

PATIENT

DISEASE Breast carcinoma (NOS)

NAME

DATE OF BIRTH

SEX

MEDICAL RECORD #

PHYSICIAN

ORDERING PHYSICIAN

MEDICAL FACILITY

ADDITIONAL RECIPIENT

MEDICAL FACILITY ID

PATHOLOGIST

SPECIMEN

SPECIMEN SITE

SPECIMEN ID

SPECIMEN TYPE

DATE OF COLLECTION

SPECIMEN RECEIVED

Companion Diagnostic (CDx) Associated Findings

GENOMIC FINDINGS DETECTED
PIK3CA E542K

FDA-APPROVED THERAPEUTIC OPTIONS

Piqray® (Alpelisib)

For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be performed.

OTHER ALTERATIONS & BIOMARKERS IDENTIFIED

Results reported in this section are not prescriptive or conclusive for labeled use of any specific therapeutic product. See *professional services* section for additional information.

Microsatellite status MS-Stable[§]
Tumor Mutational Burden 5 Muts/Mb[§]
CDK4 amplification[§]
ESR1 Y537S

FGFR2 amplification[§]
PTEN T319fs*1

TP53 splice site 559+1G>A

[§] Refer to appendix for limitation statements related to detection of any copy number alterations, gene rearrangements, BRCA1/2 alterations, LOH, MSI, or TMB results in this section.

Please refer to appendix for Explanation of Clinical Significance Classification and for variants of unknown significance (VUS).

FoundationOne®CDx (FICDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, FICDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from FFPE ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (FICDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the RUBRACA product label.

The FICDx assay will be performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarceva® (Erlotinib)
	EGFR exon 20 T790M alterations	Tagrisso® (Osimertinib)
	ALK rearrangements	Alecensa® (Alectinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
Melanoma	BRAF V600E	Tafinlar® (Dabrafenib) or Zelboraf® (Vemurafenib)
	BRAF V600E and V600K	Mekinist® (Trametinib) or Cotellic® (Cobimetinib) in combination with Zelboraf® (Vemurafenib)
Breast cancer	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	PIK3CA C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbix® (Cetuximab)
	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)

ABOUT THE TEST FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

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Note: The intended use (IU) statement and claims made on this sample report may not be up to date. For the latest version of the FoundationOne CDx claims and IU, please see the current label: www.foundationmedicine.com/f1cdx

ABOUT THE TEST FoundationOne®CDx is the first and only FDA-Approved comprehensive companion diagnostic for all solid tumors.

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Biomarker Findings**Microsatellite status** - MS-Stable**Tumor Mutational Burden** - 5 Muts/Mb**Genomic Findings**

For a complete list of the genes assayed, please refer to the Appendix.

CDK4 amplification**ESR1** Y537S**PIK3CA** E542K**PTEN** T319fs*1**FGFR2** amplification**TP53** splice site 559+1G>A

3 Disease relevant genes with no reportable alterations: *BRCA1*, *BRCA2*, *ERBB2*

8 Therapies with Clinical Benefit

35 Clinical Trials

3 Therapies with Lack of Response

BIOMARKER FINDINGS**Microsatellite status** - MS-Stable**Tumor Mutational Burden** - 5 Muts/Mb**ACTIONABILITY**

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

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GENOMIC FINDINGS	THERAPIES WITH CLINICAL BENEFIT (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL BENEFIT (IN OTHER TUMOR TYPE)
CDK4 - amplification	Palbociclib <input type="text" value="1"/>	none
10 Trials see p. 17	Ribociclib <input type="text" value="1"/>	
ESR1 - Y537S	Fulvestrant <input type="text" value="1"/>	none
	▲ Anastrozole ¹	
	▲ Exemestane ¹	
	▲ Letrozole ¹	
10 Trials see p. 19	Alpelisib <input type="text" value="1"/>	Temsirolimus
PIK3CA - E542K	Everolimus <input type="text" value="2A"/>	
10 Trials see p. 23	Everolimus <input type="text" value="2A"/>	Temsirolimus
PTEN - T319fs*1	none	Erdafitinib
10 Trials see p. 25		Pazopanib
FGFR2 - amplification		
9 Trials see p. 21		

▲ 1. Patient may be resistant to indicated therapy

NCCN category

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

TP53 - splice site 559+1G>A p. 8

NOTE Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type.

Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

ORDERED TEST #

BIOMARKER FINDINGS

BIOMARKER

Microsatellite status

RESULT

MS-Stable

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors¹⁻³, including approved therapies nivolumab and pembrolizumab⁴. In a retrospective analysis of 361 patients with solid tumors treated

with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, $p=0.001$)⁵.

FREQUENCY & PROGNOSIS

No MSI was observed in two large scale analyses of breast cancer samples⁶⁻⁷. However, in Lynch syndrome-related breast cancer, MSI has been reported in 51-85% of cases⁸⁻¹³. A prospective study observed increased MSI following chemotherapy treatment, and MSI is associated with incidence of secondary tumors¹⁴.

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of

genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor¹⁵. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2¹⁵⁻¹⁷. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers¹⁸⁻²⁰. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins^{15,17,19-20}.

BIOMARKER

Tumor Mutational Burden

RESULT

5 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1²¹⁻²³ and anti-PD-1 therapies²¹⁻²⁴. Higher TMB has corresponded with increased ORR and OS from treatment with immune checkpoint inhibitors in pan-tumor studies²¹⁻²⁴. Analyses across several solid tumor types have identified that patients with higher TMBs ($\geq 16-20$ Muts/Mb) achieved greater clinical benefit using PD-1/PD-L1 monotherapy, compared with patients treated with chemotherapy²⁵ or those with lower TMBs²². Additionally, higher TMB is significantly associated with improved OS with immune checkpoint inhibitor treatment for patients with advanced cancer across 9 solid tumor types²¹.

However, the KEYNOTE 158 trial found significant improvement in ORR in a large cohort of patients with a TMB of ≥ 10 Muts/Mb compared with those with TMBs < 10 across multiple solid tumor types, with similar findings observed in the KEYNOTE 028 and 012 trials²⁴. Together, these studies suggest that patients with TMB ≥ 10 Muts/Mb may derive clinical benefit from PD-1/PD-L1 inhibitors.

FREQUENCY & PROGNOSIS

Breast carcinoma harbors a median TMB of 3.8 muts/Mb, and 3.1% of cases have high TMB (> 20 muts/Mb)²⁶. The Breast Invasive Carcinoma TCGA analysis reported an average (non-silent) mutation load of 0.84 muts/Mb for luminal A tumors, 1.38 muts/Mb for luminal B tumors, 2.05 muts/Mb for HER2-enriched tumors, and 1.68 muts/Mb for basal-like tumors²⁷. In breast cancer, TMB is significantly higher in recurrent versus primary tumors and CDH1-mutated versus CDH1-wildtype tumors²⁸. Higher frequencies of TMB high (> 20 Mut/mb) have also been reported in metastatic invasive lobular carcinomas (8.9%) compared to metastatic invasive ductal carcinomas (1.6%)²⁸. In estrogen receptor-positive breast cancer, increased mutation load measured in tissue ($> \text{mean of } 1.25 \text{ muts/Mb}$) associated with

shorter OS (HR of 2.02) in an analysis of the TCGA data²⁹. In another study, the number of mutated genes associated with higher tumor grade³⁰. Although the number of mutated genes did not correlate with OS by multivariate analysis, cases with 22 or more mutated genes had significantly worse OS than cases with fewer than 22 mutated genes (HR of 4.6)³⁰.

FINDING SUMMARY

Tumor mutational burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma³¹⁻³² and cigarette smoke in lung cancer³³⁻³⁴, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes³⁵⁻³⁹, and microsatellite instability (MSI)^{35,38-39}. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types²²⁻²³.

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ORDERED TEST #

GENOMIC FINDINGS

GENE

CDK4

ALTERATION

amplification

POTENTIAL TREATMENT STRATEGIES

CDK4 amplification or activation may predict sensitivity to CDK4/6 inhibitors such as abemaciclib, palbociclib, and ribociclib⁴⁰⁻⁴³. Clinical benefit has been reported for patients with CDK4-amplified solid tumors in response to

treatment with palbociclib^{40,44} and ribociclib⁴⁵. On the basis of a Phase 1b study, PTEN loss of expression may be associated with resistance to combination therapy with CDK4/6 inhibitors such as ribociclib and aromatase inhibitors such as letrozole⁴⁶.

FREQUENCY & PROGNOSIS

Putative high-level amplification of CDK4 occurs in 2% of breast invasive carcinoma cases²⁷. CDK4 protein expression has been detected in 70% of breast carcinomas in one study and did not correlate with patient survival⁴⁷.

FINDING SUMMARY

CDK4 encodes the cyclin-dependent kinase 4, which regulates the cell cycle, senescence, and apoptosis⁴⁸. CDK4 and its functional homolog CDK6 are activated by D-type cyclins and promote cell cycle progression by inactivating the tumor suppressor Rb⁴⁹⁻⁵⁰. Amplification of the chromosomal region that includes CDK4 has been reported in multiple cancer types, including lung cancer, glioblastoma, and liposarcoma, and has been associated with overexpression of CDK4 protein^{40,51-57}.

GENE

ESR1

ALTERATION

Y537S

TRANSCRIPT NUMBER

NM_000125

CODING SEQUENCE EFFECT

1610A>C

POTENTIAL TREATMENT STRATEGIES

Therapies that directly target ER-alpha, such as selective ER modulators (SERMs) and the selective ER degrader (SERD) fulvestrant, as well as aromatase inhibitors (AIs) that inhibit estrogen production, are approved to treat ER-positive (ER+) and/or hormone receptor-positive (HR+) breast cancer (NCCN Guidelines v1.2019). AI treatment has also been reported to provide clinical benefit in a subset of HR+ gynecologic malignancies⁵⁸⁻⁶². Clinical data suggest that ESR1 mutations may confer sensitivity to the first-generation SERD fulvestrant in breast cancer⁶³⁻⁶⁴. A retrospective analysis of ESR1 mutations in gynecologic malignancies reported clinical benefit for patients with ESR1 mutations and fulvestrant treatment as a monotherapy or in combination, including 1 patient with peritoneal serous carcinoma and an ESR1 Y537N mutation who experienced prolonged clinical benefit (48+ months) from fulvestrant monotherapy⁶⁵. The therapeutic utility of SERMs, including toremifene⁶⁶, raloxifene⁶⁷, and tamoxifen⁶⁸, for ESR1 mutation-positive breast cancer is unclear. Although ESR1 mutations have been reported in

patients who progressed on tamoxifen^{66,69-70}, a retrospective analysis of primary breast tumors reported that patients with non-emergent ESR1 mutations experienced improved (Y537N) or similar (Y537S or D538G) median progression-free survival (PFS) relative to those lacking ESR1 mutation⁶⁸. Preclinical studies suggest that certain ESR1 mutations (Y537S and D538G) may be less sensitive to clinical concentrations of antiestrogens, and higher doses or more potent antiestrogens may be required to inhibit tumors with these mutations^{68,71-73}. Clinical data suggest that ESR1 mutations may confer sensitivity to the first-generation SERD fulvestrant⁶³⁻⁶⁴. In a study of patients with breast cancer treated with fulvestrant as monotherapy or in combination with palbociclib, ESR1 Y537S was the most commonly acquired mutation, suggesting that Y537S may decrease fulvestrant sensitivity⁷⁴. Next-generation SERDs, including AZD9496, elacestrant, GDC-0927, and LSZ102, are in clinical development. A Phase 1 study of elacestrant for the treatment of patients with ER+, HER2- breast cancer reported 1 PR, 1 CR, and a median treatment duration of 18 weeks; 9/16 had at least one ESR1 mutation, and 6/16 were previously treated with fulvestrant⁷⁵. In another Phase 1 study, elacestrant achieved a median PFS of 4.5 months and 5 PRs for heavily pretreated patients with ER+, HER2- breast cancer, 4 of whom harbored ESR1 mutations⁷⁶. A Phase 1 study of GDC-0927 for the treatment of ER+, HER2- metastatic breast cancer reported an unconfirmed ORR of 13% (3/24), 2 of which harbored an ESR1 mutation; a patient with ESR1 D538G had SD on study for over 490 days⁷⁷. Preliminary data from a Phase 1 study of LSZ102 for the treatment of HR+

breast cancer observed SD for 31% (14/45) of cases⁷⁸.

