



ISO 14001:2026 Audit Checklist

26 Jun 2026 / ABCDG Main Office / Irma Phirun

Complete

Score	17 / 114 (14.91%)	Flagged items	4	Actions	1
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Complete all sections of this internal self-assessment to evaluate your Environmental Management System (EMS) against ISO 14001:2026. This checklist follows the official ISO 14001:2026 clause structure (Clauses 4 through 10) and covers all standard requirements. Attach evidence where required, assign actions and sign off at the end.

Completing this checklist produces documentation consistent with ISO 14001:2026 audit evidence expectations. Determination of actual compliance sits with an accredited certifying body.

Audit Title	EMS Audit for 2026 Q2
Client / Site	ABCDG Main Office
Conducted on	26.06.2026
Prepared by	Irma Phirun
Location	Boston, MA, USA (42.3555076, -71.0565364)

Flagged items & Actions

4 flagged, 1 action

Flagged items

4 flagged, 1 action

ISO 14001:2026 Audit Checklist / Clause 4 - Context of the Organization / 4.3 Determining the Scope of the Environmental Management System

Has the organization determined the boundaries and applicability of its EMS to establish its scope?

No

The boundaries have to be updated this 2026, since biodiversity wasn't included in those.

ISO 14001:2026 Audit Checklist / Clause 4 - Context of the Organization / 4.3 Determining the Scope of the Environmental Management System

Does the EMS scope reflect a life cycle perspective, including raw material sourcing, supplier practices, product use and end-of-life disposal?

No

NOTE: If the organization can influence an impact across the life cycle, it must account for it within scope.

End-of-life disposal isn't properly defined yet in the EMS.

To do | Priority: High | Due: 03.07.2026 13:35 PST | Created by: SafetyCulture Staff

Define end-of-life disposal practices

ISO 14001:2026 Audit Checklist / Clause 4 - Context of the Organization / 4.4 Environmental Management System

Has the organization established, implemented, maintained and continually improved its EMS, including the processes needed and their interactions, in accordance with the requirements of ISO 14001:2026?

No

ISO 14001:2026 Audit Checklist / Clause 5 - Leadership / 5.2 Environmental Policy

Has top management established, implemented and maintained an environmental policy appropriate to the nature, scale and environmental impacts of the organization's activities, products or services?

No

Other actions

0 actions

ISO 14001:2026 Audit Checklist

4 flagged, 1 action, 17 / 114 (14.91%)

Clause 4 - Context of the Organization

3 flagged, 1 action, 7 / 10 (70%)

4.1 Understanding the Organization and its Context

4 / 4 (100%)

Assess whether the organization has identified internal and external issues relevant to its purpose and affect its ability to achieve the intended outcomes of its EMS, including climate change, biodiversity, and natural resource availability.

Has the organization identified the external and internal issues that are relevant to its purpose and affect its ability to achieve the intended outcomes of its EMS?

Yes

NOTE: Climate change conditions, biodiversity and natural resource availability must be explicitly addressed in context analysis, not treated as background conditions.

Does the organization's context analysis include climate change conditions, such as climate-related risks, regulatory changes and physical impacts, as external issues?

Yes

Does the organization's context analysis include biodiversity impacts, such as effects on local ecosystems from its operations, as an external issue?

Yes

Does the organization's context analysis include natural resource availability, such as water, energy and raw materials, as a relevant external condition?

Yes

4.2 Understanding the Needs and Expectations of Interested Parties

2 / 2 (100%)

Has the organization determined the interested parties that are relevant to its EMS?

Yes

Has the organization determined the relevant needs and expectations of those interested parties?

Yes

Has the organization determined which of these needs and expectations have become compliance obligations?

N/A

4.3 Determining the Scope of the Environmental Management System

2 flagged, 1 action, 1 / 3 (33.33%)

Has the organization determined the boundaries and applicability of its EMS to establish its scope?

No

The boundaries have to be updated this 2026, since biodiversity wasn't included in those.

Does the EMS scope reflect a life cycle perspective, including

No

raw material sourcing, supplier practices, product use and end-of-life disposal?

