BANKER'S FRONT

Client Lifecycle Management Digital Platform

Optimize your client services and regulatory compliance
to increase your revenue margin

FNZ by your side

FNZ is the **global platform provider** in the wealth management sector, partnering with over 650 of the world's leading financial institutions and over 8,000 wealth management firms.

With over 4,500 employees in 21 countries, FNZ's mission is to open-up wealth, empowering all people to create wealth through personal investment, aligned with things they care about the most, on their own terms.

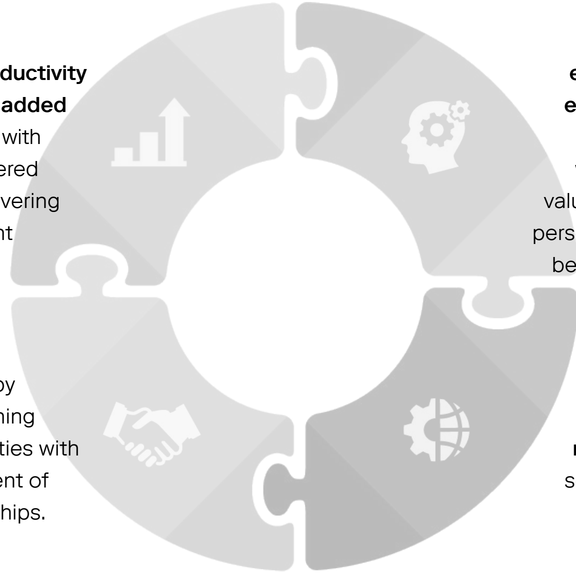
At FNZ, we leverage our extensive experience in wealth management, **reinventing ourselves to innovate and adapt** to an industry in evolution and facing structural challenges:

- Increased constraints on regulatory compliance,
- Constant margin erosion,
- Client expectations for greater availability, transparency and modern digital communication channels,
- Mosaic of disjointed legacy applications complexifying efficient customer relationship.

Our **award winning solutions** are made for private bankers and wealth managers to enable them to **turn these challenges into opportunities**.

Digitize and grow your business with our FNZ Banker's Front solution

Banker's Front is an innovative advanced digital **Client Lifecycle Management (CLM)** platform. It efficiently empowers banks, wealth managers and investment managers to manage a successful digital transformation journey:



Improve the users experience and productivity and focuson **value-added business activities** with a single client -centered intuitive solution covering all steps of the client relationship cycle.

Offer your clients **an enhanced customer experience** via digital innovative solutions, without reducing the value of the trusted and personalized relationship between the client and his banker.

Increase revenue by capturing and retaining business opportunities with a better management of your client relationships.

Combine powerful **specialized wealth management** digital solutions at **your own pace and with your own specificities.**

FNZ solution

Banker's Front solution integrates two main functionalities: a CLM & Advisory Cockpit and a Client Portal.

These two features are both independent and complementary, and you can either choose to implement one of them, or both.

