

### Appendix C

#### **Summary of the changes to the Guidance on the conduct of reviews by the Compliance Officer for IPSA**

The Investigation Procedures are followed by a discrete piece of guidance headed **Guidance on the conduct of reviews by the Compliance Officer for IPSA**. Paragraph **Error! Reference source not found.** above sets out the proposed amendments to the Investigation Procedures regarding publication of the Statement of Review. The Guidance on the conduct of reviews by the Compliance Officer for IPSA has been amended to ensure consistency with the provisions of the Investigation Procedures that concern publication of the Compliance Officer's Statement of Review or a summary thereof.

Additionally, the time limit for providing information requested by the Compliance Officer has been amended so that information is to be supplied by such date as the Compliance Officer reasonably considers to be appropriate. The previous wording provided that information would normally need to be provided within 15 days of receipt of the request. The Act does not include mandatory time limits for the provision of information to the Compliance Officer in this context and the Compliance Officer would benefit from greater flexibility to determine time limits appropriate in different circumstances.