NOTE: If the organization can influence an impact across the life cycle, it must account for it within scope.

End-of-life disposal isn't properly defined yet in the EMS.

To do | Priority: High | Due: 03.07.2026 13:35 PST | Created by: SafetyCulture Staff

Define end-of-life disposal practices

Is the EMS scope maintained as documented information?

Yes

4.4 Environmental Management System

1 flagged, 0 / 1 (0%)

Has the organization established, implemented, maintained and continually improved its EMS, including the processes needed and their interactions, in accordance with the requirements of ISO 14001:2026?

No

Clause 5 - Leadership

1 flagged, 10 / 12 (83.33%)

5.1 Leadership and Commitment

5 / 5 (100%)

Top management accountability extends beyond designated management representatives to all relevant roles.

Environmental responsibility is not solely an EHS function. Rather, leadership involvement must be visible, documented, and distributed across the organization.

Does top management demonstrate leadership and commitment with respect to the EMS by taking accountability for its effectiveness?

Yes

Has the organization's top management appointed specific management representative(s) who, irrespective of other responsibilities, has defined roles, responsibilities and authority to:
(a) ensure EMS requirements are established, implemented and maintained; and
(b) report on EMS performance to top management for review and improvement?

Yes

NOTE: ISO 14001:2026 does not mandate a single named management representative, but the accountability remains a live requirement.

Has top management demonstrated visible, personal accountability for EMS performance with documented evidence of their involvement?

Yes

Has top management supported all relevant roles (beyond

Yes

those with "manager" in their title) in fulfilling their environmental responsibilities?	
Has management provided resources, including human resources, specialized skills, technology and financial resources, essential to implementing and controlling the EMS?	Yes
5.2 Environmental Policy	1 flagged, 5 / 6 (83.33%)
Has top management established, implemented and maintained an environmental policy appropriate to the nature, scale and environmental impacts of the organization's activities, products or services?	No
Does the environmental policy include a commitment to continual improvement and prevention of pollution?	Yes
Does the environmental policy include a commitment to comply with relevant environmental legislation, regulations and other compliance obligations to which the organization subscribes?	Yes
Does the environmental policy provide a framework for setting and reviewing environmental objectives and targets?	Yes
Is the environmental policy documented, implemented, maintained and communicated to all persons working under the organization's control?	Yes
Is the environmental policy available to interested parties?	Yes
5.3 Roles, Responsibilities and Authorities	0 / 1 (0%)
Has the organization ensured that responsibilities and authorities for relevant roles are assigned and communicated within the organization?	
Clause 6 - Planning	0 / 28 (0%)
6.1 Actions to Address Risks and Opportunities	0 / 13 (0%)
6.1.1 General	0 / 2 (0%)
<p>Planning must now address environmental aspects and change management aspects. Emergency situations must be identified at the planning stage, not only within emergency preparedness procedures.</p>	
Has the organization established, implemented and maintained the processes needed to meet the requirements	

of Clause 6.1?

Are potential emergency situations explicitly identified as part of the risks and opportunities assessment and not only within emergency preparedness and response procedures?

NOTE: Emergency situations must be identified at the planning stage as risks and opportunities, separate from the emergency response procedures in Clause 8.2.

6.1.2 Environmental Aspects

0 / 5 (0%)

Has the organization established, implemented and maintained procedure(s) to identify the environmental aspects of its activities, products or services within the defined scope of its EMS that it can control and those it can influence?

Has the organization also identified change management aspects, including planned or foreseeable changes to processes, products, services or the organization itself, and assessed their environmental impacts?

NOTE: Change management aspects are a distinct planning input alongside environmental aspects.

Has the organization determined which of its aspects have or can have a significant impact on the environment?

Does the organization periodically update information regarding its environmental aspects and significant environmental impacts?

Are significant environmental aspects considered in establishing, implementing, maintaining and continually improving the EMS?

6.1.3 Compliance Obligations

0 / 2 (0%)

Has the organization established, implemented and maintained a procedure to identify and have access to its compliance obligations applicable to its environmental aspects?

