



Import/Export and Trade Security

Supplier Standards

When importing or exporting products, goods, software, or other items across borders, Supplier must follow all applicable global and local import and export laws. Supplier must follow all global and local restrictions or protections related to shipping, licenses, permits, duties, tariffs, and other related requirements.

Goods may include: products, instruments, equipment, documents, samples, intermediates, API (Active Pharmaceutical Ingredient), finished or dosage form products, research and development materials (tissue, product) electronic resources, technology, and knowledge transfer.

Complying with Trade and Economic Sanctions

Supplier must not engage in transactions with countries, individuals, or entities against which the United States or any other government maintains trade or economic sanctions. Providing products and services to Lilly, a unified global business entity headquartered in the United States, Suppliers, regardless of where they are located, must follow all U.S. government trade and economic sanctions as well as those on transactions with restricted parties.

Import/Export Requirements

All shipments must follow country-specific rules and instructions pertaining to: documentation for the shipment, including classification, valuation and country of origin; paying required import duties, tariffs and taxes; and not circumventing restrictions by re-exporting through a third party or subsidiary.

Additional Requirements for U.S. Controlled Items

The U.S. government and other governments control certain goods deemed as having a possible military use as well as a commercial use. Suppliers, no matter where in the world located, that export items that originate in the U.S. on the export controlled list (U.S. Commerce Control List, U.S. Munitions List, etc.), must follow restrictions accordingly. The technology for the production and development of each item on the list is also controlled. Some examples of items on the list may include:

- Pathogens
- Materials processing equipment
- Electronics, computers, telecommunications, hardware, software
- Sensors and lasers.

Supply Chain Trade Security

Suppliers must cooperate with Lilly supply chain security programs such as the U.S. Customs-Trade Partnership against Terrorism (C-TPAT) program and the European Union Authorised Economic Operator (AEO) program. Suppliers must complete and update questionnaires that Lilly uses to assess supplier security practices, as needed.