

The Lilly Code of Business Conduct for Suppliers

礼来供应商商业行为准则

At Lilly, we unite caring with discovery to create medicines that make life better for people around the world. In the nearly 150 years we've been in business, we've developed and delivered trusted medicines that help people get better, feel better, and live better.

在礼来,我们将关爱与研发相结合,创造出改善世界各地人民生活的药物。在我们开展业务的近 150 年里,我们研发并提供值得依赖的药物,帮助人们变得更好、感觉更好、生活得更好。

We are committed to upholding high standards of corporate conduct in our business dealings around the world. This commitment to operating ethically and responsibly helps us earn and maintain the trust of customers, regulators, and society as a whole.

我们致力于在全球业务往来中坚持高标准的企业行为。这种以讲究道德和负责任的方式运营的承诺有助于我们赢得并保持客户、监管机构和整个社会的信任。

We expect our suppliers and employees to conduct Lilly business consistent with these standards and the Lilly values of Integrity, Excellence, and Respect for People as reflected in the statements below.

我们希望我们的供应商和员工在开展礼来业务时符合这些标准以及礼来的价值观“诚信、追求卓越和以人为本”,如以下声明所示。

Interactions

互动

- » We behave ethically in business relationships, dealings, and activities. We practice the highest standards of business conduct.
- » 我们在业务关系、往来和活动中遵守道德规范。我们奉行最高的商业行为标准。
- » We comply with all laws, regulations, industry codes of practice, and Lilly policies, standards, and procedures that apply to our business activities.
- » 我们遵守所有适用于我们业务活动的法律、法规、行业行为准则以及礼来政策、标准和程序。
- » We don't offer, provide, authorize, or accept anything of value—or give the appearance that we do—in order to inappropriately influence a decision or gain an unfair advantage.
- » 我们不提出、提供、授权或接受任何有价值物品,也不得出现存在上述情形的现象,从而避免不当影响一项决策或获得不公平的优势。
- » We compete ethically. We do not engage in unethical or other unfair competitive practices, but rather we rely on the merits of our products and people.
- » 我们以合乎道德的方式竞争。我们不会进行不道德或其他不公平的竞争行为,而是依靠我们的产品和人员的优点。
- » We do not allow our business dealings to be influenced by personal or family conflicts of interest.
- » 我们不允许我们的业务往来受到个人或家庭利益冲突的影响。
- » We select suppliers based on the merit and value of their products and services. We do not accept gifts, entertainment, or favors from them that could affect or appear to affect our business judgment. We do not allow our suppliers to offer gifts or donations on Lilly's behalf without prior written approval.
- » 我们根据供应商的产品和服务的优势和价值进行选择。我们不接受来自供应商的可能影响或看起来会影响我们商业判断的礼物、娱乐或好处。未经事先书面批准,我们不允许供应商代表礼来提供礼品或捐赠。
- » We communicate honestly in all areas in which we engage externally about Lilly business, products, policies, and activities. Only authorized representatives of Lilly communicate on Lilly's behalf.
- » 我们在所有对外涉及礼来业务、产品、政策和活动的领域进行诚实的沟通。只有礼来的授权代表才能代表礼来进行沟通。
- » We provide information about Lilly products that is approved by Lilly, consistent with the approved label, and that presents benefits and risks in an accurate, fair, and balanced manner. We manage the production and dissemination of this information carefully so that it is current.
- » 我们提供关于礼来产品的信息,这些信息由礼来批准,并与批准的标签一致,且以准确、公平和平衡的方式呈现利益和风险。我们仔细管理此信息的产生和传播,使其保持最新动态。

Workplace

工作场所

- » We conduct our business activities with respect for people and a commitment to affirm and support human rights, diversity, equal opportunity, and freedom from exposure to improper conduct, including acts of discrimination, retaliation, or harassment. We resolve concerns and issues in an appropriate manner, without retaliation.
- » 我们在开展业务活动时尊重他人,并致力于保护人权、多样性、平等机会以及避免受到不当行为的影响(包括歧视、报复或骚扰行为)。我们以适当的方式解决疑虑和问题,不进行报复。
- » We are committed to uphold the human and employment rights of workers and to treat them with dignity and respect. For example, we do not use forced, bonded or indentured labor or involuntary prison labor and no worker should pay for a job or be denied freedom of movement. We do not use child labor (except as consistent with applicable law, such as cooperative learning programs). We recognize workers' rights to organize, join organizations of their

choice, and negotiate collectively, where required by local law. In addition, we pay employees consistent with applicable wage laws, including minimum wages, overtime hours and any mandated benefits, and communicate with employees in a timely manner regarding the basis on which they are being compensated. We also encourage open communication and direct engagement with workers to resolve workplace and compensation issues.