BANKER'S FRONT

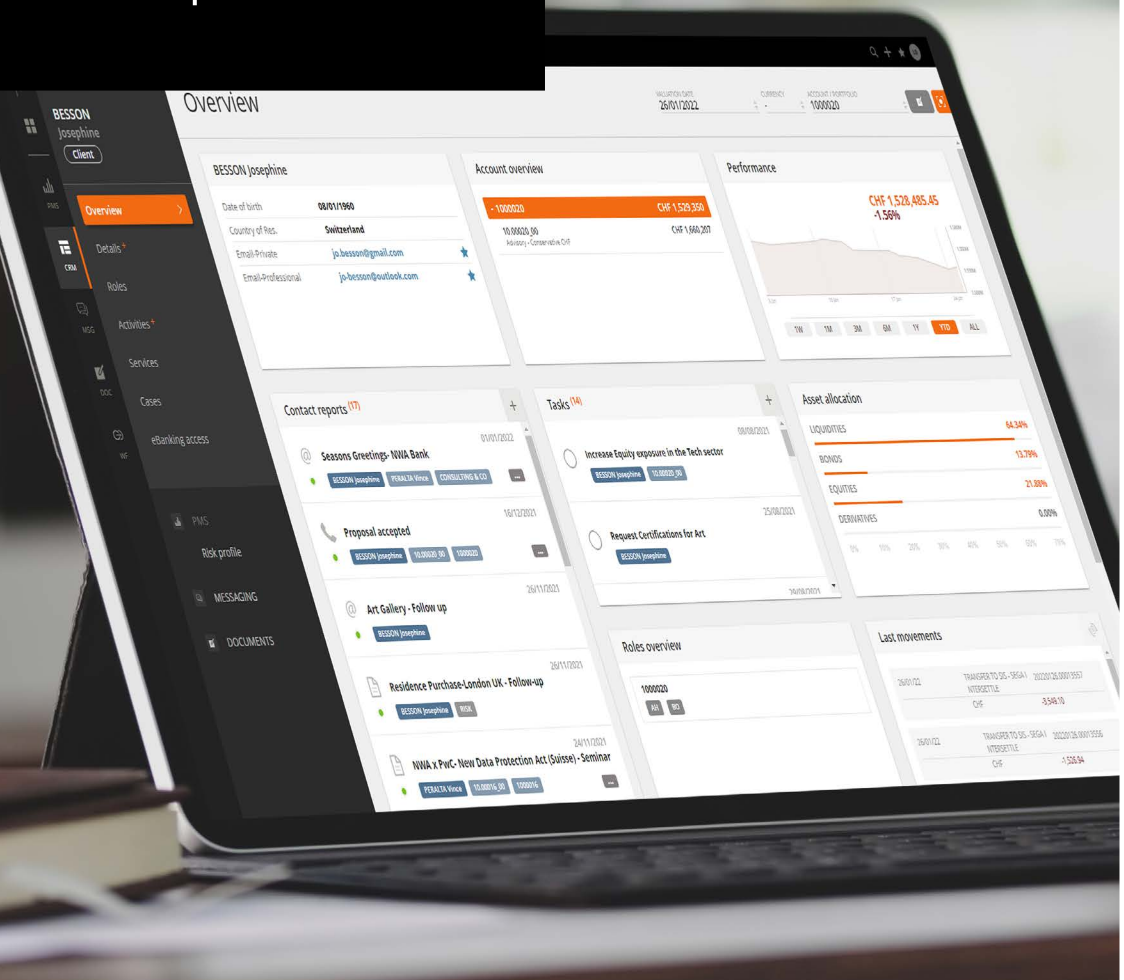
CLM & ADVISORY COCKPIT

The banker has a complete centralized cockpit to monitor and manage his prospects and clients.

CLIENT PORTAL

The final HNW client benefits from a superior experience and seamless digital interaction with his banker.

A single platform combining a 360° view of clients and assets with advanced CLM capabilities



Banker's Front Advisory Cockpit.

The Banker's Front solution

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CLM & Advisory cockpit

The solution covers all business and regulatory compliance needs on a single, modern and user intuitive digital front-end solution.

