



# Business/Organization Deposit Account Application

## Section 1: Business/Organization Information New Client Existing Client

Business/Organization Name: \_\_\_\_\_ Industry: \_\_\_\_\_

Doing Business As (DBA): \_\_\_\_\_ Tax ID Number: \_\_\_\_\_ NAICS Code: \_\_\_\_\_

Physical Address: \_\_\_\_\_

Mailing Address (if different from above): \_\_\_\_\_

Business Telephone: \_\_\_\_\_ Business Fax: \_\_\_\_\_

Email: \_\_\_\_\_ Website: \_\_\_\_\_

Organization Type (legal status): \_\_\_\_\_ Location of Offices (City, State, Country): \_\_\_\_\_

Annual Revenue: \_\_\_\_\_ Number of Employees: \_\_\_\_\_

Is the organization wholly or partially owned or funded by the government or state (or a government-affiliated agency)?  Yes  No  
If "Yes," specify which government entity, how the business is funded, and the percentage of which the government owns:

Is the business a marijuana dispensary?  Yes  No

Is the business an internet gambling business?  Yes  No

What business lines or industries does your company engage in? \_\_\_\_\_

Do you process payments on behalf of customers?  Yes  No

If "Yes," please explain: \_\_\_\_\_

Does the business engage in any of the following services/activities?  
 Money Orders (sales/redeemed)  Travelers Checks (sales/redeemed)  Check Cashing  Virtual/Crypto Currency  
 Stored Value Cards (sales/redeemed)  Funds Transfer Services/Money Transmitter

Is the business registered as a "Money Services Business?"  Yes  No If "Yes," specify:  Federal Registration  State Registration

Does the business own, lease or keep on-site an ATM or cash dispenser?  Yes  No If "Yes," please complete an ATM information sheet.

## Section 2: Signer Information

Acceptable Forms of Identification	ID 1 Type		
	State Issued Driver's License	State Issued ID Card	Military ID
	Passport	U.S. Alien Registration Card	

Signer Name (1): \_\_\_\_\_ Title/Occupation: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Mother's Maiden Name: \_\_\_\_\_

ID 1 Type (and issuer): \_\_\_\_\_ ID 1 #: \_\_\_\_\_ ID 1 Issue Date: \_\_\_\_\_ ID 1 Exp. Date: \_\_\_\_\_

Home Address: \_\_\_\_\_

**Section 2: Signer Information (Continued)** *Print copies of this page for additional signers*

Home Telephone: \_\_\_\_\_ Mobile Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_ Work Telephone: \_\_\_\_\_

Is the signer a US Citizen?  Yes  No If "No," specify:  Resident Alien OR  Non-Resident Alien AND/OR  Nationally

Is the signer or a member of their immediate family a senior official in a foreign government (a Politically Exposed Person)?  
 Yes  No

If "Yes," specify the government, title and jurisdiction: \_\_\_\_\_

Signer Name (2): \_\_\_\_\_ Title/Occupation: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Mother's Maiden Name: \_\_\_\_\_

ID 1 Type (and issuer): \_\_\_\_\_ ID 1 #: \_\_\_\_\_ ID 1 Issue Date: \_\_\_\_\_ ID 1 Exp. Date: \_\_\_\_\_

Home Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Mobile Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_ Work Telephone: \_\_\_\_\_

Is the signer a US Citizen?  Yes  No If "No," specify:  Resident Alien OR  Non-Resident Alien AND/OR  Nationally

Is the signer or a member of their immediate family a senior official in a foreign government (a Politically Exposed Person)?  
 Yes  No

If "Yes," specify the government, title and jurisdiction: \_\_\_\_\_

Signer Name (3): \_\_\_\_\_ Title/Occupation: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Mother's Maiden Name: \_\_\_\_\_

ID 1 Type (and issuer): \_\_\_\_\_ ID 1 #: \_\_\_\_\_ ID 1 Issue Date: \_\_\_\_\_ ID 1 Exp. Date: \_\_\_\_\_