FREQUENCY & PROGNOSIS

The most frequent ESR1 mutations include D538G, Y537S, Y537N, and E380Q, with concurrent ESR1 mutations detected in up to 40% of ER+ breast cancer samples harboring an ESR1 alteration^{64,71,79-80}. In the TCGA breast invasive carcinoma datasets, ESR1 amplification was observed in 2 to 3% of cases and ESR1 mutation was observed in fewer than 1% of cases^{27,81}. Rarely identified in patients with localized disease, ESR1 mutations are more frequently detected in metastatic breast cancers (11-54%)^{70,79,82-83}, predominantly during progression on hormonal therapy^{63,69,79,82,84-87}. ESR1 mutation is associated with shorter median PFS and OS in patients with advanced breast cancer^{63,87}. The prevalence, significance, and correlation with protein expression of ESR1 amplification in breast cancer remains controversial⁸⁸⁻⁹⁶.

FINDING SUMMARY

ESR1 encodes estrogen receptor alpha (ER-alpha), one of the major estrogen receptor isoforms in humans. Along with co-activator proteins, the ER complex promotes transcription of genes involved in cell cycle progression and survival⁹⁷. Alterations that occur within the ligand binding domain of ER-alpha, as seen here, result in ligand-independent activation^{82,84-85,98-104}. Emerging clinical^{63-64,66,69,82,87,105} and preclinical^{64,71,84-85} evidence suggests that these alterations confer resistance to aromatase inhibitors including anastrozole, letrozole, and exemestane.

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ORDERED TEST #

GENOMIC FINDINGS

GENE

PIK3CA

ALTERATION

E542K

TRANSCRIPT NUMBER

NM_006218

CODING SEQUENCE EFFECT

1624G>A

POTENTIAL TREATMENT STRATEGIES

Clinical and preclinical data in various tumor types indicate that PIK3CA activating alterations may predict sensitivity to therapies targeting PI3K or AKT¹⁰⁶⁻¹⁰⁷. On the basis of clinical benefit for patients with PIK3CA mutations and preclinical evidence, PIK3CA-mutated tumors may also respond to mTOR inhibitors, including everolimus and temsirolimus¹⁰⁸⁻¹¹³. In a Phase 1 trial of the dual PI3K/mTOR kinase inhibitor apitolisib, 79% (11/14) of patients with PIK3CA-mutated advanced solid tumors experienced disease control at the recommended Phase 2 dose (3/14 PRs, 8/14 SDs)¹¹⁴. The addition of everolimus to exemestane for the treatment of hormone-receptor-positive (HR+)/HER2-negative advanced breast cancer has shown clinical benefit regardless of PIK3CA status¹¹⁵. In the BELLE-2 trial for patients with endocrine-resistant HR+ breast cancer, the combination of the pan-PI3K inhibitor buparlisib with fulvestrant resulted in increased PFS (7.0 vs. 3.2 months) and ORR (18% vs. 4%) compared to placebo with fulvestrant in patients with PIK3CA mutation; no significant improvement in PFS or ORR was observed in patients without PIK3CA mutation¹¹⁶. The pan-PI3K inhibitor buparlisib has shown limited activity as monotherapy against PIK3CA-mutated tumors¹¹⁷⁻¹²⁰. PI3K-alpha-

selective inhibitors such as alpelisib or PI3K-beta-sparing inhibitors such as taselisib may have bigger therapeutic windows than pan-PI3K inhibitors¹⁰⁷. In PIK3CA-mutated advanced solid tumors, alpelisib and taselisib have achieved low ORRs (0% [0/55] to 6% [7/111]) but a high DCR (55% [36/55] to 58% [64/111])¹²¹. In the Phase 3 SOLAR-1 study, the addition of alpelisib to fulvestrant improved PFS (11.0 vs. 5.7 months, HR=0.65) and ORR (26.6 vs. 12.8%) in PIK3CA-mutated HR+/HER2- breast cancer compared with placebo with fulvestrant¹⁰⁶. Combination of alpelisib with letrozole in advanced HR+/HER2- breast cancer achieved an ORR of 25% (4/16) and a DCR of 62% (10/16) in patients with PIK3CA-mutated tumors and an ORR of 10% (1/10) and a DCR of 70% (7/10) in patients with PIK3CA-wild-type tumors¹²². In the Phase 3 SANDPIPER study, the addition of taselisib to fulvestrant improved PFS (7.4 vs. 5.4 months, HR=0.70) and ORR (27.3 vs. 11.9%) in PIK3CA-mutated HR+/HER2- breast cancer compared with placebo with fulvestrant¹²³; additionally, patients with multiple PIK3CA mutations achieved a higher ORR following treatment with taselisib (30.2%, n=43) as compared with those treated with placebo (8.7%, n=23) or with patients with single PIK3CA-mutated tumors treated with either taselisib (18.1%, n=193) or placebo (10.0%, n=80)¹²⁴. AKT inhibitors ipatasertib and capivasertib have also been tested in breast cancer. Two Phase 2 studies have reported improved PFS from the addition of either ipatasertib (9.0 vs. 4.9 months, HR = 0.44) or capivasertib (9.3 vs. 3.7 months, HR = 0.30) to paclitaxel in metastatic triple-negative breast cancer harboring PIK3CA/AKT1/PTEN alterations, compared with paclitaxel and placebo¹²⁵. Responses to capivasertib were also reported in 20% (3/15) of patients with PIK3CA-mutated breast cancer in an earlier study¹²⁶.

However, a Phase 1 trial reported no PFS benefit for patients with PIK3CA-mutated, ER+/HER2-metastatic breast cancer from the addition of capivasertib to paclitaxel compared with paclitaxel plus placebo (10.9 vs. 10.8 months)¹²⁷. Activating mutations in PIK3CA may confer resistance to HER2-targeted therapies; combined inhibition of HER2 and the PI3K pathway may be required in HER2-positive tumors with PIK3CA mutation¹²⁸⁻¹³². In the context of concurrent PIK3CA mutation, PTEN loss or mutation may predict resistance to PI3K-alpha-specific inhibitors^{107,133-134}.

FREQUENCY & PROGNOSIS

Mutations in PIK3CA have been reported in 25-40% of breast cancer cases^{27,135-138}. Although double PIK3CA mutations are frequently observed in hormone-receptor-positive, HER2-negative breast cancers, as compared with other receptor subtypes (15.4% vs. 5.4%, p=0.004), this did not impact invasive disease-free survival or OS for patients when compared with single PIK3CA mutations by univariate and multivariate analysis in 1 retrospective study¹²⁴. Mutations in coding exon 20 (H1047R) of PIK3CA have been associated with a better prognosis in breast carcinoma than mutations occurring in coding exon 9 (E542K)¹³⁹.

FINDING SUMMARY

PIK3CA encodes p110-alpha, which is the catalytic subunit of phosphatidylinositol 3-kinase (PI3K). The PI3K pathway is involved in cell signaling that regulates a number of critical cellular functions, including cell growth, proliferation, differentiation, motility, and survival¹⁴⁰⁻¹⁴¹. PIK3CA alterations that have been characterized as activating, such as observed here, are predicted to be oncogenic¹⁴²⁻¹⁶⁰.

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ORDERED TEST #

GENOMIC FINDINGS

GENE

PTEN

ALTERATION

T319fs*1

TRANSCRIPT NUMBER

NM_000314

CODING SEQUENCE EFFECT

955_958delACTT

POTENTIAL TREATMENT STRATEGIES

PTEN loss or mutation leads to activation of the PI3K-AKT-mTOR pathway and may predict sensitivity to inhibitors of this pathway¹⁶¹⁻¹⁶⁵ such as the mTOR inhibitors temsirolimus and everolimus or the PI3K inhibitor copanlisib. Preclinical studies suggest that PTEN-deficient cancers, in the absence of other oncogenic mutations, depend primarily on the beta isoform of PI3K (PI3K-beta)¹⁶⁶⁻¹⁶⁸, and PI3K-beta-selective inhibitors are in clinical trials for PTEN-deficient tumors. However, the NCI-MATCH Phase 2 study observed limited activity of the PI3K-beta-selective inhibitor GSK2636771 as monotherapy in PTEN-deficient cancers, with a median PFS of 1.8 months. The best outcomes were 1 PR (1/22, prostate cancer), SD (7/22) for patients with PTEN deletion/mutation, and SD (9/34) for patients with PTEN protein loss¹⁶⁹. Clinical data in breast¹⁷⁰⁻¹⁷¹ and prostate cancer¹⁷²⁻¹⁷³ suggest that PTEN alterations may predict sensitivity to pan-AKT inhibitors such as ipatasertib or capivasertib. Phase 2 studies have reported improved PFS from the addition of either ipatasertib (9.0 vs. 4.9 months, HR=0.44) or capivasertib (9.3 vs. 3.7 months, HR=0.30) to paclitaxel, compared with paclitaxel and placebo, for patients with metastatic triple-negative breast cancer harboring PIK3CA/AKT1/PTEN alterations¹²⁵. Emerging clinical and preclinical data suggest that PTEN alterations may predict sensitivity to PARP inhibitors. Four patients with tumors harboring PTEN mutation or

loss but no detected BRCA1/2 alterations experienced clinical benefit from PARP inhibition by olaparib or niraparib¹⁷⁴⁻¹⁷⁵. However, although multiple preclinical studies have demonstrated sensitivity of PTEN-mutant cell lines to various PARP inhibitors^{174,176-179}, other studies have observed a lack of association between PTEN mutation and PARP inhibitor sensitivity¹⁷⁹⁻¹⁸⁰; PTEN association with sensitivity to PARP inhibitors may depend on the cell type or context. Emerging clinical and preclinical data suggest that PTEN alterations may predict a lack of response to anti-PD-1 therapy. In a retrospective analysis of 66 patients with glioblastoma (GBM), tumors from nivolumab or pembrolizumab non-responders were significantly enriched for PTEN mutations¹⁸¹. In a patient with uterine leiomyosarcoma treated with pembrolizumab monotherapy, a treatment-resistant tumor arose that harbored PTEN loss¹⁸². A patient with NSCLC whose tumor harbored PTEN alteration exhibited a lack of response to nivolumab and pembrolizumab¹⁸³. In an analysis of 39 patients with metastatic melanoma treated with pembrolizumab or nivolumab, patients with PTEN-expressing tumors achieved significantly greater reduction of tumor size than those with reduction or loss of PTEN expression¹⁸⁴. In the context of concurrent PIK3CA mutation, PTEN loss or mutation may predict resistance to PI3K-alpha-specific inhibitors^{107,133-134}. On the basis of a Phase 1b study, PTEN loss of expression may be associated with resistance to combination therapy with CDK4/6 inhibitors such as ribociclib and aromatase inhibitors such as letrozole⁴⁶.