- » 我们致力于维护员工的人权和就业权利,并给予他们尊严和尊重。例如,我们不使用强迫、抵债或契约劳工或非自愿的监狱劳工,任何员工都不应该为工作付费或被剥夺行动自由。我们不使用童工(除非符合适用法律,如合作学习计划)。我们认可员工在当地法律要求的情况下,拥有组织、加入他们所选择的组织以及进行集体谈判的权利。此外,我们按照适用的工资法向员工支付工资,包括最低工资、加班时间和任何法定福利,并与员工就他们获得及时补偿的基础进行沟通。我们还鼓励与员工进行公开沟通和直接接触,以解决工作场所和薪酬问题。
- » We protect the workplace, including Lilly's physical assets and electronic resources, from loss, theft, or damage. Theft, security emergencies, and personal injuries must be reported to Lilly sponsors and to local Lilly security, if available in that location.
- » 我们保护工作场所,包括礼来的实体资产和电子资源,防止丢失、被盗或损坏。盗窃、安全紧急情况和人身伤害必须报告给礼来赞助商和当地的礼来安保人员(如果该地点有)。
- » We work to assure a reliable supply of quality products, and accurate and complete product, safety, and efficacy information. We take precautions to protect the quality and integrity of our products in the supply chain.
- » 我们努力确保提供可靠的高质量产品以及准确完整的产品、安全和疗效信息。我们采取预防措施来保护供应链中产品的质量和完整性。
- » We expect that our suppliers will source their materials responsibly and abstain from procuring materials from all conflict areas or sources including the Democratic Republic of Congo. We expect that our suppliers conduct their own due diligence regarding the source of any materials they provide to us in order to ensure those materials are obtained from areas that are conflict-free.
- » 我们希望我们的供应商负责任地采购材料,避免从包括刚果民主共和国在内的所有冲突地区或来源采购材料。我们希望我们的供应商对他们向我们提供的任何材料的来源进行严格评估尽职调查,以确保这些材料来自无冲突的地区。
- » We create and maintain safe and healthful working conditions, and we respect and protect the environment, conducting our operations to minimize adverse environmental effects.
- » 我们创造并维护安全健康的工作环境,我们尊重并保护环境,在我们的运营中最大限度地减少对环境的不良影响。
- » We follow all approved standards, procedures, and processes and complete all required training before performing an activity or task.
- » 我们遵循所有批准的标准、程序和流程,并在执行活动或任务之前完成所有必需的培训。
- » We bring our full selves to work each day. To that end, we ensure that we are not impaired with drugs or alcohol while at work so that we can be fully engaged and help make life better for people around the world.
- » 我们每天都全身心地投入工作。为此,我们确保我们工作时未受到毒品或酒精的伤害,以便我们能够完全投入工作,帮助世界各地的人们过上更好的生活。
- » We respect and protect the welfare of animals used in research, complying with all legal and Lilly requirements that govern the care and use of animals in research. Moreover, we require contractors to adhere to the Lilly Principles for Animal Care and Use for Third Party Organizations, even if these principles are more stringent than applicable local laws. Lilly also encourages animal research and animal supply companies globally to obtain and maintain accreditation from AAALAC International.
- » 我们尊重和保护研究中所用动物的安全与健康,遵守所有关于研究中动物的护理和使用的法律和礼来要求。此外,我们要求承包商遵守礼来第三方组织动物护理和使用原则,即使这些原则比适用的当地法律更严格。礼来还鼓励全球动物研究和动物供应公司获得并保持AAALAC国际的认证。