Home Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Mobile Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_ Work Telephone: \_\_\_\_\_

Is the signer a US Citizen?  Yes  No If "No," specify:  Resident Alien OR  Non-Resident Alien AND/OR  Nationally

Is the signer or a member of their immediate family a senior official in a foreign government (a Politically Exposed Person)?  
 Yes  No

If "Yes," specify the government, title and jurisdiction: \_\_\_\_\_

Signer Name (4): \_\_\_\_\_ Title/Occupation: \_\_\_\_\_

Social Security Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_ Mother's Maiden Name: \_\_\_\_\_

ID 1 Type (and issuer): \_\_\_\_\_ ID 1 #: \_\_\_\_\_ ID 1 Issue Date: \_\_\_\_\_ ID 1 Exp. Date: \_\_\_\_\_

Home Address: \_\_\_\_\_

Home Telephone: \_\_\_\_\_ Mobile Telephone: \_\_\_\_\_

Email Address: \_\_\_\_\_ Work Telephone: \_\_\_\_\_

## Section 2: Signer Information (Continued)

Is the signer a US Citizen?  Yes  No If "No," specify:  Resident Alien OR  Non-Resident Alien AND/OR  Nationally

Is the signer or a member of their immediate family a senior official in a foreign government (a Politically Exposed Person)?  Yes  No

If "Yes," specify the government, title and jurisdiction: \_\_\_\_\_

## Section 3: Account Selection & Options

Please select the deposit account(s) you are interested in opening below.

Free Business Checking  IOLTA/IOLA  Business Interest Checking  Business High-Yield Savings  Custodial Checking  
 Business Money Market  CD - CD Term(s): \_\_\_\_\_  Business Statement Savings  Account Analysis Checking

Purpose of the account: \_\_\_\_\_

Alternate Title: \_\_\_\_\_

### SERVICES

Please select the additional services you would like with your account(s). Some services require additional documentation. For more information, contact your relationship manager or our Customer Service Department via telephone at 800.242.0272 or via email at bankhelp@happen.com.

<input type="checkbox"/> Account Reconciliation*	<input type="checkbox"/> Combined Deposit Statements	<input type="checkbox"/> Online Banking
<input type="checkbox"/> ACH Services*	<input type="checkbox"/> Payroll Services	<input type="checkbox"/> Sweep Account (Non-Profit/Investment)
<input type="checkbox"/> ACH Debit Block/ACH Debit Filter*	<input type="checkbox"/> Positive Pay*	<input type="checkbox"/> Checks
<input type="checkbox"/> eStatements*	<input type="checkbox"/> Remote Deposit Capture*	<input type="checkbox"/> Zero Balance Account
<input type="checkbox"/> Bill Pay*	<input type="checkbox"/> Linked Protection	<input type="checkbox"/> Mobile Deposit*
<input type="checkbox"/> Merchant Services	<input type="checkbox"/> Wire Transfers*	<input type="checkbox"/> Business Debit or ATM Card
<input type="checkbox"/> Other: _____		

\*Online Banking required for this service. Some services require additional information, documentation and Bank approval.

Do you anticipate any international account activity (whether using wire transfer, ACH, or other)?  Yes  No

If "Yes," specify where, and the nature of the transfer: \_\_\_\_\_

## Section 4: Anticipated Account Activity

Average balance that will be maintained:  <\$25,000  \$25,001-\$100,000  \$100,001-\$250,000  \$250,001-\$1,000,000  >\$1,000,000

### Deposit Activity

Average number of checks deposited to the account:  <25  26-50  51-100  101-250  >250

Average dollar amount of checks deposited to the account per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Will you be depositing foreign checks?  Yes  No

If yes, what is the expected number of foreign checks?  <25  26-50  51-100  101-250  >250

If yes, what is the expected amount of the foreign checks?  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Will you be depositing cash?  Yes  No