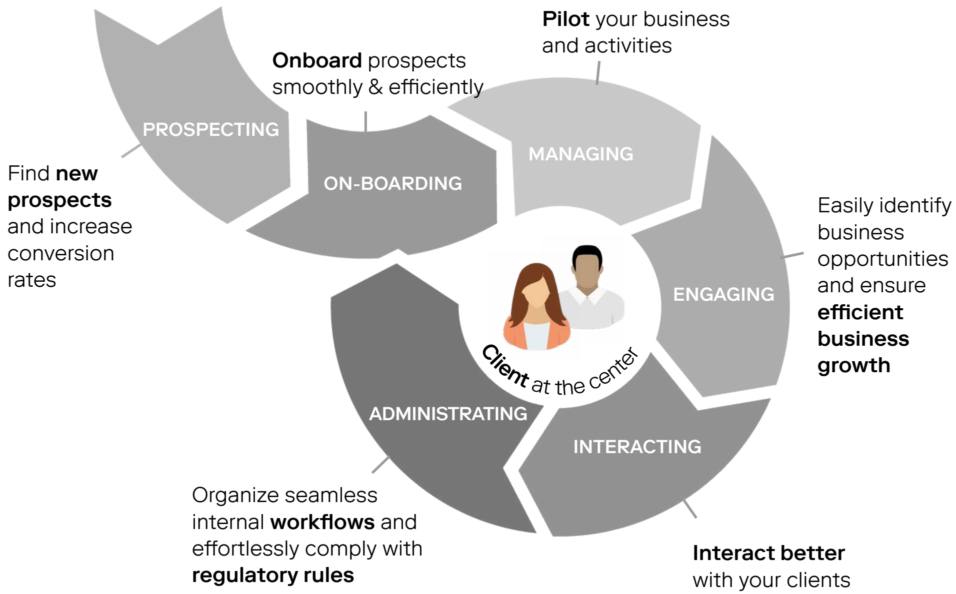
The relationship manager has a 360° vision on his clients from a CRM* perspective including document management, asset & holdings, investment proposals and can even place orders. It's a unique and consistent interface for the wealth manager that integrates all the processes involving client interaction.

Intuitive digital front-end

Unique interface

Entire CLM

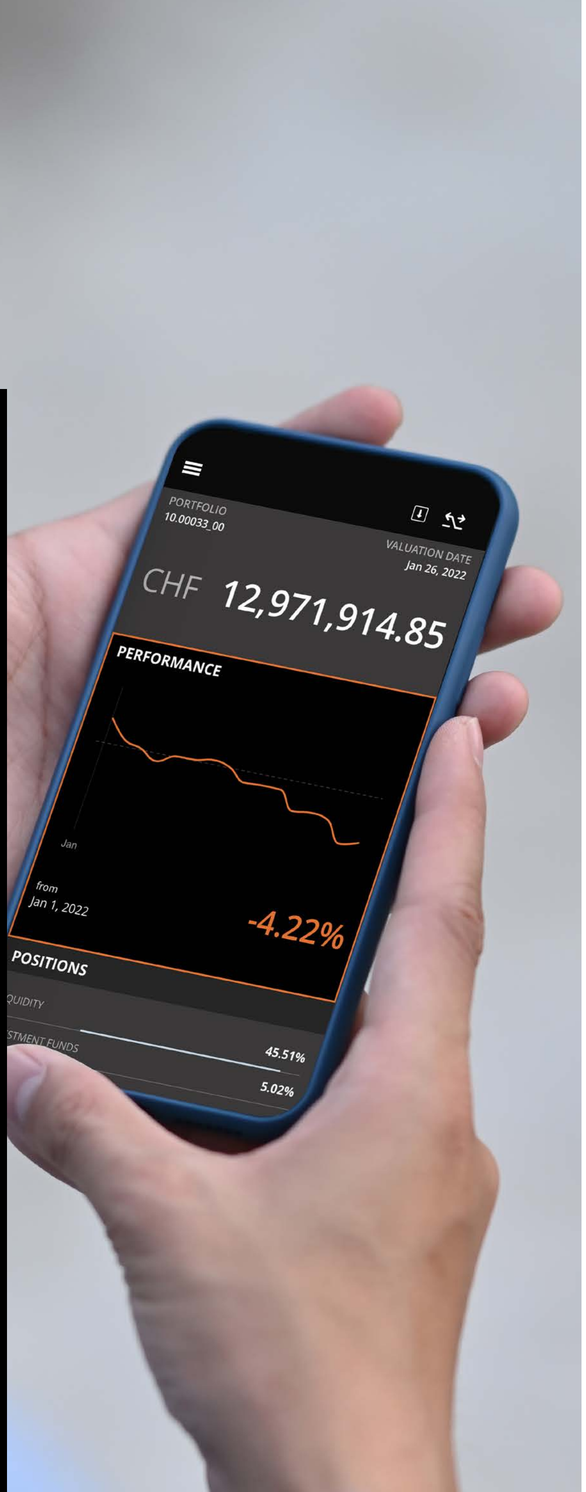
The solution is covering the **entire Client Lifecycle Management** from prospect management to client relationship management:



An integrated experience where key processes between different roles in the organization and between the relationship manager and his clients are digitalized and efficient.