FREQUENCY & PROGNOSIS

In the TCGA dataset, PTEN mutation has been reported in 4% of breast invasive carcinomas, while putative homozygous deletion of PTEN has been reported in 2% of cases²⁷. PTEN mutation has also been observed in 5.3% (1/19) of metaplastic breast cancers¹⁸⁵ and 2% of invasive lobular carcinoma tumors analyzed¹⁸⁶. PTEN mutations are associated more frequently with

triple-negative breast cancer than with HER2- or hormone-positive breast cancer¹⁸⁷⁻¹⁸⁸. Loss or reduction of PTEN expression has been observed in 28% of invasive ductal breast carcinomas and has been correlated with metastasis and poor patient prognosis, including decreased 2-year disease-free survival¹⁸⁹⁻¹⁹¹.

FINDING SUMMARY

PTEN encodes an inositol phosphatase that functions as a tumor suppressor by negatively regulating the PI3K-AKT-mTOR pathway; loss of PTEN can lead to uncontrolled cell growth and suppression of apoptosis¹⁶³. PTEN alterations that disrupt the N-terminal PIP2 binding motif¹⁹², the phosphatase domain (amino acids 14-185)¹⁹³⁻²²², the C2 domain (amino acids 190-350)^{193,195,205,223-229}, the C-terminal region²³⁰⁻²³¹, and/or PTEN localization²³², such as observed here, are predicted to cause a loss of function. One or more of the PTEN variants observed here has been described in the ClinVar database as a pathogenic germline mutation (by an expert panel or multiple submitters with no conflicts) associated with hamartoma tumor syndrome (ClinVar, Nov 2019)²³³. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. PTEN mutations underlie several inherited disorders, collectively termed PTEN hamartoma tumor syndrome (PHTS), which include Cowden syndrome (CS) and its variant Lhermitte-Duclos disease (LD), Bannayan-Riley-Ruvalcaba syndrome (BRRS), PTEN-related Proteus syndrome (PS), and Proteus-like syndrome²³⁴⁻²³⁵. The mutation rate for PTEN in these disorders ranges from 20 to 85% of patients^{234,236}. The estimated incidence of Cowden syndrome is 1/200,000, which may be an underestimate due to the high variability of this disorder²³⁴. Given the association between PTEN and these inherited syndromes, in the appropriate clinical context, germline testing for mutations affecting PTEN is recommended.

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ORDERED TEST #

GENOMIC FINDINGS

GENE
FGFR2

ALTERATION
amplification

POTENTIAL TREATMENT STRATEGIES

FGFR2 activating mutations, amplification, or fusions may confer sensitivity to FGFR-targeting kinase inhibitors such as erdafitinib²³⁷, pazopanib²³⁸⁻²³⁹, ponatinib²⁴⁰, AZD4547²⁴¹⁻²⁴³, derazantinib²⁴²⁻²⁴³, Debio-1347²⁴⁴, infigratinib²⁴⁵, TAS-120²⁴⁶, pemigatinib²⁴⁷, and E7090²⁴⁸. In the context of FGFR2 amplification, clinical benefit has been reported for patients with breast cancer treated with erdafitinib²⁴⁹ and infigratinib²⁴⁵. In a Phase 2 study of the FGFR inhibitor AZD4547, responses were reported in 33% (3/9) of patients

with FGFR2-amplified gastroesophageal cancer; in this study, higher-level amplification correlated with higher likelihood of response to FGFR inhibitors²⁴¹. However, a randomized Phase 2 study of AZD4547 compared with paclitaxel for the treatment of patients with advanced stomach adenocarcinoma harboring FGFR2 amplification or polysomy reported no significant increase in median PFS, median OS, or ORR²⁴².

FREQUENCY & PROGNOSIS

FGFR2 amplification has been reported in breast cancer at frequencies ranging from 1-12%²⁵⁰⁻²⁵³. In the Breast Invasive Carcinoma TCGA dataset, FGFR2 amplification and mutation have been reported in 1.8% and 0.6% of cases, respectively²⁷. FGFR2 protein expression has been reported in 13% of triple-negative breast cancer cases²⁵³ and in ~60% of invasive ductal carcinomas²⁵⁴. In a study of 125 patients with invasive ductal carcinoma,

high-level FGFR2 protein expression was associated with decreased overall and disease-free survival²⁵⁴ and a meta-analysis of over 11,000 patients with breast cancer showed a significant association between FGFR2/3 expression and reduced overall survival²⁵⁵. In contrast, a study of triple-negative breast cancer showed no correlation between FGFR2 amplification or overexpression with survival²⁵³.

FINDING SUMMARY

FGFR2 encodes a tyrosine kinase cell surface receptor, which plays an important role in cell differentiation, growth, and angiogenesis²⁵⁶⁻²⁵⁷. FGFR2 amplification has been reported in a variety of cancer types²⁵⁸ and has been shown to correlate with increased mRNA and protein expression^{241,259}. Higher level, clonal FGFR2 amplification has been reported to correlate with higher response rates to FGFR inhibitors^{241,260}.

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ORDERED TEST #

GENOMIC FINDINGS

GENE

TP53

ALTERATION

splice site 559+1G>A

TRANSCRIPT NUMBER

NM_000546

CODING SEQUENCE EFFECT

559+1G>A

POTENTIAL TREATMENT STRATEGIES

There are no approved therapies to address TP53 mutation or loss. However, tumors with TP53 loss of function alterations may be sensitive to the WEE1 inhibitor adavosertib²⁶¹⁻²⁶⁴, or p53 gene therapy and immunotherapeutics such as SGT-53²⁶⁵⁻²⁶⁹ and ALT-801²⁷⁰. In a Phase 1 study, adavosertib in combination with gemcitabine, cisplatin, or carboplatin elicited PRs in 9.7% (17/176) and SDs in 53.4% (94/176) of patients with solid tumors; the response rate was 21.1% (4/19) in patients with TP53 mutations versus 12.1% (4/33) in patients who were TP53 wild-type²⁷¹. A Phase 2 trial of adavosertib in combination with chemotherapy (gemcitabine, carboplatin, paclitaxel, or doxorubicin) reported a 31.9% (30/94, 3 CR) ORR and a 73.4% (69/94) DCR in patients with platinum-refractory TP53-mutated ovarian, Fallopian tube, or peritoneal cancer²⁷². A smaller Phase 2 trial of adavosertib in combination with carboplatin achieved a 42.9% (9/21, 1 CR) ORR and a 76.2% (16/21) DCR in patients with platinum-refractory TP53-mutated ovarian cancer²⁷³. The combination of adavosertib with paclitaxel and carboplatin in patients with TP53-mutated ovarian cancer also significantly

increased PFS compared with paclitaxel and carboplatin alone²⁷⁴. In the Phase 2 VIKTORY trial, patients with TP53-mutated metastatic and/or recurrent gastric cancer experienced a 24.0% (6/25) ORR with adavosertib combined with paclitaxel²⁷⁵. A Phase 1 trial of neoadjuvant adavosertib in combination with cisplatin and docetaxel for head and neck squamous cell carcinoma (HNSCC) elicited a 71.4% (5/7) response rate for patients with TP53 alterations²⁷⁶. In a Phase 1b clinical trial of SGT-53 in combination with docetaxel in patients with solid tumors, 75.0% (9/12) of evaluable patients experienced clinical benefit, including 2 confirmed and 1 unconfirmed PRs and 2 instances of SD with significant tumor shrinkage²⁶⁹. Additionally, the combination of a CHK1 inhibitor and irinotecan reportedly reduced tumor growth and prolonged survival in a TP53-mutated, but not TP53-wild-type, breast cancer xenotransplant mouse model²⁷⁷. ATR inhibitor treatment of chronic lymphocytic leukemia (CLL) cells with biallelic inactivation of TP53 suppressed cell viability, promoted DNA damage, and attenuated xenograft growth in preclinical studies²⁷⁸⁻²⁷⁹; however, ATR inhibitors as monotherapy had little effect on these parameters in solid tumor models in other preclinical studies²⁸⁰⁻²⁸¹. Therefore, it is unclear whether TP53 inactivation predicts sensitivity to ATR inhibition.

FREQUENCY & PROGNOSIS

TP53 is one of the most commonly mutated genes in breast cancer; mutations in this gene have been identified in 27-37% of breast carcinoma samples^{27,282-286}. TP53 mutations that are located within the region encoding the DNA binding domain are associated with poor prognosis in

patients with breast cancer^{284,287-288}. TP53 mutation is also implicated in breast cancer susceptibility, as TP53 mutation carriers have an 18-60 fold increased risk for early onset breast cancer²⁸⁹⁻²⁹¹.

FINDING SUMMARY

Functional loss of the tumor suppressor p53, which is encoded by the TP53 gene, is common in aggressive advanced cancers²⁹². Any alteration that results in the disruption or partial or complete loss of the region encoding the TP53 DNA-binding domain (DBD, aa 100-292) or the tetramerization domain (aa 325-356), such as observed here, is thought to dysregulate the transactivation of p53-dependent genes and is predicted to promote tumorigenesis²⁹³⁻²⁹⁵. One or more of the TP53 variants observed here has been described in the ClinVar database as a pathogenic germline mutation (by an expert panel or multiple submitters with no conflicts) associated with Li-Fraumeni syndrome (ClinVar, Nov 2019)²³³. Follow-up germline testing would be needed to distinguish whether the finding in this patient is somatic or germline. Germline mutations in TP53 are associated with the very rare autosomal dominant disorder Li-Fraumeni syndrome and the early onset of many cancers²⁹⁶⁻²⁹⁸, including sarcomas²⁹⁹⁻³⁰⁰. Estimates for the prevalence of germline TP53 mutations in the general population range from 1:5,000³⁰¹ to 1:20,000³⁰⁰. For pathogenic TP53 mutations identified during tumor sequencing, the rate of germline mutations was 1% in the overall population and 6% in tumors arising before age 30³⁰². In the appropriate clinical context, germline testing of TP53 is recommended.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Alpelisib

Assay findings association

PIK3CA
E542K

AREAS OF THERAPEUTIC USE

Alpelisib inhibits phosphatidylinositol-3-kinase (PI3K) with selective activity against the alpha isoform (PI3K-alpha). Alpelisib is FDA approved in combination with fulvestrant for postmenopausal women, and men, with hormone receptor (HR)-positive, human epidermal growth factor receptor 2 (HER2)-negative, PIK3CA-mutated advanced breast cancer following progression on or after endocrine therapy.