Information 信息

- » We safeguard and make proper use of confidential information as a valuable company asset. Confidential information is any information not in the public domain. Lilly owns and retains the right to access Lilly's information regardless of how, why, where, or by whom it is produced.
- » 我们将机密信息作为宝贵的公司资产加以保护和合理使用。机密信息是指任何不公布于公共领域的信息。礼来拥有并保留获取礼来信息的权利，这无关乎信息的产生方式、原因、地点以及产生信息的人员。
- » We obtain appropriate Lilly approval before communicating or disclosing externally in a public forum or any forum accessible by the public, any information related to Lilly.
- » 在公共论坛或公众可访问的任何论坛上对外传达或披露任何与礼来相关的信息之前，我们会获得礼来的适当批准。
- » We do not improperly disclose or take advantage of nonpublic Lilly, alliance, or third-party information.
- » 我们不会不当披露或利用礼来、联盟或第三方的非公开信息。
- » We protect, respect, and do not knowingly violate the information rights of others.
- » 我们保护、尊重、不有意触犯他人的合法信息权。
- » We use electronic services and tools with due consideration for the sensitivity of the information being stored, communicated, or transferred and the potential risks of the information being lost or exposed.
- » 我们在使用电子服务和工具时，会适当考虑所存储、通信或传输信息的敏感性以及信息丢失或暴露的潜在风险。
- » We keep our promises and are respectful of an individual's privacy, and we demonstrate, in every business operation, in every location around the world, that people can trust us with their personal information, whether it is obtained from an employee, a customer, or another individual. We take reasonable precautions to safeguard personal information against loss, misuse, theft, unauthorized access, disclosure, modification, or destruction.
- » 我们信守诺言，尊重个人隐私，我们在世界各地的每一项业务运营中都证明，人们可以信任我们处理他们的个人信息，无论是从员工、客户还是其他人那里获得。我们采取合理的预防措施来保护个人信息免遭丢失、滥用、盗窃、未经授权的访问、披露、修改或销毁。
- » We conduct Lilly clinical research and development activities consistent with bioethics principles and sound scientific methodologies, focusing on the safety and well-being of research participants.
- » 我们按照生物伦理原则和合理的科学方法开展礼来临床研究和研发活动，重点关注研究参与者的安全与健康。
- » We follow Lilly processes for the handling and publication of Lilly scientific information (whether written, oral, or electronic). We only publish scientific information that is accurate, objective, balanced, and timely.
- » 我们遵循礼来处理 and 发布礼来科学信息的流程（无论是书面、口头还是电子形式）。我们只发布准确、客观、平衡和及时的科学信息。
- » Suppliers shall ensure that the services provided for Lilly do not infringe the intellectual property rights of any third party.
- » 供应商应确保为礼来提供的服务不侵犯任何第三方的知识产权。

Lilly unites caring with discovery to make life better for people around the world.
礼来将关爱与研发相结合，让世界各地人民过上更好的生活。

Transactions 交易

- » We create and maintain, in reasonable detail, accurate Lilly books, records, and accounts. We provide reliable and trustworthy financial statements that reflect Lilly transactions.
- » 我们以合理的细节创建和维护准确的礼来账簿、记录和账目。我们提供反映礼来交易的可靠且值得信赖的财务报表。
- » We do not make, arrange to have made, or fail to correct or report any false, misleading, or artificial entries in Lilly records or those of others with whom we do business.
- » 我们不会在礼来或与本公司有业务往来的其他公司的记录中，作出、安排作出或未能纠正或报告任何虚假、误导性或人为条目。
- » We have appropriate authority and secure the required Lilly approvals before acquiring or committing Lilly resources, entering into agreements with external parties, disbursing Lilly funds, and/or entering into special transactions noted in Lilly policies, standards, and procedures. We require authorization from procurement, assessment by third party risk management, and appropriate supporting contractual documentation before purchasing goods or services.
- » 在收购或承诺礼来资源、与外部各方签订协议、支付礼来资金和/或进行礼来政策、标准和程序中提到的特殊交易之前，我们拥有适当的权力并获得所需的礼来批准。在购买商品或服务之前，我们需要采购授权、第三方风险管理部门的评估以及适当的支持性合同文件。
- » When involved in contracting, we verify that the contract accurately reflects the nature, value, risk and content of the proposed Lilly transactions and relationships. Any instances of observed conduct or circumstances that are inconsistent with applicable contractual requirements should be discussed with Procurement, the Third Party Management Office, or a Lilly sponsor.
- » 在参与合同时，我们会核实合同是否准确反映了礼来公司拟议交易和关系的性质、价值、风险和内容。如观察到任何行为或情况与适用的合同要求不一致，应与采购部、第三方管理办公室或礼来赞助商进行讨论。
- » We publicly disclose financial interactions with and transfers of value to health care providers, health care organizations, and patient organizations not only as required by law but also in accordance with regional and/or local voluntary disclosure codes.
- » 我们不仅根据法律要求，还根据地区和/或当地自愿披露准则，公开披露与医疗保健提供者、医疗保健组织和患者组织的财务往来和价值转移。
- » We do not take any action that would support or respond to a request relating to a boycott of a country that is friendly to the United States. We follow applicable internal reporting and approval requirements for all requests received, even if Lilly does not intend to respond to or comply with the request.
- » 对于有关抵制一个与美国有友好关系的国家的要求，我们不采取任何支持或响应措施。我们对所有收到的请求遵循适用的内部报告和批准要求，即使礼来不会响应或答应要求。
- » We do not engage in or commit to any Lilly transaction with countries subject to trade or economic sanctions or with individuals, groups, or entities that we are aware or have reason to believe appear on any government list of restricted, sanctioned, denied, or debarred parties without first verifying that the transaction is permissible.
- » 确认交易许可之前，对于受到贸易或经济制裁的国家/地区，以及我们知道或有理由相信出现在任何被限制、制裁、拒绝或禁止交易方政府名单上的个人、团体或实体，我们不会参与或从事与其相关的任何礼来交易。
- » Suppliers shall cooperate with Lilly to conduct relevant due diligence or pre-qualification process any time, provide certification, third-party credit reports or financial statements which meets Lilly's requirements. Supplier shall ensure there is no fraud in integrity, quality, suitability, credibility, and sustainable development.
- » 供应商应随时配合礼来进行相关的尽职调查或资格预审，提供符合礼来要求的认证、第三方信用报告或财务报表。供应商应确保在诚信、质量、适用性、可信度和可持续发展方面不存在欺诈行为。
- » The supplier shall not provide any services or products before having a valid contract with Lilly or receiving an order.
- » 供应商在与礼来签订有效合同或收到订单之前，不得提供任何服务或产品。
- » Suppliers shall follow Lilly finance and procurement policies, any backdoor selling behavior to Lilly employees will be considered as violation. During the vendor selection and/or negotiation of Lilly sourcing projects, suppliers should not direct communicate with project team members, including proposal, quotations, and other commercial terms etc. without procurement involvement or authorization.
- » 供应商应遵守礼来的财务和采购政策，任何对礼来员工的后门销售行为都将被视为违规。在礼来采购项目的供应商选择和/或谈判过程中，供应商不应在未经采购参与或授权的情况下直接与项目团队成员沟通，包括提案、报价和其他商业条款等。
- » Suppliers shall ensure the authenticity and consistency of service toward to contract content. For any changes, suppliers shall obtain Lilly's approval in advance and go through change process accordingly.
- » 供应商应确保服务对合同内容的真实性与一致性。对于任何更改，供应商应事先获得礼来的批准，并相应地完成更改流程。
- » We properly classify and value goods and technology and meet all applicable import and export requirements and restrictions before transferring them either electronically or physically (whether by sending or personally carrying them) between countries.
- » 我们正确分类和评估商品和技术，并满足所有适用的进出口要求和限制，然后才可以在国家之间以电子或物理方式传输商品和技术（无论是通过发送还是亲自携带）。

