registration details as certified in 2.2(a) above (i.e. FSP Number):

Note: *A wholesale only fund manager must provide a FSP registration, and a retail fund manager must evidence both FSP registration and Financial Markets Authority licensing.*

c) Please confirm that the fund manager (check each box to confirm):

☐ is incorporated in New Zealand.

☐ has its head office in New Zealand.

☐ has its centre of management in New Zealand.

☐ has control, by company directors, exercised in New Zealand.

☐ is registered on the New Zealand Financial Services Providers Register.

☐ is licensed by the Financial Markets Authority (if required).

We recommend that you review paragraphs 242 – 300 of Inland Revenue's most recent guidance on tax residency for companies before you certify that you meet the definition of being a New Zealand Resident Entity. If you are unsure of whether you will meet each definition, we recommend that you seek advice from a tax advisor.

d) Please provide evidence of incorporation in New Zealand from the New Zealand Companies Office by including a link to the Companies Office website or referencing the name of the document provided with your application here:

General Partner registration:

Limited Partnership registration:

Other:

e) Provide the full legal names and addresses of the current directors of the fund manager:

Full legal name	Any other known names	Residential address

3. **Please provide the following for the Managed Investment Scheme listed in your response at question 1:**

Opening date:

Closing date (if applicable):

4. **Please confirm that the Managed Investment Scheme listed in your response at question 1 (tick box to confirm):**

☐ holds funds on participants behalf in New Zealand and in New Zealand dollars.

5. **Please confirm that the Managed Investment Scheme listed in your response at question 1 (tick box to confirm):**

☐ does not invest in Financial Products as that term is defined in the Financial Markets Conducts Act 2013 that are quoted on any securities exchange or stock exchange.

6. **Please confirm that the Managed Investment Scheme listed in your response at question 1 (tick box to confirm):**

☐ invests wholly or substantially in New Zealand Entities.

Note: *For the purposes of this application form:*

"Entity" means any company, limited partnership, body corporate or other similar entity wherever formed or established.

"Net Committed Capital" means the total funds committed to the Managed Investment Scheme, minus the anticipated fees, obligations, expenses and liabilities to be incurred by the relevant Managed Investment Scheme.

"New Zealand Entity" means an Entity which (itself and/or through any of its subsidiaries, as the case may be), at or immediately following the time of initial investment, has:

a. the majority of its full-time employees and independent contractors (by number) in New Zealand or the main operations based in New Zealand; and

b. one or more of the following:

   i. its shareholder (or equivalent) voting control held by one or more New Zealand residents (as defined for tax purposes); or

   ii. New Zealand residents (as defined for tax purposes) as the majority of its senior leadership team; or

   iii. its headquarters in New Zealand.

"Substantially invested in New Zealand Entities" means where a minimum of 70% of the initial Net Committed Capital made available to the Managed Investment Scheme that is not allocated for investment in managed funds is allocated for investment in New Zealand Entities.

NEW ZEALAND INVEST

Section three: Investment principles and policies

1.	**Please provide an overview of the Managed Investment Scheme** ***Note:*** *At a minimum please provide a summary of the fund's background, proposed activities of the fund, status, target fund size and any other information that provides useful background to this application.*

2.	**Please provide an overview of the investment thesis of the Managed Investment Scheme** This should include detail of how it contributes to the Government's economic strategy by delivering economic and other positive impacts for New Zealand. Please provide links to any files as required. This should include the following: • High-level investment thesis of the fund. • Investment strategy. • The target financial requirements for investee entities and their contribution to positive economic and other impacts for New Zealand. • Any regional, sector or thematic focuses. • Details of how the fund invests wholly or substantially in New Zealand entities.

3.	**Please provide an overview of the investment decision making process employed by the fund manager and/or general partner** This should include detail on the verification, diligence and reporting processes completed in relation to investee entities prior to and for the duration of investment:
4.	**Please provide an overview, and evidence of, your environmental, social and governance (ESG) approach and how this is integrated into your fund processes.** **Please confirm that (tick box to confirm):** ☐ you do not and will not invest in anything which may prejudice New Zealand's reputation. Examples of business activities which may prejudice New Zealand's reputation include (but are not limited to) those which: • relate to the manufacturing of tobacco or other nicotine-based products; • relate to gambling, casinos and equivalent enterprises; • relate to adult entertainment; • relate to the manufacturing of weapons, including: – military weapons; – controversial weapons (cluster munitions, landmines, biological and chemical weapons, nuclear weapons and depleted uranium); – civilian firearms; • involve the use of child labour, forced or compulsory labour, or other human right abuses; • promote any form of discrimination based on race, culture, gender, sexual orientation, or other prohibited grounds;

	• are illegal in the country in which the good or service is used or intended to be used; or • are likely to have a materially adverse impact on the climate or environment. ☐ you monitor and report on ESG compliance of your investee entities.
	Please submit your ESG policy (or equivalent) with your application. Reference the document name here: **Please provide an overview, and evidence of, how ESG is incorporated into investment decision-making processes to avoid prejudice to New Zealand's reputation and your ESG monitoring and reporting processes in relation to your investee entities:**

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

Section four: Regulatory

1. Subject to the Criminal Records (Clean Slate) Act 2004, please disclose below details of any prior, ongoing, pending or threatened dispute, claim, proceedings or convictions in which your organisation or the major shareholders, directors or senior management of your organisation has been, or is or may be, involved, which could bring them into disrepute or if disclosed could damage Invest NZ or the New Zealand Government by association (including any litigation, prosecution, arbitration, tribunal, alternative dispute resolution or governmental proceedings, or any employment disputes or complaints).

Please also disclose below whether, in relation to your organisation or any entities associated with your organisation or the major shareholders, directors or senior management of your organisation, there has been any appointment of a receiver, enforcement of security over any property, or any administration, insolvency, winding up, liquidation or bankruptcy, whether prior, pending, or threatened.