Does the organization consider its compliance obligations when establishing, implementing, maintaining and continually improving its EMS?

6.1.4 Risks and Opportunities

0 / 2 (0%)

Has the organization determined the risks and opportunities related to its environmental aspects, compliance obligations and other issues and requirements that need to be

addressed?

Has the organization assessed risks and opportunities arising from climate change, biodiversity loss and natural resource scarcity when planning its EMS?

6.1.5 Planning Action

0 / 2 (0%)

Has the organization planned actions to address its significant environmental aspects, compliance obligations and risks and opportunities?

Has the organization planned how to integrate and implement these actions into its EMS processes and evaluate the effectiveness of those actions?

6.2 Environmental Objectives and Planning to Achieve Them

0 / 12 (0%)

6.2.1 Environmental Objectives

0 / 8 (0%)

Has the organization established environmental objectives at relevant functions, levels and processes that is consistent with its environmental policy?

When establishing and reviewing its environmental objectives, has the organization considered its compliance obligations?

Has the organization considered its significant environmental aspects when establishing and reviewing its environmental objectives?

Has the organization considered risks and opportunities when establishing and reviewing its environmental objectives?

Has the organization considered its technological options when establishing and reviewing its environmental objectives?

Has the organization considered its financial, operational and business requirements when establishing and reviewing its environmental objectives?

Has the organization considered the views of interested parties when establishing and reviewing its environmental objectives?

Are the organization's environmental objectives consistent with its environmental policy, including the commitment to the prevention of pollution and continual improvement?

6.2.2 Planning Actions to Achieve Environmental Objectives

0 / 4 (0%)

Has the organization established environmental management program(s) for achieving its environmental objectives?

Do environmental management program(s) designate responsibility for achieving objectives and targets at each relevant function and level of the organization?

Do environmental management program(s) define the means and timeframe by which they are to be achieved?

Are environmental management program(s) reviewed and revised when appropriate if new or modified activities, products or services are implemented?

6.3 Planning of Changes

0 / 3 (0%)

ISO 14001:2026 formalizes structured change management. The EMS must keep pace when processes, teams, facilities, products, services, legislation or climate risks change.

Does the organization have a documented process to plan for changes to its EMS, including assessment of potential environmental impacts, before implementing those changes?

Are planned changes to plant, processes, suppliers, products, legislation or climate risks formally reviewed through the EMS before implementation?

Does the change management process ensure the EMS is updated to reflect any new or modified environmental aspects arising from those changes?

Clause 7 - Support

0 / 21 (0%)

7.1 Resources

0 / 2 (0%)

Has the organization determined and provided the resources needed for the establishment, implementation, maintenance and continual improvement of its EMS?

Do infrastructure requirements explicitly address onsite, remote and hybrid work environments?

NOTE: Infrastructure requirements apply regardless of whether work is conducted onsite, remotely or in a hybrid arrangement.

7.2 Competence

0 / 3 (0%)

Has the organization determined the necessary competence of persons doing work under its control that affects its environmental performance or compliance obligations?

Has the organization identified the training needs for all personnel whose work may create a significant impact upon the environment?

Does the organization ensure that persons performing tasks with significant environmental impacts are competent on the basis of appropriate education, training and/or experience?

7.3 Awareness

0 / 4 (0%)

Are persons doing work under the organization's control made aware of the environmental policy and significant environmental impacts (both actual and potential) of their work activities?

Are persons made aware of their contribution to the effectiveness of the EMS, including the benefits of improved environmental performance?

Are persons made aware of their roles and responsibilities in achieving conformance with EMS requirements, including emergency preparedness and response?

Are persons made aware of the implications of not conforming with EMS requirements, including the potential consequences of departure from specified operating procedures?

7.4 Communication

0 / 4 (0%)

7.4.1 General

0 / 1 (0%)

Has the organization established, implemented and maintained the processes needed for internal and external communications relevant to its EMS?

7.4.2 Internal Communication

0 / 1 (0%)

Has the organization established and maintained procedures for internal communication between the various levels and functions of the organization regarding its environmental aspects and EMS?