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## Section 4: Anticipated Account Activity (Continued)

If yes, what is the average dollar amount of cash deposits per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

If yes, what is the average number of cash deposits made per month:  <25  26-50  51-100  101-250  >250

Average dollar amount of cashed checks/withdrawals per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Average number of cashed checks/withdrawals per month:  <25  26-50  51-100  101-250  >250

### ACH Transactions Received

Average number of ACH credits posted to the account per month:  <100  101-250  251-500  501-1,000  >1,000

Average dollar amount of ACH credits posted to the account per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Average number of ACH debits posted to the account per month:  <100  101-250  251-500  501-1,000  >1,000

Average dollar amount of ACH debits posted to the account per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

### ACH Transactions Originated

Will you originate ACH transactions?  Yes  No

If yes, what is the number of monthly files you originate? \_\_\_\_\_

Average number of ACH credits posted to the account per month:  <100  101-250  251-500  501-1,000  >1,000

Average dollar amount of ACH credits posted to the account per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Purpose of ACH credits? \_\_\_\_\_

Average number of ACH debits posted to the account per month:  <100  101-250  251-500  501-1,000  >1,000

Average dollar amount of ACH debits posted to the account per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Purpose of ACH debits? \_\_\_\_\_

### Credit Card Transactions

Do you accept credit cards?  Yes  No

If yes, what is the average number of credit card transactions per month?  <25  26-50  51-100  101-250  >250

If yes, what is the average dollar amount of credit card transactions per month?  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

### Domestic Wire Transfers

Do you have domestic wires?  Yes  No

Average number of domestic wires received per month:  <25  26-50  51-100  101-250  >250

Average dollar amount of domestic wires received per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

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## Section 4: Anticipated Account Activity (Continued)

Average number of domestic wires sent per month:  <25  26-50  51-100  101-250  >250

Average dollar amount of domestic wires sent per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Preferred method for sending wire transfers?  Online Banking\*  In Person \*If Online Banking is selected, please complete a Wire Transfer Agreement

### International Wire Transfers

Will you have international wires?  Yes  No

Average number of international wires received per month:  <25  26-50  51-100  101-250  >250

Average dollar amount of international wires received per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Average number of international wires sent per month:  <25  26-50  51-100  101-250  >250

Average dollar amount of international wires sent per month:  <\$25,000  \$25,001-\$50,000  \$50,001-\$100,000  
 \$100,001-\$250,000  >\$250,000

Are wires USD or foreign? \_\_\_\_\_

If foreign, what currency? \_\_\_\_\_

What is the purpose of the foreign wires? \_\_\_\_\_

What countries are involved in these wires? \_\_\_\_\_

With whom are these foreign transactions conducted? \_\_\_\_\_

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## Section 5: Signatures

By signing below, I hereby certify that the above information provided by me is true, complete and accurate, to the best of my knowledge and belief.

\_\_\_\_\_  
*Signature (1)*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature (2)*

\_\_\_\_\_  
*Date*

### Thank you for completing Happen Bank's Business/Organization Deposit Application!

You may submit a completed application by returning this form to your relationship manager,  
to our Seaport Financial Center, or by mail to:

**HAPPEN BANK, ATTN: CUSTOMER SERVICE DEPARTMENT, P.O. BOX 55063, BOSTON, MA 02205-5063**

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## Notice: USA Patriot Act

### Important information about procedures for opening or changing an account with Happen Bank

Section 326 of the USA PATRIOT ACT requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account or changes an existing account. This federal requirement applies to all new customers and current customers. This information is used to assist the United States government in the fight against the funding of terrorism and money-laundering activities.

What this means to you: when you open an account or change an existing account, we will ask each person for their name, physical address, mailing address, date of birth, and other information that will allow us to identify them. We will ask to see each person's driver's license and other identifying documents and copy or record information from each of them.

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#### INTERNAL USE ONLY

Account Number: \_\_\_\_\_

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