

Fee Schedule

We believe that Investing should be fair, transparent and accessible to all. That's why we aim to keep our costs low.

Sproutfi does not charge commissions to buy or sell US listed stocks & ETFs

Commissions or Execution Fees	\$0
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Fees charged by our regulators (SEC & FINRA)

SEC fee	\$22.90 per \$1,000,000 of principal, rounded to the nearest cent.
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TAF fee sell side only	\$0.00130 per share, rounded up to the nearest cent, maximum of \$6.49
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ADR fee	Varies by ADR Please, refer to ADR prospectus for additional detail
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Note: Regulatory fees are mandated fees passed onto the investors. These occur when users sell a stock or ETF, and often cost only a few cents.

Fees charged on other products

Crypto Commissions Crypto offered by Northbound Cash LLC In Partnership with Drivewealth Digital LLC.	\$0 There is a 1%-2% markup applied to the transaction
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Smart-Portfolios Advisor services provided by Northbound Advisors LLC, a registered investment advisor.	1% annual fee
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Margin Debit	Contact Us
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Currency

BRL/USD PIX Deposit & Withdrawal
Remittance services provided by FacilitaPay Pagamentos S/A

1.85%
Plus 0.38% IOF

Withdrawal and administrative request fees

Outgoing US wire transfer \$25

Outgoing non-US wire transfer \$35

ACH returns
Deposits and withdrawals \$20
per transaction

Transfer fees

ACAT incoming Free

ACAT outgoing and transfers \$65
per account

ACAT out · Non-US \$65
per account

DTC deliveries / receives \$35
per position

DWAC transfer \$130
per position

DRS transfer
Incoming and outgoing \$55
per position

Other fees

Inactivity Fee

Self-directed accounts with \$9.99 or less in total value
And no activity in the last 9 months.

\$0.10
Per month not active

Wire deposit returns

\$35
per wire

Tax document request

Fax and regular mail

\$5
per request

1099 request for exempt account

\$5
per request

Paper copy of trade confirmations

\$5
per confirmation

Paper copy of monthly account statements

\$5
per statement

As always, our investors are our top priority. If you have any questions or concerns, support@sproutfi.com!

Northbound Group LLC ("Sproutfi") and its affiliates may waive all, or part of any of these fees, permanently or for a period of time, at its sole discretion for any reason. Fees are subject to change at any time. This is not an offer, or solicitation of any offer to buy or sell any security, investment or other product.

Processing and service fees assessed by third parties may be passed through to the customer's account. Some products and services offered by Sproutfi may require additional fees or transaction minimums for certain products or services not specifically listed here.

As of October 2, 2022