GENE ASSOCIATION

On the basis of prospective clinical data, PIK3CA mutations including C420R, E542K, E545A, E545G, E545K, E545D, Q546E, Q546R, H1047L, H1047Y, and H1047R are associated with sensitivity to alpelisib. In ER+/HER2- breast cancer, PFS benefit from the addition of alpelisib to fulvestrant was specifically observed for patients with PIK3CA mutations (11.0 vs. 5.7 months, HR=0.65), including patients with PIK3CA exon 9 or exon 20 mutations¹⁰⁶. Objective responses have also been achieved by patients with several other solid tumor types harboring PIK3CA mutation^{121,303}. Preclinical and limited clinical evidence suggest that PTEN inactivation may predict resistance to PI3K-alpha-selective inhibitors^{107,133-134}. Acquired PTEN loss or mutation has been identified in patients with breast cancer upon progression on alpelisib¹³³⁻¹³⁴. Although some patients with PTEN inactivation, with or without concurrent PIK3CA mutation, have not experienced clinical benefit from alpelisib-containing regimens, others have achieved objective response or tumor shrinkage^{121-122,304-306}. Preclinical studies suggest combination therapies may be more effective³⁰⁷⁻³⁰⁸.

SUPPORTING DATA

The Phase 3 SOLAR-1 study in ER+/HER2- endocrine therapy-resistant advanced breast cancer reported that the

addition of alpelisib to fulvestrant improved median PFS (11.0 vs. 5.7 months, HR=0.65), ORR (26.6% vs. 12.8%), and clinical benefit rate (61.5% vs. 45.3%) for patients with PIK3CA mutation; benefit was observed for patients with PIK3CA exon 9 and exon 20 mutations¹⁰⁶. For PIK3CA-wild-type patients, addition of alpelisib to fulvestrant did not significantly improve median PFS (7.4 vs. 5.6 months, HR=0.85)¹⁰⁶. Similarly, a Phase 1b study of alpelisib and fulvestrant for patients with ER+/HER2- endocrine therapy-resistant breast cancer reported improved median PFS (9.1 vs. 4.7 months) and ORR (32%, 14/49 vs. 0%, 0/32) in PIK3CA-mutated tumors compared with PIK3CA-wild-type tumors³⁰⁴. Case studies have reported durable responses (>6 months) from alpelisib alone or combined with endocrine therapy in patients with advanced or metastatic breast cancer previously treated with endocrine therapy^{133-134,159}. In combination with letrozole and the CDK4/6 inhibitor ribociclib, alpelisib resulted in objective responses for 7% (2/27) and unconfirmed PRs for 15% (4/27) of patients with HR+/HER2- advanced breast cancer³⁰⁹. As neoadjuvant therapy for postmenopausal women with HR+/HER2- early breast cancer, alpelisib added to letrozole did not increase ORR for patients with (45% vs. 43%) or without (61% vs. 63%) PIK3CA mutation in a placebo-controlled Phase 2 trial³¹⁰. A Phase 1/2 study of alpelisib and nab-paclitaxel in patients with HER2- metastatic breast cancer previously treated with chemotherapy reported a 57% ORR (24/42, 2 CR) and a median PFS of 9 months, with improved median PFS in patients with PIK3CA pathway activation (13 vs. 7 months, HR=0.39)³⁰⁶. For patients with HER2+ advanced breast cancer who progressed on trastuzumab and/or a taxane, alpelisib combined with ado-trastuzumab emtansine yielded a 43% ORR (6/14, 1 CR), including responses for patients with high AKT expression or PTEN loss³⁰⁵.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Everolimus

Assay findings association

PIK3CA
E542K

PTEN
T319fs*1

AREAS OF THERAPEUTIC USE

Everolimus is an orally available mTOR inhibitor that is FDA approved to treat renal cell carcinoma (RCC) following antiangiogenic therapy; pancreatic neuroendocrine tumors and well-differentiated non-functional neuroendocrine tumors of the lung or gastrointestinal tract; and, in association with tuberous sclerosis complex (TSC), renal angiomyolipoma and subependymal giant cell astrocytoma. Everolimus is also approved to treat hormone receptor-positive, HER2-negative advanced breast cancer in combination with exemestane following prior therapy with letrozole or anastrozole, as well as in combination with the multikinase inhibitor lenvatinib to treat advanced RCC following prior antiangiogenic therapy.

GENE ASSOCIATION

On the basis of extensive clinical^{108-109,112} and preclinical¹¹³ evidence in multiple tumor types, PIK3CA activation may predict sensitivity to mTOR inhibitors such as everolimus. PTEN inactivation may predict benefit from mTOR inhibitors, such as everolimus, based on clinical data in various tumor types. For patients with prostate cancer, PTEN loss correlated with response to single-agent everolimus³¹¹. Retrospective clinical data suggest that patients with advanced breast cancer and PTEN inactivation, particularly in the context of HER2-positive disease, may benefit from everolimus combined with targeted therapy and/or chemotherapy^{109,312-313}.

SUPPORTING DATA

In an exploratory cohort of the BOLERO-2 Phase 3 study, the addition of everolimus to exemestane in the first line for hormone receptor-positive (HR+), HER2-negative

(HER2-) breast cancer improve the median PFS compared to exemestane alone (11.5 vs. 4.1 months, HR = 0.39)³¹⁴. Everolimus combined with exemestane as second-line therapy in the same setting also improved the median PFS compared with exemestane in BOLERO-2 (7.8 vs. 3.2 months, HR = 0.45)³¹⁵⁻³¹⁷, and modestly improved the median PFS compared with everolimus alone in BOLERO-6 (8.4 vs. 6.8 months, HR = 0.74)³¹⁸. Patients with HR+, HER2- breast cancer also benefited from everolimus combined with other antiestrogen therapies, including letrozole, tamoxifen, and anastrozole^{312,319-320}. For patients with HR+, HER- breast cancer who progressed on antiestrogen therapies, addition of everolimus to the most recent endocrine therapy showed efficacy with 8% ORR and median PFS of 6.6 months³²¹. For patients with HER2+ breast cancer, everolimus combined with trastuzumab plus paclitaxel did not significantly improve median PFS in the full study population (15.0 months with everolimus vs. 14.5 months with placebo), but increased PFS in the HR-negative subpopulation (20.3 vs. 13.1 months)³²². For patients with trastuzumab-resistant HER2+ breast cancer, the addition of everolimus to trastuzumab plus vinorelbine prolonged median PFS (7.0 vs. 5.8 months)³²³. Patients with metastatic triple-negative breast cancer treated with everolimus plus carboplatin achieved a clinical benefit rate of 36% (9/25)³²⁴. Whereas frequent adverse events precluded a recommended Phase 2 dose and schedule for the combination of trametinib and everolimus in a Phase 1b trial for solid tumors³²⁵, a retrospective study for heavily pretreated patients with solid tumors reported tolerable regimens of the combination for 23/31 patients, with 16 patients treated >3 months and evaluable patients achieving a median PFS of 6.5 months³²⁶.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Fulvestrant

Assay findings association

ESR1
Y537S

AREAS OF THERAPEUTIC USE

Fulvestrant is an estrogen receptor antagonist and selective estrogen receptor degrader (SERD). It is FDA approved as a monotherapy to treat postmenopausal women with hormone receptor (HR)-positive metastatic breast cancer who have progressed on antiestrogen therapy and in combination with palbociclib or abemaciclib to treat patients with HR-positive, HER2-negative, advanced or metastatic breast cancer after progression on endocrine therapy.

GENE ASSOCIATION

On the basis of a prospective-retrospective clinical study⁶³, activating mutations in ESR1 may predict relative benefit from selective estrogen receptor degraders, such as fulvestrant⁶⁴. Patients with ESR1 mutations experienced an increased median progression-free survival (PFS) on fulvestrant compared to exemestane and greater benefit when palbociclib was added to fulvestrant⁶³.

SUPPORTING DATA

In an exploratory subgroup analysis for patients with ESR1 mutations treated with fulvestrant (35.6 vs. 24.6 months, HR=0.69), statistical significance was not reached for the overall population (34.9 vs. 28.0 months; HR=0.81, p=0.09)³²⁷. Prospective-retrospective analysis of ESR1 mutational status of 2 Phase 3 studies showed increased median PFS for patients with ESR1 mutations on fulvestrant compared with exemestane [5.7 vs. 2.6 months, HR=0.52, p=0.02] and greater benefit when palbociclib was added to fulvestrant (9.4 vs. 3.6 months)⁶³. In the PALOMA Phase 3 study, fulvestrant combined with palbociclib to treat patients with HR+, HER2- breast cancer who progressed on endocrine therapy reported improved median PFS (11.2 vs. 4.6 months) and ORR (25% vs. 11%) compared to placebo with fulvestrant³²⁷⁻³²⁹; the combination treatment significantly improved OS relative

to the comparator for patients with prior sensitivity to endocrine therapy (39.7 vs. 29.7 months, HR=0.72). A global Phase 3 MONARCH2 study of fulvestrant with the addition of abemaciclib for women with HR+, HER2- advanced breast cancer who had progressed after endocrine therapy showed significantly improved median PFS (16.4 vs. 9.3 months; HR=0.55) and ORR (48% vs. 21%) compared with placebo plus fulvestrant³³⁰, with similar results in the interim analysis of Phase 3 MONARCHplus study of a predominantly Chinese population³³¹. A Phase 3 trial of ribociclib in combination with fulvestrant in patients with HR+, HER2- breast cancer previously treated with up to 1 line of endocrine therapy improved median PFS (20.5 vs. 12.8 months, HR=0.59 and 33.6 vs 19.2, HR=0.55 in first line setting), ORR (41 vs. 29% in patients with measurable disease), and OS (not reached vs 45.1 months, HR=0.73 as first line and 40.2 vs 32.5 months, HR=0.73 as second line) as compared to placebo with fulvestrant³³²⁻³³³. For endocrine-therapy naïve patients with HR+ advanced or metastatic breast cancer, the FALCON Phase 3 study demonstrated superior median PFS (16.6 vs. 13.8 months) with single-agent fulvestrant compared with anastrozole³³⁴. A Phase 3 study of fulvestrant in combination with anastrozole to treat patients with HR+ advanced or metastatic breast cancer reported improved median PFS (15.0 vs 13.5 months, HR=0.80, p=0.007) and median OS (49.8 vs. 42.0 months, HR=0.82, p=0.03) relative to anastrozole alone³³⁵⁻³³⁶ and a greater median OS benefit from the combination in patients that had not been previously treated with adjuvant hormonal therapy (52.2 vs. 40.3, HR=0.73) than in those that had been (48.2 vs. 43.5, HR=0.97)³³⁶. Phase 2 trials have reported increased median PFS and clinical benefit when fulvestrant is combined with everolimus or palbociclib, pertuzumab, and trastuzumab (in neoadjuvant setting) in patients with AI-resistant or treatment naïve breast cancer, respectively³³⁷⁻³³⁸.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN PATIENT'S TUMOR TYPE

Palbociclib

Assay findings association

CDK4
amplification

AREAS OF THERAPEUTIC USE

Palbociclib inhibits the cyclin-dependent kinases 4 and 6 (CDK4/6) and is FDA approved to treat hormone receptor (HR)-positive/HER2-negative advanced or metastatic breast cancer in combination with an aromatase inhibitor as first-line therapy for postmenopausal women or in combination with fulvestrant following progression on endocrine therapy.