*Customer Relationship Management

A digital platform fully adaptable to your branding and visual identity



Banker's Front Mobile Client Portal.

The Web and Mobile Client Portal

The client portal offers a powerful user experience with full digital availability for the final HNW client or external asset manager:

- Consult information (complete e-Banking with asset allocation, performance, positions, movements),
- Receive and share documents and investment proposals,
- Generate high-end reports, on-demand,
- Place orders with the appropriate pre-trade controls and restrictions,
- Easily interact with the relationship or investment manager through secured messaging chat.

Banker's Front client portal provides a similar and shared digital experience between the banker and his clients: assets, performance, reports, and client data come from the same source and are presented in a similar way.

Available through desktop or mobile applications.

Integration

The Banker's Front solution uses a segregated database that has been designed to be **integrated with any core banking system** in real-time with feeds from depository banks in a multi-custodian approach. It can integrate data coming from various sources regardless of content and format.

Generic connectors to the main market Core banking systems

Application's standard APIs

Standard integrator extract program

The solution remains open to the integration of specialized third-party FinTech and RegTech while ensuring the highest levels of security on the market.

A comprehensive digital solution that can be deployed with modular functionalities at your own pace

Banker's Front key features

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CLM & Advisory Cockpit

Workstation

- Widget and role-based dashboard
- 360° client or asset centric view
- Performance and asset allocation
- Portfolio consolidation
- Orders (cash and securities)
- Investment proposals

Relationship management

- Prospect management
- Client management (financial planning and wealth information, clients' connections)
- Contact management (events, contact reports, tasks)
- Opportunities management (pipeline, client's evolution and concerns)

Onboarding & regulatory

- Full workflow from prospect to client onboarding, customized according to the bank's policy
- KYC & AML risk
- Investor's profile (incl. MiFID II, LSFIN, suitability and appropriateness requirements)
- Support of multi-jurisdiction and various client structures (individual, corporate, trust, etc.)
- Client information review
- Restrictions and alerts

Documents & workflows

- Secured document management
- E-signature
- Banking processes and workflows
- Collaborative virtual rooms

Client Portal

Integrated platform

- Fully synchronized with the CLM and Advisory Cockpit with same data source
- Document sharing between the banker and the client
- Live secured chat with the possibility to share documents and investment proposals

Enhanced digital experience

- Design responsive
- Available through web or mobile apps (IOS and Android)
- Ergonomic and easy to use
- Multi-language with a fully customizable design to match your visual identity and branding

Portfolio consultation

- Dynamic portfolio consultation
- Performance
- Asset allocation, positions and movements
- Market information (rates, prices...)
- Report generation and data export
- External asset manager features including portfolio consolidation

Orders

- Cash payments
- Security orders
- Real-time compliance checks and pre-trade restrictions
- Global orders for external asset managers

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Request a demonstration, ask a question or book a call, we are available for you:

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Always by your side, we serve our + 650 clients in more than 21 countries