7.4.3 External Communication

0 / 2 (0%)

Has the organization established and maintained procedures for properly receiving, documenting and

responding to relevant communications from external interested parties?

Has the organization considered its process(es) for external communication on its significant environmental aspects and recorded its decision?

7.5 Documented Information

0 / 8 (0%)

7.5.1 General

0 / 1 (0%)

Has the organization established and maintained documented information required by ISO 14001:2026 and determined by the organization as necessary for the effectiveness of its EMS?

7.5.2 Creating and Updating Documented Information

0 / 2 (0%)

Has the organization established and maintained procedures and responsibilities concerning the creation and modification of the various types of documents?

Are the organization's documents legible, dated (with dates of revision), readily identifiable, maintained in an orderly manner and retained for a specified period?

7.5.3 Control of Documented Information

0 / 5 (0%)

Does the organization's document control procedure ensure that documents can be located when needed?

Does the organization's document control procedure ensure that documents are periodically reviewed, revised as necessary and approved for adequacy by authorized personnel?

Does the organization's document control procedure ensure that current versions of relevant documents are available at all locations where operations essential to the effective functioning of the EMS are performed?

Does the organization's document control procedure ensure that obsolete documents are promptly removed from all points of issue and use?

Does the organization's document control procedure ensure that any obsolete documents retained for legal or knowledge purposes are suitably identified?

Clause 8 - Operation

0 / 12 (0%)

8.1 Operational Planning and Control

0 / 9 (0%)

The terminology "outsourced processes" has been replaced by "externally provided processes, products or services." Controls must be calibrated to the environmental performance and impact level of each external provider.

Has the organization identified those operations and activities that are associated with its significant environmental aspects, in line with its policy, objectives and targets?

Has the organization planned these activities and their respective maintenance processes by establishing and maintaining documented procedures to cover situations where their absence could lead to deviations from the environmental policy and the objectives and targets?

Are operation criteria stipulated in the relevant operational control procedures?

Has the organization extended operational controls to cover both internally and externally provided processes, products and services?

NOTE: If someone outside the organization is doing work that affects EMS outcomes, the organization is responsible for ensuring it meets the same standard.

Are controls over externally provided processes, products and services calibrated to the environmental performance and impact level of each provider, rather than applied as a single uniform standard?

NOTE: A high-impact supplier requires more rigorous controls than a low-impact one.

Are environmental performance expectations clearly defined and communicated to all suppliers and contractors whose work affects the organization's EMS outcomes?

Has the organization established and maintained procedures related to the significant environmental aspects of goods and services used by the organization, and communicated relevant procedures and requirements to suppliers and contractors?

Does the organization verify that externally provided processes meet the required environmental standard, consistent with the organization's own EMS requirements?

Are suppliers' and contractors' environmental performance monitored and reviewed on a regular basis?

Has the organization established and maintained procedures to identify the potential for and respond to accidents and emergency situations, and for preventing and mitigating the environmental impacts that may be associated with them?

Has the organization reviewed and revised, where necessary, its emergency preparedness and response procedures, particularly after the occurrence of accidents or emergency situations?

Has the organization periodically tested such procedures where practicable?

Clause 9 - Performance Evaluation 0 / 21 (0%)

9.1 Monitoring, Measurement, Analysis, and Evaluation 0 / 5 (0%)

9.1.1 General 0 / 3 (0%)

Has the organization established and maintained documented procedures to monitor and measure, on a regular basis, the key characteristics of its operations and activities that can have a significant impact on the environment?

Does the monitoring and measurement include recording of information to track performance, relevant operational controls and conformance with the organization's environmental objectives and targets?

Does the organization calibrate and maintain monitoring and measurement equipment and maintain associated records?

9.1.2 Evaluation of Compliance 0 / 2 (0%)

Has the organization established and maintained a documented procedure for periodically evaluating its compliance with applicable legal requirements and other compliance obligations?

Does the organization maintain knowledge and understanding of its compliance status?

