## Procedure for submitting a complaint

- Send a written complaint by email to: client@barragecapital.com
- A complaint may also be validly submitted using the complaint form available on the website of the Autorité des marchés financiers.
- You may receive assistance in preparing your complaint.

## Steps in processing a complaint

- 1. Receipt and recording of your complaint in the complaints register;
- Sending of an acknowledgement of receipt within 10 days;
- 3. Analysis of your complaint;
- 4. Sending of a final response as soon as possible, but no later than the 60th day following receipt of your complaint;
- 5. In exceptional or uncontrollable circumstances, a final response will be sent no later than the 90th day following receipt of your complaint.

## Information regarding complaint processing

At any time, you may contact the Complaint Processing Officer at client@barragecapital.com for information regarding the handling of complaints.

## Review of the file

If you are dissatisfied with the processing of your complaint or the outcome, you may request a review of the complaint file:

- by the Autorité des marchés financiers (for residents of Québec)
- by the Ombudsman for Banking Services and Investments (for residents of other provinces)

by submitting a request on their respective websites.