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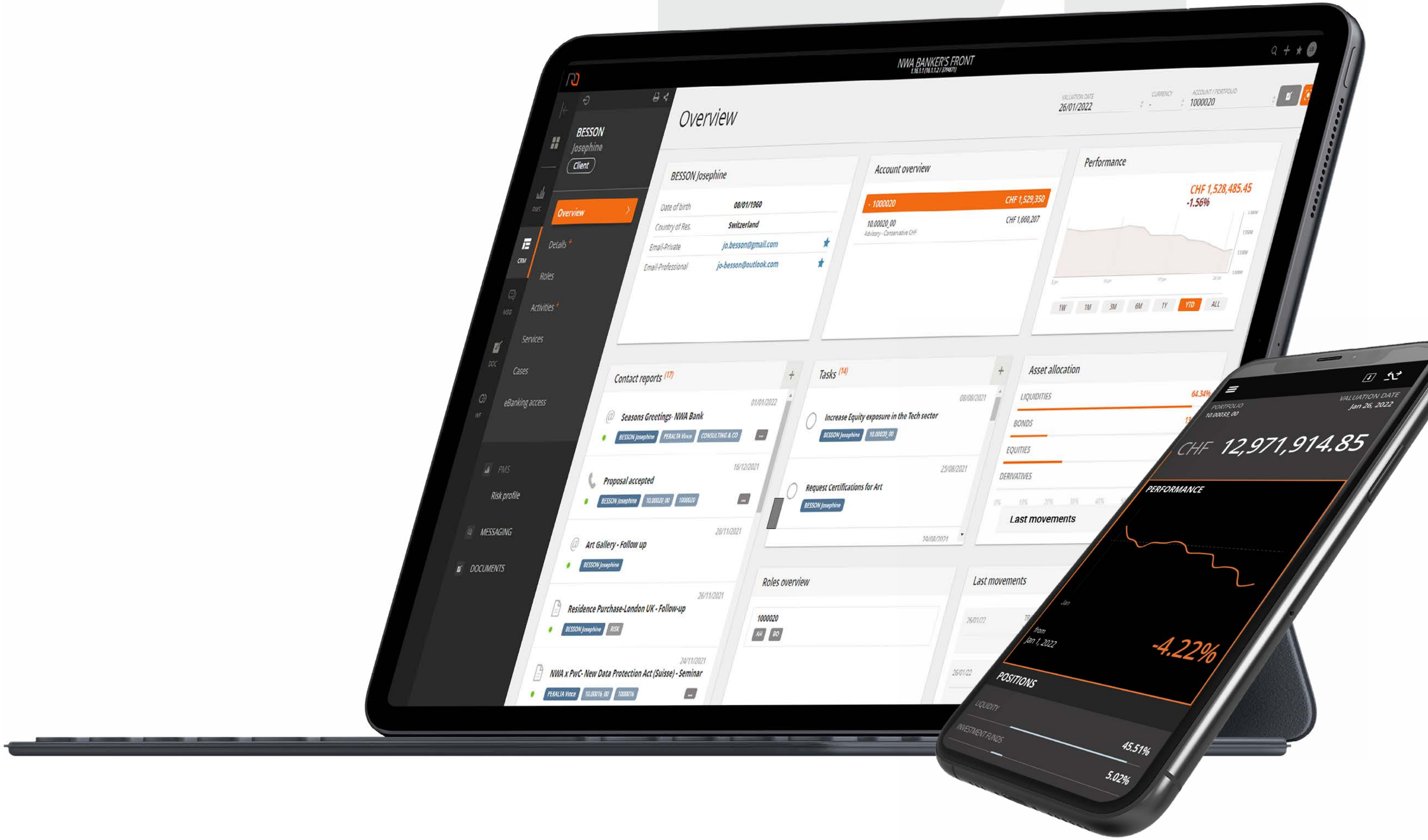
NWA BANKER'S FRONT
BESSON
Josephine
Client
Overview
Details
Roles
Activities
Services
Cases
eBanking access
Risk profile
MESSAGING
DOCUMENTS
BESSON Josephine
Account overview
Performance
CHF 1,528,485.45
-1.56%
Contact reports
Tasks
Asset allocation
LIQUIDITIES
BONDS
EQUITIES
DERIVATIVES
Last movements
Roles overview
Seasons Greetings- NWA Bank
Proposal accepted
Art Gallery - Follow up
Residence Purchase-London UK - Follow-up
NWA x PwC- New Data Protection Act (Suisse) - Seminar
Increase Equity exposure in the Tech sector
Request Certifications for Art
CHF 12,971,914.85
PERFORMANCE
-4.22%
POSITIONS
45.51%
5.02%

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Wealth's growth platform

FNZ.com