GENE ASSOCIATION

Clinical studies in liposarcoma and mantle cell lymphoma as well as responses in patients with breast cancer or melanoma indicate that activation of cyclin D-CDK4/6 may predict sensitivity to therapies such as palbociclib^{40,44-45,339}.

SUPPORTING DATA

In the Phase 3 PALOMA-2 study for postmenopausal patients with newly diagnosed estrogen receptor-positive (ER+)/HER2-negative (HER2-) metastatic breast cancer (MBC), the addition of palbociclib to letrozole significantly improved ORR (42.1% vs. 34.7%) and median PFS (24.8 vs. 14.5 months)³⁴⁰; benefit was observed irrespective of cyclin D-CDK4/6-RB pathway gene expression³⁴¹. The Phase 3 PALOMA-3 study reported that addition of palbociclib to fulvestrant improved ORR (24.6% vs. 10.9%), median PFS (11.2 vs. 4.6 months, HR=0.50) and median OS (34.9 vs. 28.0 months, HR=0.81)

compared with fulvestrant plus placebo for patients with hormone receptor-positive (HR+)/HER2- breast cancer (BC) who had progressed on endocrine therapy³²⁷⁻³²⁸; low tumor CCNE1 expression correlated with improved PFS from the combination therapy (14.1 vs. 7.6 months)³⁴². Palbociclib plus fulvestrant compared with fulvestrant alone significantly improved OS for patients with prior sensitivity to endocrine therapy (39.7 vs. 29.7 months, HR=0.72) and patients with ESR1 mutations (35.6 vs. 24.6 months, HR=0.69), but did not significantly improve OS in the overall PALOMA-3 population (34.9 vs. 28.0 months; HR=0.81, p=0.09)³²⁷. A Phase 2 trial for patients with HR+/HER2- BC previously treated with tamoxifen demonstrated improved median PFS with palbociclib plus exemestane and leuprolide compared with capecitabine (20.1 vs. 14.4 months, HR=0.66)³⁴³. A Phase 2 study of single-agent palbociclib for Rb+ solid tumors reported a 2.0% (1/50) ORR for patients with HER2- BC, with significantly longer median PFS for patients with HR+ versus HR- disease (4.5 vs. 1.5 months)³⁴⁴⁻³⁴⁵. An additional cohort of HER2+ patients treated with palbociclib plus trastuzumab in this study achieved a 20.0% (2/10) ORR with median PFS of 6.7 months³⁴⁵. Palbociclib has also demonstrated clinical activity in combination with hormone therapy and/or HER2-targeted therapy in the neoadjuvant setting^{338,346-348}, and with anti-androgen therapy in the metastatic setting³⁴⁹.

Ribociclib

Assay findings association

CDK4
amplification

AREAS OF THERAPEUTIC USE

Ribociclib inhibits the cyclin-dependent kinases 4 and 6 (CDK4/6) and is FDA approved in combination with an aromatase inhibitor as first-line therapy to treat women with hormone receptor-positive (HR+), human epidermal growth factor receptor 2-negative (HER2-) advanced or metastatic breast cancer. Ribociclib is also approved in combination with fulvestrant to treat postmenopausal women with HR+, HER2- advanced or metastatic breast cancer, either as first-line therapy or following disease progression on endocrine therapy.

GENE ASSOCIATION

On the basis of clinical responses in sarcomas^{40,44-45}, CDK4 amplification may predict sensitivity to CDK4/6 inhibitors such as ribociclib.

SUPPORTING DATA

In the Phase 3 MONALEESA-2 study, postmenopausal patients with hormone-receptor-positive (HR+), HER2-recurrent or metastatic breast cancer treated with first-line ribociclib combined with letrozole experienced improved median PFS (25.3 vs. 16.0 months; HR=0.57) and ORR (55% vs. 39%), versus those treated with letrozole plus placebo³⁵⁰. In the Phase 3 MONALEESA-7 trial for premenopausal or perimenopausal women with HR+, HER2- advanced breast cancer (ABC), the addition of

ribociclib in the first-line setting to tamoxifen or a nonsteroidal aromatase inhibitor plus goserelin compared with the addition of placebo significantly improved median PFS (23.8 vs. 13.0 months, HR=0.55)³⁵¹ and estimated 42-month OS (70.2% vs. 46.0%, HR=0.71)³⁵². In the Phase 3 MONALEESA-3 study for postmenopausal patients with HR+, HER2- ABC previously treated with up to 1 line of endocrine therapy, the addition of ribociclib to fulvestrant improved median PFS (20.5 vs. 12.8 months, HR=0.59), ORR (41 vs. 29%) and 42-month estimated OS rate (57.8% vs. 45.9%, HR=0.72) compared with fulvestrant plus placebo^{332,353}. Treatment with ribociclib combined with everolimus and exemestane elicited a median PFS of 5.7 months and a 24-week clinical benefit rate (CBR) of 41.0% (39/95) in a Phase 1/2 trial for HR+, HER2- ABC after failure on CDK4/6 inhibitors³⁵⁴. The same combination led to a 24-week CBR of 56.2% (9/16) and 23.5% (4/17) for postmenopausal patients with HR+, HER2- ABC who were CDK4/6 inhibitor-naïve or -refractory, respectively, in a Phase 1b study³⁵⁵. Phase 1b studies for HR+, HER2- ABC have reported ORRs from ribociclib plus letrozole of 5.3% (1/19) and 45.8% (11/24) for previously treated and treatment-naïve groups, respectively³⁵⁶⁻³⁵⁷, and 22.2% (6/27) from ribociclib in combination with letrozole plus alpelisib³⁵⁸. A Phase 1 study of single-agent ribociclib for advanced solid tumors reported a PR for 5.0% (1/20) of patients with HR+ BC⁴³.

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ORDERED TEST #

THERAPIES ASSOCIATED WITH LACK OF RESPONSE

IN PATIENT'S TUMOR TYPE

Anastrozole

⚠ Patient may be resistant to Anastrozole

Assay findings association

ESR1
Y537S

AREAS OF THERAPEUTIC USE

Anastrozole is a selective nonsteroidal aromatase inhibitor. It is FDA approved for the adjuvant treatment of postmenopausal women with hormone receptor-positive (HR+) early breast cancer, as first-line treatment for postmenopausal women with locally advanced or metastatic breast cancer HR+ or hormone receptor unknown, and for the treatment of postmenopausal women with advanced breast cancer following disease progression on tamoxifen.

GENE ASSOCIATION

Based on randomized-controlled trials, retrospective studies and case reports, aromatase inhibitors may provide clinical benefit for hormone receptor positive (HR+) breast and gynecologic malignancies^{58-62,359-361}. Based on prospective and retrospective studies in breast carcinoma, ESR1 ligand-independent activating

alterations, such as seen here, confer resistance of aromatase inhibitors (AIs), particularly for patients who have already received AI treatment^{63-64,69,82,105,362-364}.

SUPPORTING DATA

A long-term follow-up of the ATAC Phase 3 clinical trial reported significant improved disease-free survival [hazard ratio (HR) = 0.91, p=0.04], time to recurrence, and time to distant recurrence in postmenopausal women with early stage breast cancer treated with anastrozole as adjuvant therapy compared to tamoxifen³⁶⁰; however, patients in the ATAC cohort had not received prior treatment with aromatase inhibitors (AI), and ESR1 mutations are infrequently acquired in the adjuvant setting^{87,105,365}. In another study, patients with ESR1 mutations had a substantially shorter progression-free survival on subsequent AI-based therapy compared to patients with wild-type ESR1 (HR = 3.1)⁸⁷.

Exemestane

⚠ Patient may be resistant to Exemestane

Assay findings association

ESR1
Y537S

AREAS OF THERAPEUTIC USE

Exemestane is a steroidal irreversible aromatase inhibitor that is FDA approved as a monotherapy to treat postmenopausal women with breast cancer who have progressed on tamoxifen and in the adjuvant setting for postmenopausal women with estrogen receptor-positive (ER+) breast cancer who have previously received two or three years of adjuvant tamoxifen. Additionally, exemestane is FDA approved in combination with everolimus to treat hormone receptor-positive (HR+), HER2-negative advanced breast cancer following prior therapy with letrozole or anastrozole.

GENE ASSOCIATION

Based on randomized-controlled trials, retrospective studies and case reports, aromatase inhibitors may provide clinical benefit for hormone receptor positive (HR+) breast and gynecologic malignancies^{58-62,359-361}. Based on prospective and retrospective studies in breast carcinoma, ESR1 ligand-independent activating alterations, such as seen here, confer resistance of aromatase inhibitors (AIs), particularly for patients who have already received AI treatment^{63-64,69,82,105,362-364}.

SUPPORTING DATA

Retrospective mutational analyses of the Phase 3 SoFEA study demonstrated significantly reduced median progression-free survival [PFS, 2.6 vs. 8.0 months; hazard ratio (HR) = 2.12; p=0.01] and a trend toward decreased overall survival (OS; 12.8 vs. 22.8 months) for patients

with hormone receptor-positive (HR+) breast cancer who harbored ESR1 mutations and were treated with exemestane, relative to those with wild-type ESR1 treated similarly⁶³. Further, median PFS was significantly shorter for patients harboring ESR1 mutations treated with exemestane than for those treated with a regimen containing the selective ER degrader fulvestrant (2.4 vs. 5.7 months; HR = 0.52; p=0.02); a significant difference between the regimens was not observed among patients with wild-type ESR1 (3.0 vs. 5.4 months, HR = 1.07, p=0.77)⁶³. In retrospective mutational analyses of the intent-to-treat cohort of the Phase 3 BOLERO-2 study, both the exemestane plus placebo and exemestane plus everolimus arms demonstrated significantly decreased median OS for patients with HR+ breast cancer harboring ESR1 D538G (25.99 vs. 32.1 months, p=0.03) or Y537S (19.98 vs. 32.1 months, p=0.003), relative to those lacking these mutations¹⁰⁵. Among the patients with advanced breast cancer in the BOLERO-2 study who were treated with exemestane and placebo, those harboring ESR1 D538G exhibited reduced median PFS relative to those lacking either ESR1 D538G or Y537S (2.69 vs. 3.94 months, HR = 1.71, p=0.02), whereas those harboring ESR1 Y537S did not show a significant difference relative to the Y537S/D538G-negative population (4.14 vs. 3.94 months, HR = 0.95, p=0.86); however, this control population was not analyzed for other ESR1 alterations that may have been present following previous treatment with nonsteroidal aromatase inhibitors¹⁰⁵.

