

CAPITAL MARKETS RISK MITIGATION FRAMEWORK (RMF) RISK ASSESSMENT PARTNER: DROIT FINTECH



WHAT IS THE RMF?

The Capital Markets RMF is an industry-led framework designed to help financial institutions identify, assess, and manage the non-financial risks associated with using public permissionless blockchain infrastructures

INTRODUCING RAPS

The Risk Assessment Partners (RAPs) are the industry leaders and solutions builders who have designed solutions for practical implementation by financial institutions



ABOUT DROIT FINTECH

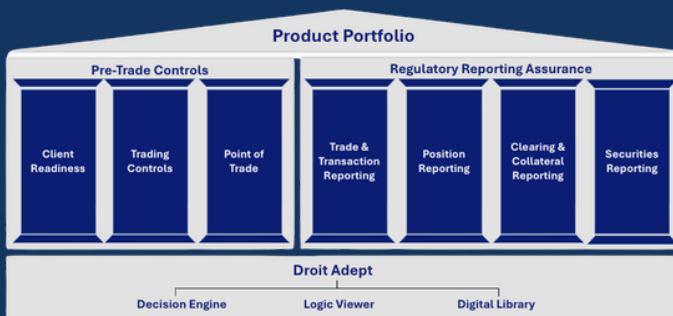
Droit is a specialized financial technology company founded in 2012 that provides software to automate global regulatory compliance and trading decisions. Its platform, Adept, helps financial institutions navigate complex regulations by determining pre-trade permissibility and managing post-trade reporting across global markets



THE SOLUTION

DROIT ADEPT PLATFORM

Made up of three key parts, the Decision Engine, the Logic Viewer, and Digital Library, the Droit Adept platform helps the financial services firms to manage regulatory compliance, trading, and transaction reporting in real-time



KEY FEATURES INCLUDE:

- Real-time compliance automation
- Full auditability, transparency, and traceability of decisions
- Monitoring of internal data, policies, and global rules
- Consensus-building around rule interpretation
- Continuous updates for regulatory changes
- Reporting Assurance and transaction reporting support

LEARN MORE

[DROIT.TECH/ADEPT-PLATFORM](https://droit.tech/adept-platform)



ALIGNMENT WITH THE RMF

Droit supports institutional risk controls in line with RMF categories across the trade, compliance, and reporting lifecycle

This approach helps financial institutions embed RMF-aligned risk management into day-to-day operational and compliance workflows

THE ADEPT PLATFORM CAN HELP:

- Novel risks through real-time governance, decision traceability, and policy-driven control mechanisms
- Adapted risks such as legal and regulatory ambiguity, transaction and process execution failures, and data management challenges
- Standard risks such as reporting, auditability, policy enforcement, and compliance oversight
- Cross-border compliance obligations across jurisdictions

**ACCESS RMF PHASE 1:
[GBBC.IO/NEWS/RISK-MITIGATION-FRAMEWORK](https://gbbc.io/news/risk-mitigation-framework)**