Reporting 报告

- » If you experience or observe actions that are not aligned with these commitments, Lilly wants to know so that we can learn and improve. Report all known or suspected violations and immediately report any concerns or potential breaches of personal information to Lilly sponsors or the Lilly Ethics & Compliance Hotline (www.lillyethics.ethicspoint.com, or call 1-800-815-2481)
- » 如果您经历或观察到与这些承诺不一致的行为，礼来希望了解情况，以便我们可以学习和改进。报告所有已知或可疑的违规行为，并立即通过礼来道德与合规热线(www.lillyethics.ethicspoint.com 或拨打1-800-815-2481) 向礼来经办人报告任何顾虑或潜在的个人信息泄露情况。
- » Report to Lilly within five (5) calendar days if one of your employees is excluded or restricted in any way from doing business with any government.
- » 如果您的一名员工以任何方式被排除或限制与任何政府部门开展业务，请在五 (5) 个日历日内向礼来报告。
- » We take appropriate measures to prevent retaliation against persons who make these reports. Lilly does not tolerate retaliation for reporting inappropriate conduct, preventing unlawful practices, or participating in an investigation.
- » 我们会采取适当措施，防止举报人受到报复。礼来不允许对报告不当行为、防止非法行为或参与调查的行为进行报复。
- » Report any known or suspected death or suspect product immediately (within 24 hours of receipt). Report any other adverse event or product complaint within one business day.
- » 立即报告任何已知或可疑的死亡或可疑产品（收到后 24 小时内）。在一个工作日内报告任何其他不良事件或产品投诉。

In the US:

美国境内：

- Call The Lilly Answers Center (TLAC), 1.800.LillyRx (1.800.545.5979) or use an alternative reporting method as determined by the Lilly component.
- 拨打 1.800.LillyRx (1.800.545.5979) 致电礼来答复中心 (TLAC) 或使用礼来分公司确定的其他报告方法。

Outside the US:

美国境外：

- Select your location from the globe link in the upper right corner of Lilly.com then click on "Contact" or "Contact Us" in the top menu bar to access the appropriate local reporting method.
- 请从礼来公司官网(Lilly.com) 右上角的全球地图链接中选择您的位置，然后单击顶部菜单栏中的“联系我们”以获取相应的本地报告方法。

Lilly appreciates your shared commitment to acting with integrity. Contact your employer or Lilly sponsor for details to support these commitments and other elements of Lilly's ethics and compliance program.

礼来感谢您对诚信行事的共同承诺。请联系您的雇主或礼来业务发起人，了解支持这些承诺和礼来道德与合规计划其他内容的详细信息。

Together, we act with transparency, honesty, and integrity, knowing that every interaction is an opportunity to improve patient care and build trust with those we serve.

我们齐心协力，以透明、诚实和正直的方式行事，我们知道每一次互动都是改善患者关怀以及与我们所服务的人建立信任的机会。