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ORDERED TEST #

THERAPIES ASSOCIATED WITH LACK OF RESPONSE

IN PATIENT'S TUMOR TYPE

Letrozole

⚠ Patient may be resistant to Letrozole

Assay findings association

ESR1
Y537S

AREAS OF THERAPEUTIC USE

Letrozole is a selective nonsteroidal aromatase inhibitor. It is FDA approved for the adjuvant treatment of postmenopausal women with hormone receptor-positive (HR+) early breast cancer and for the extended adjuvant treatment of postmenopausal women with early breast cancer who have received prior tamoxifen therapy. Letrozole is also FDA approved to treat postmenopausal women with advanced or metastatic breast cancer, specifically in the first- and second-line setting for treating those with HR+ or hormone unknown advanced breast cancer or in the first-line setting in combination with palbociclib for treating HR+/HER2- advanced or metastatic breast cancer.

GENE ASSOCIATION

Based on randomized-controlled trials, retrospective studies and case reports, aromatase inhibitors may provide clinical benefit for hormone receptor positive (HR+) breast and gynecologic malignancies^{58-62,359-361}. Based on prospective and retrospective studies in breast carcinoma, ESR1 ligand-independent activating alterations, such as seen here, confer resistance of aromatase inhibitors (AIs), particularly for patients who

have already received AI treatment^{63-64,69,82,105,362-364}.

SUPPORTING DATA

Follow-up analysis from the Phase 3 Breast International Group (BIG) 1-98 study reported significantly improved intention-to-treat disease-free survival (HR = 0.86), and OS (HR = 0.87) in postmenopausal women with early stage breast cancer treated with letrozole monotherapy compared to tamoxifen monotherapy; sequential treatments involving tamoxifen and letrozole did not improve outcome compared with letrozole monotherapy³⁵⁹. For postmenopausal patients with newly diagnosed metastatic estrogen receptor-positive (ER+)/HER2- breast cancer, Phase 2 and 3 studies reported significant clinical benefit from letrozole combined with palbociclib³⁶⁶⁻³⁶⁸, with an improved ORR (42% vs. 35%) and median PFS (24.8 vs. 14.5 months) compared with letrozole plus placebo in the Phase 3 PALOMA-2 trial^{340,366}. However, patients with ESR1 mutations had a substantially shorter PFS on subsequent AI-based therapy compared to patients with wild-type ESR1 (HR = 3.1)⁸⁷, including in the presence of palbociclib (PFS = 3.3 months vs. 9.0 months, p = 0.038)³⁶⁹.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Erdaftinib

Assay findings association

FGFR2
amplification

AREAS OF THERAPEUTIC USE

Erdaftinib is a pan-fibroblast growth factor receptor (FGFR) inhibitor. It is FDA approved for the treatment of patients with advanced or metastatic urothelial carcinoma who have FGFR2 or FGFR3 alterations and have progressed after prior chemotherapy.

GENE ASSOCIATION

On the basis of strong clinical evidence for FGFR2 fusions^{237,370-371}, limited evidence for FGFR2 mutations³⁷¹⁻³⁷² and limited evidence for FGFR2 amplification²⁴⁹, and preclinical data³⁷³⁻³⁷⁴, FGFR2 activating alterations may confer sensitivity to erdaftinib.

SUPPORTING DATA

Erdaftinib has been primarily studied for the treatment

of FGFR-altered urothelial carcinoma. A Phase 2 study evaluating erdaftinib for the treatment of patients with metastatic or unresectable urothelial carcinoma (mUC) previously treated with chemotherapy and harboring FGFR2/3 fusions or FGFR3 activating mutations reported an ORR of 40% (40/99, 3 CR), and a DCR of 80% (79/99)³⁷⁵. A Phase 1 trial of erdaftinib reported clinical responses in for patients with various FGFR2- or FGFR3-altered solid tumors^{237,372,376-377}, including cholangiocarcinoma (27% ORR, 3/11), NSCLC (5% ORR, 1/21), breast (9% ORR, 3/34), and ovarian (9% ORR, 1/11), while other cancers including endometrial carcinoma and glioblastoma showed a low ORR (2%, 1/58)²⁴⁹. Following progression on multiple other lines of therapy, a patient with metastatic FGFR2-fusion-positive NSCLC treated with erdaftinib exhibited an 11-month PR³⁷⁶.

Pazopanib

Assay findings association

FGFR2
amplification

AREAS OF THERAPEUTIC USE

Pazopanib is a tyrosine kinase inhibitor that targets VEGFRs, PDGFRs, FGFRs, KIT, ITK, LCK, and c-FMS. It is FDA approved for the treatment of advanced renal cell carcinoma and soft tissue sarcomas that have progressed after prior chemotherapy.

GENE ASSOCIATION

FGFR2 amplification may predict sensitivity to pazopanib. In a Phase 2 study of pazopanib plus capecitabine and oxaliplatin for the treatment of patients with advanced gastric cancer, 6/7 patients with FGFR2 protein expression exhibited a PR, and PFS was significantly improved in patients with FGFR2 expression than in those without (8.5 vs. 5.6 months, $p=0.050$)³⁷⁸.

SUPPORTING DATA

A Phase 2 clinical trial of pazopanib in breast cancer reported 55% disease stabilization³⁷⁹. A Phase 2 study of

heavily pretreated post-menopausal hormone receptor positive (HR+) breast cancer treated with a combination of pazopanib and nonsteroidal aromatase inhibitor reported 7% partial responses (PRs; 2/28) and 18% stable diseases (SDs; 5/28), with 7 patients having progression-free survival (PFS) greater than 6 months³⁸⁰. Phase 2 clinical trials of pazopanib with lapatinib in patients with HER2-positive breast cancer reported that the combination was associated with higher response rate than lapatinib alone but did not bring about an increase in PFS³⁸¹⁻³⁸². A multicenter single-arm Phase 2 study evaluating pazopanib combined with paclitaxel as neoadjuvant following doxorubicin/cyclophosphamide reported complete responses in 9% (6/67) and 38% (10/26) of patients with HR+ and triple-negative locally advanced breast cancer cases, respectively; however, a high level of toxicity led to discontinuation of pazopanib in 61% of patients³⁸³.

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ORDERED TEST #

THERAPIES WITH CLINICAL BENEFIT

IN OTHER TUMOR TYPE

Temsirolimus

Assay findings association

PIK3CA
E542K

PTEN
T319fs*1

AREAS OF THERAPEUTIC USE

Temsirolimus is an intravenous mTOR inhibitor that is FDA approved for the treatment of advanced renal cell carcinoma.

GENE ASSOCIATION

On the basis of extensive clinical^{110-111,384} and preclinical¹¹³ evidence, PIK3CA activation may predict sensitivity to mTOR inhibitors such as temsirolimus. In two studies of temsirolimus-containing treatment regimens in a variety of cancer types, response rates of 4/16 (25%)¹¹⁰ and 7/23 (30%)³⁸⁴ were reported in patients with PIK3CA-mutant tumors. PTEN inactivation may predict benefit from mTOR inhibitors, such as temsirolimus, based on clinical data in various tumor types. Out of 10 patients with metaplastic breast cancer and PTEN alterations, 2 cases responded to temsirolimus or everolimus plus doxorubicin and bevacizumab^{111,385}. Temsirolimus achieved SD for 6/7 patients with PTEN-deficient cervical carcinoma³⁸⁶. Clinical studies in renal cell carcinoma³⁸⁷⁻³⁸⁸, glioblastoma³⁸⁹⁻³⁹⁰, or endometrial cancer³⁹¹⁻³⁹⁴ did not observe a correlation of PTEN deficiency with response to temsirolimus, although several patients with those tumor types and PTEN loss have benefited from mTOR inhibitors.

SUPPORTING DATA

A Phase 1 trial examining the combination of temsirolimus, liposomal doxorubicin, and bevacizumab in 74 patients with breast and gynecological malignancies reported that 37.9% of patients experienced either a CR (1.4%), PR (18.9%), or SD (17.6%); among 25 patients with PIK3CA mutation or PTEN loss, 52% experienced a CR, PR (36%), or SD (16%)³⁹⁵. Another Phase 1 trial including patients with several types of cancer reported a 42% incidence of complete or partial responses in patients with metastatic breast cancer³⁹⁶. However, a Phase 2 study of temsirolimus in pretreated patients with metastatic breast cancer reported minimal clinical activity and no association with PTEN protein or PIK3CA mutation status³⁹⁷. A Phase 3 placebo-controlled trial of letrozole plus oral temsirolimus as first-line endocrine therapy in postmenopausal women with locally advanced or metastatic breast cancer was terminated at the second interim since the addition of temsirolimus to letrozole did not improve PFS as a first-line therapy³⁹⁸. A study examining the efficacy of temsirolimus-involving regimens in 24 patients with mesenchymal/metaplastic breast cancer (MpBCs) reported 2 CRs, 4 PRs, 2 instances of SD longer than 6 months, and 4 instances of SD shorter than 6 months¹¹¹.

NOTE Genomic alterations detected may be associated with activity of certain FDA approved drugs, however, the agents listed in this report may have varied evidence in the patient's tumor type.

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ORDERED TEST #

CLINICAL TRIALS

NOTE Clinical trials are ordered by gene and prioritized by: age range inclusion criteria for pediatric patients, proximity to ordering medical facility, later trial phase, and verification of trial information within the last two months. While every effort is made to ensure the accuracy of the information contained below, the information available in the public domain is continually updated and

should be investigated by the physician or research staff. This is not a comprehensive list of all available clinical trials. Foundation Medicine displays a subset of trial options and ranks them in this order of descending priority: Qualification for pediatric trial → Geographical proximity → Later trial phase. Clinical trials listed here may have additional enrollment criteria that may require

medical screening to determine final eligibility. For additional information about listed clinical trials or to conduct a search for additional trials, please see [clinicaltrials.gov](https://www.foundationmedicine.com/genomic-testing#support-services). Or, visit <https://www.foundationmedicine.com/genomic-testing#support-services>.

GENE
CDK4

RATIONALE
CDK4 amplification may predict sensitivity to

CDK4/6 inhibitors.