Examples may include alleged or proven breaches of legislation or regulations, regulatory investigations or enforcement action relating to you or your organisation or entities associated with your organisation by regulators such as the Serious Fraud Office or the Financial Markets Authority; or dishonesty, violence or drug offences committed by the major shareholders, directors or senior management of your organisation.

Note: For the purposes of the application form, "major shareholders" means a person with a more than 25% shareholding, beneficial ownership or power to control.

Tick "NIL" if there is nothing to disclose.

☐ NIL

Disclosure notes: **These disclosure requirements are intended to safeguard the integrity and reputation of the AIP scheme. We encourage you and your advisers to interpret these disclosure requirements in the broadest possible sense and, if in doubt, to disclose information. Failure to disclose relevant information during or after the application process may result in removal from the approved managed funds list, with or without notice to you.**

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

2.	**Please confirm that (tick boxes to confirm):** ☐ none of the major shareholders, directors or senior management of your organisation are persons prohibited from being a director or promoter of, or concerned in the management of, an incorporated or unincorporated body under the Companies Act 1993, the Financial Markets Conduct Act 2013, or the Takeovers Act 1993. ☐ none of the major shareholders, directors or senior management of your organisation are subject to a management banning order under the Financial Markets Conduct Act 2013 or the Takeovers Act 1993, or subject to an order under section 108 of the Credit Contracts and Consumer Finance Act 2003. **You are not aware of any current, pending or threatened actions or matters that may result in either or both of the above occurring in the future.** ☐ **Or please provide details otherwise (if prior convictions or where penalty periods have lapsed):**

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

Section five: Details for the Acceptable Managed Fund List if assessed as eligible		
1.	**Please provide a brief explanation (no more than 50 words) of the Managed Investment Scheme to be published on the Acceptable Managed Funds List** ***Note:*** *Information provided here is to summarise your Managed Fund's strategy and thesis, and is not a promotional platform. All information must be true and correct to the best of your knowledge.*	
2.	**Please provide a link to the Managed Investment Scheme on the Managed Fund's website:** ***Note:*** *If a link is not available, the Acceptable Managed Funds List will instead link to the Managed Fund's website.*	
3.	**To have your Managed Investment Scheme published on 'Live Deals' (Invest NZ's promotional platform), please provide the additional information below.** ***Note:*** *further information on the Live Deals platform can be found here*	
	Public facing funds name:	
	Type of Fund:	For example: Venture Capital, Private Equity, Private Credit, Fund of funds
	Target size of Fund:	NZ$

	Sales Paragraph:	
	Close date:	

ACTIVE INVESTOR PLUS VISA

NEW ZEALAND INVEST

Section Six: Applicant declaration

By signing this addendum:

a) You declare that you have the authority to submit this application on behalf of the organisation identified in this form.

b) You declare that the information given in this application, or supplied by you in support of your application, is true and correct to the best of your knowledge.

c) You acknowledge that Invest NZ reserves the right to decline any application in accordance with Appendix 15 of the Immigration Instructions.

d) To the maximum extent permitted by law, you indemnify Invest NZ (and its employees, agents and nominees) against any loss they suffer as a result of you providing incorrect or incomplete information.

e) You understand and agree that the information captured in this form will enable Invest NZ to complete 'Know Your Customer' (KYC) and character checks. The purposes of these checks are for Invest NZ to consider potential reputational impacts to Invest NZ, the AIP visa scheme, or the New Zealand Government.

f) You understand that failure to comply with a reasonable request for information or documents from Invest NZ for the purposes of Invest NZ considering potential reputational impacts to Invest NZ, the AIP visa scheme, or the New Zealand Government may result in your application being rejected.

g) You acknowledge that in order to remain on the Acceptable Managed Fund List (should you be assessed as an acceptable investment), you must complete Invest NZ's re-certification and disclosure requirements found here and as notified to you by Invest NZ and agree to do so as and when required by Invest NZ. Failure to do so could result in you being removed from the Acceptable Managed Fund List.

h) You agree to comply with Invest NZ's mandatory disclosure requirements found here promptly (and in any event within 10 business days) and to notify Invest NZ in writing if any information given or supplied in this application changes **during the application process or** during the period in which you are listed on the Acceptable Managed Fund List (should you be assessed as an acceptable investment) such that you may no longer meet the eligibility criteria in Appendix 15 of the Immigration Instructions.

i) You acknowledge that Invest NZ may suspend or remove you from the Acceptable Managed Fund List should you fail to complete the re-certification requirements, fail to comply with the mandatory disclosure requirements, or if at any time Invest NZ reasonably believes that you no longer meet the eligibility criteria in Appendix 15 of the Immigration Instructions.

j) You confirm that you have read and agree to:

   i. Invest NZ's Terms of Use and Engagement and confirm that you are authorised to legally bind the applicant to these terms.

   ii. Invest NZ's Privacy Policy and acknowledge that any personal information provided in this application will be collected and used for the purposes of assessing your application. Information you provide to us may be disclosed to Immigration New Zealand for the purposes of administering the Active Investor Plus visa process in accordance with the Invest NZ Privacy Policy. You also agree that while disclosure of personal information in this application form is voluntary, if you do not provide the information we ask for, we may not be able to accept your application.

ACTIVE INVESTOR PLUS VISA

Full name of the applicant: *Submitting this application on behalf of the Managed Fund*	
Position/designation in organisation:	
Signature:	
Date:	

Please email the application form plus any supporting documentation to
AIPacceptableinvestments@investnz.govt.nz

Last updated 16 December 2025.

This application form will be updated from time to time to reflect any changes to the Immigration Instructions and/or our requirements