9.2 Internal Audit 0 / 7 (0%)

9.2.1 General 0 / 2 (0%)

Each internal audit must have defined objectives in addition to scope and criteria. Audit programs should address inputs, process and results, consequently shifting audits from a coverage exercise toward a performance exercise.

Has the organization established and maintained program(s) and procedure(s) for periodic EMS audits to determine whether the EMS conforms to planned arrangements and has been properly implemented and maintained, and to provide information on audit results to management?

Does each internal audit have defined objectives, in addition to scope and criteria, clarifying what the audit is specifically trying to determine?

NOTE: Defined audit objectives shift the focus from simply covering ground to evaluating whether the EMS is actually performing as intended.

9.2.2 Internal Audit Program

0 / 5 (0%)

Does the audit program address inputs, including previous audit results, environmental performance data and changes to the EMS, to ensure audits are risk-based and targeted?

Does the audit process assess whether EMS procedures are being followed correctly and are producing the intended environmental outcomes?

Are audit results, such as findings, nonconformities and opportunities for improvement, documented and communicated to relevant management?

NOTE: All three sub-clauses of the audit program (inputs, process and results) must be addressed, not just scope and frequency.

Is the organization's audit program and schedule based on the environmental importance of the activity concerned and the results of previous audits?

Do the audit procedures cover the audit scope, frequency and methodologies, as well as the responsibilities and requirements for conducting audits and reporting results?

9.3 Management Review

0 / 9 (0%)

9.3.1 General

0 / 2 (0%)

Does the organization's top management review the EMS at planned intervals to ensure its continuing suitability, adequacy and effectiveness?

Are the management reviews documented?

9.3.2 Management Review Inputs

0 / 5 (0%)

Does the management review include the status of actions from previous management reviews?

Does the management review include changes in external and internal issues relevant to the EMS?

Does the management review include EMS performance data, including nonconformities, monitoring results, audit results and progress, against environmental objectives?

Does the management review include an assessment of the adequacy of resources?

Does the management review include relevant communications from interested parties, including complaints?

9.3.3 Management Review Results

0 / 2 (0%)

Does each management review result in decisions and actions related to: continual improvement opportunities; any need for changes to the EMS; resource needs; and any implications for the strategic direction of the organization?

Does each management review address the possible need for changes to policy, objectives and other elements of the EMS, in light of: EMS audit results; changing circumstances; and the commitment to continual improvement?

Clause 10 - Improvement

0 / 10 (0%)

10.1 Continual Improvement

0 / 2 (0%)

Is the organization continually improving the suitability, adequacy and effectiveness of its EMS to enhance environmental performance?

Does each audit or review finding lead directly into a documented improvement action, with no administrative separation between the nonconformity and the corrective action process?

NOTE: The improvement obligation and corrective action process are directly joined. An audit finding must connect to a corrective action record without a gap between them.

10.2 Nonconformity and Corrective Action

0 / 8 (0%)

Has the organization established and maintained procedures for defining responsibility and authority for handling and investigating nonconformances, taking action to mitigate any impacts caused, and initiating and completing corrective action?

When corrective actions are taken to eliminate the causes of nonconformances, are they appropriate to the magnitude of the problem and commensurate with the environmental impact encountered?

Has the organization implemented and recorded any changes in the documented procedures resulting from corrective action?

Has the organization established and maintained procedures for the identification, maintenance and disposition of environmental records, including: training records; the results of EMS audits; and the results of management reviews?

Are the organization's records legible, identifiable and traceable to the activity, product or service involved?

Are environmental records stored and maintained in such a way that they are readily retrievable and protected against damage, deterioration or loss?

Are the retention times for records established and recorded?

Are records maintained to demonstrate conformance to the requirements of this International Standard?

Completion Page

Review all flagged responses before signing. This completed audit record produces documentation consistent with ISO 14001:2026 audit evidence expectations. It does not constitute certification by an accredited body.

I, the undersigned, confirm this audit took place and was completed accurately.

Auditor name and signature

Irma Phirun

Irma Phirun
26.06.2026 14:23 PST

Supervisor name and signature

Jam Tryggvi

Jam Tryggvi
26.06.2026 14:24 PST

Date

26.06.2026