ALTERATION
amplification

NCT02107703

PHASE 3

A Study of Abemaciclib (LY2835219) Combined With Fulvestrant in Women With Hormone Receptor Positive HER2 Negative Breast Cancer

TARGETS
CDK4, CDK6, ER

LOCATIONS: Kuei Shan Hsiang (Taiwan), Virginia, New York, North Carolina, Toronto (Canada), London (Canada), Massachusetts, New Hampshire, Vermont, Michigan

NCT02738866

PHASE 2

Palbociclib With Fulvestrant for Metastatic Breast Cancer After Treatment With Palbociclib and an Aromatase Inhibitor

TARGETS
ER, CDK4, CDK6

LOCATIONS: District of Columbia, Maryland, Pennsylvania

NCT02693535

PHASE 2

TAPUR: Testing the Use of Food and Drug Administration (FDA) Approved Drugs That Target a Specific Abnormality in a Tumor Gene in People With Advanced Stage Cancer

TARGETS
VEGFRs, ABL, SRC, ALK, AXL, MET, ROS1, TRKA, TRKC, CDK4, CDK6, CSF1R, FLT3, KIT, PDGFRs, RET, mTOR, EGFR, ERBB3, ERBB2, BRAF, MEK, SMO, DDR2, PARP, PD-1, CTLA-4, ERBB4

LOCATIONS: Virginia, Pennsylvania, North Carolina, Michigan, Indiana, Georgia, Illinois

NCT03994796

PHASE 2

Genetic Testing in Guiding Treatment for Patients With Brain Metastases

TARGETS
ALK, ROS1, TRKA, TRKB, TRKC, CDK4, CDK6, PI3K, mTOR

LOCATIONS: Virginia, Pennsylvania, New Jersey, New York, North Carolina

NCT03280563

PHASE 1/2

A Study of Multiple Immunotherapy-Based Treatment Combinations in Hormone Receptor (HR)-Positive Human Epidermal Growth Factor Receptor 2 (HER2)-Negative Breast Cancer

TARGETS
PD-L1, ER, HDAC, AKTs, CDK4, CDK6

LOCATIONS: Maryland, Pennsylvania, New York, North Carolina, Tennessee, Illinois, Alabama, California

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ORDERED TEST #

CLINICAL TRIALS
NCT03573648
PHASE 2

Noadjuvant Tamoxifen, Palbociclib, Avelumab in Estrogen Receptor Positive Breast Cancer

TARGETS
ER, CDK4, CDK6, PD-L1

LOCATIONS: Maryland

NCT03854903
PHASE 1

WI231696: ASPIRE Bosutinib

TARGETS
CDK4, CDK6, ABL, SRC, ER

LOCATIONS: District of Columbia

NCT02734615
PHASE 1

Phase I/Ib Trial of LSZ102 Single Agent or LSZ102 + LEE011 or LSZ102 + BYL719 in ER+ Breast Cancers

TARGETS
ER, CDK6, CDK4, PI3K-alpha

LOCATIONS: Maryland, New York, North Carolina, Toronto (Canada), Massachusetts, Texas, Madrid (Spain), Barcelona (Spain), Milano (Italy), Koto ku (Japan)

NCT02684032
PHASE 1

A Study To Assess The Tolerability And Clinical Activity Of Gedatolisib In Combination With Palbociclib/Letrozole Or Palbociclib/Fulvestrant In Women With Metastatic Breast Cancer

TARGETS
Aromatase, PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6, ER

LOCATIONS: Virginia, Pennsylvania, North Carolina, Ohio, Michigan, Massachusetts, Georgia, Tennessee

NCT04031885
PHASE 4

A Study of Abemaciclib (LY2835219) in Combination With Fulvestrant Compared to Chemotherapy in Women With HR Positive, HER2 Negative Metastatic Breast Cancer

TARGETS
ER, CDK4, CDK6

LOCATIONS: Pennsylvania, New York, Connecticut, Rhode Island, Massachusetts, Michigan, Vermont, Maine, Georgia

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ORDERED TEST #

CLINICAL TRIALS

GENE
ESR1

ALTERATION
Y537S

RATIONALE
Tumors with ESR1 activating mutations may be sensitive to selective estrogen receptor degraders (SERDs). Clinical evidence suggests that ESR1 ligand-independent activating alterations reduce

the efficacy of AI-containing regimens in breast carcinoma, particularly for patients who have already received AI treatment.

NCT03778931

PHASE 3

Phase 3 Trial of Elacestrant vs. Standard of Care for the Treatment of Patients With ER+/HER2-Advanced Breast Cancer

TARGETS
Aromatase, ER

LOCATIONS: District of Columbia, Virginia, Maryland, Pennsylvania, New Jersey

NCT02107703

PHASE 3

A Study of Abemaciclib (LY2835219) Combined With Fulvestrant in Women With Hormone Receptor Positive HER2 Negative Breast Cancer

TARGETS
CDK4, CDK6, ER

LOCATIONS: Kuei Shan Hsiang (Taiwan), Virginia, New York, North Carolina, Toronto (Canada), London (Canada), Massachusetts, New Hampshire, Vermont, Michigan

NCT02738866

PHASE 2

Palbociclib With Fulvestrant for Metastatic Breast Cancer After Treatment With Palbociclib and an Aromatase Inhibitor

TARGETS
ER, CDK4, CDK6

LOCATIONS: District of Columbia, Maryland, Pennsylvania

NCT03584009

PHASE 2

A Phase II Study Comparing The Efficacy Of Venetoclax + Fulvestrant Vs. Fulvestrant In Women With Estrogen Receptor-Positive, Her2-Negative Locally Advanced Or Metastatic Breast Cancer Who Experienced Disease Recurrence Or Progression During Or After CDK4/6 Inhibitor Therapy

TARGETS
ER, BCL2

LOCATIONS: Maryland, Ohio, Kentucky, Toronto (Canada), Newmarket (Canada), Massachusetts, Ottawa (Canada), Sherbrooke (Canada), Georgia

NCT03280563

PHASE 1/2

A Study of Multiple Immunotherapy-Based Treatment Combinations in Hormone Receptor (HR)-Positive Human Epidermal Growth Factor Receptor 2 (HER2)-Negative Breast Cancer

TARGETS
PD-L1, ER, HDAC, AKTs, CDK4, CDK6

LOCATIONS: Maryland, Pennsylvania, New York, North Carolina, Tennessee, Illinois, Alabama, California

NCT03854903

PHASE 1

W1231696: ASPIRE Bosutinib

TARGETS
CDK4, CDK6, ABL, SRC, ER

LOCATIONS: District of Columbia

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Sample Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ORDERED TEST #

CLINICAL TRIALS
NCT02734615
PHASE 1

Phase I/Ib Trial of LSZ102 Single Agent or LSZ102 + LEE011 or LSZ102 + BYL719 in ER+ Breast Cancers

TARGETS
ER, CDK6, CDK4, PI3K-alpha

LOCATIONS: Maryland, New York, North Carolina, Toronto (Canada), Massachusetts, Texas, Madrid (Spain), Barcelona (Spain), Milano (Italy), Koto ku (Japan)

NCT02684032
PHASE 1

A Study To Assess The Tolerability And Clinical Activity Of Gedatolisib In Combination With Palbociclib/Letrozole Or Palbociclib/Fulvestrant In Women With Metastatic Breast Cancer

TARGETS
Aromatase, PI3K-alpha, PI3K-gamma, mTORC1, mTORC2, CDK4, CDK6, ER

LOCATIONS: Virginia, Pennsylvania, North Carolina, Ohio, Michigan, Massachusetts, Georgia, Tennessee

NCT04031885
PHASE 4

A Study of Abemaciclib (LY2835219) in Combination With Fulvestrant Compared to Chemotherapy in Women With HR Positive, HER2 Negative Metastatic Breast Cancer

TARGETS
ER, CDK4, CDK6

LOCATIONS: Pennsylvania, New York, Connecticut, Rhode Island, Massachusetts, Michigan, Vermont, Maine, Georgia

NCT04060862
PHASE 3

A Study of Ipatasertib Plus Palbociclib and Fulvestrant Versus Placebo Plus Palbociclib and Fulvestrant in Hormone Receptor Positive and HER2 Negative Locally Advanced Unresectable or Metastatic Breast Cancer

TARGETS
AKTs, CDK4, CDK6, ER

LOCATIONS: New Jersey, Hamilton (Canada), Georgia, Calgary (Canada), Manchester (United Kingdom), London (United Kingdom), Sutton (United Kingdom), Barcelona (Spain), Porto Alegre (Brazil), Malvern (Australia)

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ORDERED TEST #

CLINICAL TRIALS

<p>GENE FGFR2</p> <p>ALTERATION amplification</p>	<p>RATIONALE FGFR inhibitors may be relevant in tumors with alterations that activate FGFR2.</p>
<p>NCT03344536</p> <p>A Study of Debio 1347 Plus Fulvestrant in Patients With Metastatic Breast Cancer</p> <p>LOCATIONS: New York</p>	<p>PHASE 1/2</p> <p>TARGETS FGFR1, FGFR2, FGFR3, ER</p>
<p>NCT02393248</p> <p>Open-Label, Dose-Escalation Study of INCB054828 in Subjects With Advanced Malignancies</p> <p>LOCATIONS: New Jersey, New York, North Carolina, Ohio, Georgia, Alabama, Missouri, Florida, Texas</p>	<p>PHASE 1/2</p> <p>TARGETS PD-1, FGFR1, FGFR2, FGFR3</p>
<p>NCT02272998</p> <p>Ponatinib for Patients Whose Advanced Solid Tumor Cancer Has Activating Mutations Involving the Following Genes: FGFR1, FGFR2, FGFR3, FGFR4, RET, KIT.</p> <p>LOCATIONS: Ohio</p>	<p>PHASE 2</p> <p>TARGETS ABL, FGFRs, FLT3, KIT, PDGFRs, RET, VEGFRs</p>
<p>NCT03564691</p> <p>Study of MK-4830 as Monotherapy and in Combination With Pembrolizumab (MK-3475) in Participants With Advanced Solid Tumors (MK-4830-001)</p> <p>LOCATIONS: New Jersey, Toronto (Canada), Michigan, Ottawa (Canada), Texas, Madrid (Spain), Hospitalet de Llobregat (Spain), Gdansk (Poland), Warszawa (Poland), Haifa (Israel)</p>	<p>PHASE 1</p> <p>TARGETS ITL4, FGFRs, KIT, PDGFRA, RET, VEGFRs, PD-1</p>
<p>NCT03992131</p> <p>A Study to Evaluate Rucaparib in Combination With Other Anticancer Agents in Patients With a Solid Tumor (SEASTAR)</p> <p>LOCATIONS: Massachusetts, Tennessee, Texas</p>	<p>PHASE 1/2</p> <p>TARGETS PARP, FGFRs, VEGFRs, TOP1</p>
<p>NCT04042116</p> <p>A Study to Evaluate Lucitanib in Combination With Nivolumab in Patients With a Solid Tumor</p> <p>LOCATIONS: Tennessee, Florida, Oklahoma</p>	<p>PHASE 1/2</p> <p>TARGETS FGFRs, VEGFRs, PD-1</p>

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ORDERED TEST #

CLINICAL TRIALS

NCT03238196

PHASE 1

Fulvestrant, Palbociclib and Erdafitinib in ER+/HER2-/FGFR-amplified Metastatic Breast Cancer

TARGETS
CDK4, CDK6, FGFRs, ER

LOCATIONS: Tennessee

NCT03235570

PHASE 1

A Safety and Tolerability Study of Pemigatinib in Japanese Subjects With Advanced Malignancies - (FIGHT-102)

TARGETS
FGFR1, FGFR2, FGFR3

LOCATIONS: Sapporo (Japan), Chiba (Japan), Saitama (Japan), Tokyo (Japan), Kanagawa (Japan), Shizuoka (Japan), Aichi (Japan), Osaka (Japan)

NCT03547037

PHASE 1

A Study to Evaluate the Safety, Pharmacokinetics, Pharmacodynamics, and Immunogenicity of JNJ-63723283, an Anti-Programmed Cell Death (PD)-1 Monoclonal Antibody, as Monotherapy or in Combination With Erdafitinib in Japanese Participants With Advanced Solid Cancers

TARGETS
PD-1, FGFRs

LOCATIONS: Kashiwa (Japan), Chuo-ku (Japan)

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ORDERED TEST #

CLINICAL TRIALS

GENE
PIK3CA

ALTERATION
E542K

RATIONALE
PIK3CA activating mutations may lead to activation of the PI3K-AKT-mTOR pathway and may therefore indicate sensitivity to inhibitors of

this pathway. Strong clinical data support sensitivity of PIK3CA-mutated solid tumors to the PI3K-alpha inhibitor alpelisib.

NCT03997123

PHASE 3

Capivasertib+Paclitaxel as First Line Treatment for Patients With Locally Advanced or Metastatic TNBC

TARGETS
AKTs

LOCATIONS: Maryland, Pennsylvania, Virginia, New York, Ohio, Mississauga (Canada), North York (Canada)

NCT04177108

PHASE 3

A Study Of Ipatasertib in Combination With Atezolizumab and Paclitaxel as a Treatment for Participants With Locally Advanced or Metastatic Triple-Negative Breast Cancer.

TARGETS
PD-L1, AKTs

LOCATIONS: Maryland, Connecticut, Barrie (Canada), South Carolina, Montreal (Canada), Montréal (Canada), Georgia, Tennessee, Quebec (Canada)

NCT03337724

PHASE 2/3

A Study of Ipatasertib in Combination With Paclitaxel as a Treatment for Participants With PIK3CA/ AKT1/PTEN-Altered, Locally Advanced or Metastatic, Triple-Negative Breast Cancer or Hormone Receptor-Positive, HER2-Negative Breast Cancer

TARGETS
AKTs

LOCATIONS: Maryland, New Jersey, New York, Massachusetts, Tennessee

NCT01827384

PHASE 2

Molecular Profiling-Based Targeted Therapy in Treating Patients With Advanced Solid Tumors

TARGETS
PARP, mTOR, MEK, WEE1

LOCATIONS: Maryland, Pennsylvania, New Jersey, Kentucky, Texas, Colorado

NCT03994796

PHASE 2

Genetic Testing in Guiding Treatment for Patients With Brain Metastases

TARGETS
ALK, ROS1, TRKA, TRKB, TRKC, CDK4, CDK6, PI3K, mTOR

LOCATIONS: Virginia, Pennsylvania, New Jersey, New York, North Carolina

NCT03280563

PHASE 1/2

A Study of Multiple Immunotherapy-Based Treatment Combinations in Hormone Receptor (HR)-Positive Human Epidermal Growth Factor Receptor 2 (HER2)-Negative Breast Cancer

TARGETS
PD-L1, ER, HDAC, AKTs, CDK4, CDK6

LOCATIONS: Maryland, Pennsylvania, New York, North Carolina, Tennessee, Illinois, Alabama, California

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ORDERED TEST #

CLINICAL TRIALS
NCT03502733
PHASE 1

Copanlisib and Nivolumab in Treating Patients With Metastatic Solid Tumors or Lymphoma

TARGETS
PI3K, PD-1

LOCATIONS: Maryland, Texas

NCT03056755
PHASE 2

Efficacy and Safety of Treatment With Alpelisib Plus Endocrine Therapy in Patients With HR+, HER2-negative aBC, With PIK3CA Mutations, Whose Disease Has Progressed on or After CDK 4/6 Treatment With an Aromatase Inhibitor (AI) or Fulvestrant

TARGETS
ER, PI3K-alpha, Aromatase

LOCATIONS: Maryland, Virginia, New York, Connecticut, Ohio, Toronto (Canada), Kitchener (Canada), Michigan, Massachusetts

NCT03711058
PHASE 1/2

Study of PI3Kinase Inhibition (Copanlisib) and Anti-PD-1 Antibody Nivolumab in Relapsed/Refractory Solid Tumors With Expansions in Mismatch-repair Proficient (MSS) Colorectal Cancer

TARGETS
PD-1, PI3K

LOCATIONS: Maryland

NCT02734615
PHASE 1

Phase I/Ib Trial of LSZ102 Single Agent or LSZ102 + LEE011 or LSZ102 + BYL719 in ER+ Breast Cancers

TARGETS
ER, CDK6, CDK4, PI3K-alpha

LOCATIONS: Maryland, New York, North Carolina, Toronto (Canada), Massachusetts, Texas, Madrid (Spain), Barcelona (Spain), Milano (Italy), Koto ku (Japan)

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ORDERED TEST #

CLINICAL TRIALS

GENE
PTEN

ALTERATION
T319fs*1

RATIONALE
PTEN loss or inactivating mutations may lead to increased activation of the PI3K-AKT-mTOR pathway and may indicate sensitivity to inhibitors

of this pathway. PTEN loss or inactivation may also predict sensitivity to PARP inhibitors.

NCT03997123

PHASE 3

Capivasertib+Paclitaxel as First Line Treatment for Patients With Locally Advanced or Metastatic TNBC

TARGETS
AKTs

LOCATIONS: Maryland, Pennsylvania, Virginia, New York, Ohio, Mississauga (Canada), North York (Canada)

NCT04177108

PHASE 3

A Study Of Ipatasertib in Combination With Atezolizumab and Paclitaxel as a Treatment for Participants With Locally Advanced or Metastatic Triple-Negative Breast Cancer.

TARGETS
PD-L1, AKTs

LOCATIONS: Maryland, Connecticut, Barrie (Canada), South Carolina, Montreal (Canada), Montréal (Canada), Georgia, Tennessee, Quebec (Canada)

NCT03337724

PHASE 2/3

A Study of Ipatasertib in Combination With Paclitaxel as a Treatment for Participants With PIK3CA/ AKT1/PTEN-Altered, Locally Advanced or Metastatic, Triple-Negative Breast Cancer or Hormone Receptor-Positive, HER2-Negative Breast Cancer

TARGETS
AKTs

LOCATIONS: Maryland, New Jersey, New York, Massachusetts, Tennessee

NCT03330847

PHASE 2

To Assess Safety and Efficacy of Agents Targeting DNA Damage Repair With Olaparib Versus Olaparib Monotherapy.

TARGETS
ATR, WEE1, PARP

LOCATIONS: Maryland, New Jersey, New York, Connecticut, Toronto (Canada)

NCT02484404

PHASE 1/2

Phase I/II Study of the Anti-Programmed Death Ligand-1 Antibody MEDI4736 in Combination With Olaparib and/or Cediranib for Advanced Solid Tumors and Advanced or Recurrent Ovarian, Triple Negative Breast, Lung, Prostate and Colorectal Cancers

TARGETS
PARP, PD-L1, VEGFRs

LOCATIONS: Maryland

NCT02769962

PHASE 1/2

Trial of CRLX101, a Nanoparticle Camptothecin With Olaparib in People With Relapsed/Refractory Small Cell Lung Cancer

TARGETS
PARP, TOP1

LOCATIONS: Maryland

NCT01827384

PHASE 2

Molecular Profiling-Based Targeted Therapy in Treating Patients With Advanced Solid Tumors

TARGETS
PARP, mTOR, MEK, WEE1

LOCATIONS: Maryland, Pennsylvania, New Jersey, Kentucky, Texas, Colorado

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ORDERED TEST #

CLINICAL TRIALS

NCT03964532

PHASE 1/2

TALAVE: Induction Talazoparib Followed by Combination of Talazoparib and Avelumab in Advanced Breast Cancer

TARGETS
 PD-L1, PARP

LOCATIONS: District of Columbia, North Carolina

NCT01042379

PHASE 2

I-SPY 2 TRIAL: Neoadjuvant and Personalized Adaptive Novel Agents to Treat Breast Cancer

TARGETS
 PARP, PD-L1, ERBB2, ERBB3, PD-1,
 TLR9, LAG-3

LOCATIONS: District of Columbia, Pennsylvania, New York, North Carolina, Connecticut, Georgia, Illinois, Alabama, Florida

NCT03330405

PHASE 2

Javelin Parp Medley: Avelumab Plus Talazoparib In Locally Advanced Or Metastatic Solid Tumors

TARGETS
 PD-L1, PARP

LOCATIONS: District of Columbia, New York, Ohio, Toronto (Canada), Massachusetts, Minnesota, Arkansas, Texas

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APPENDIX

Information Provided as a Professional Service

ORDERED TEST #

NOTE One or more variants of unknown significance (VUS) were detected in this patient's tumor. These variants may not have been adequately characterized in the scientific literature at the time this report was issued, and/or the genomic context of these alterations makes their significance unclear. We choose to include them here in the event that they become clinically meaningful in the future.

APC
K2052T and L662I

CHEK2
S252N

DDR1
R466H

FGFR2
rearrangement

FGFR3
Y337F

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APPENDIX

About FoundationOne®CDx

INTENDED USE

FoundationOne CDx (F1CDx) is a next generation sequencing based in vitro diagnostic device for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, F1CDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from FFPE ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (F1CDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the RUBRACA product label.

The F1CDx assay will be performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	<i>EGFR</i> exon 19 deletions and <i>EGFR</i> exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarceva® (Erlotinib)
	<i>EGFR</i> exon 20 T790M alterations	Tagrisso® (Osimertinib)
	<i>ALK</i> rearrangements	Alecensa® (Alectinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	<i>BRAF</i> V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
Melanoma	<i>BRAF</i> V600E	Tafinlar® (Dabrafenib) or Zelboraf® (Vemurafenib)
	<i>BRAF</i> V600E and V600K	Mekinist® (Trametinib) or Cotellic® (Cobimetinib), in combination with Zelboraf® (Vemurafenib)
Breast cancer	<i>ERBB2</i> (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	<i>PIK3CA</i> C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	<i>KRAS</i> wild-type (absence of mutations in codons 12 and 13)	Erbix® (Cetuximab)
	<i>KRAS</i> wild-type (absence of mutations in exons 2, 3, and 4) and <i>NRAS</i> wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	<i>BRCA1/2</i> alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)

The median exon coverage for this sample is 685x

TEST PRINCIPLE

FoundationOne®CDx (F1CDx) is performed exclusively as a laboratory service using DNA extracted from formalin-fixed, paraffin-embedded (FFPE) tumor samples. The assay employs a single DNA extraction method from routine FFPE biopsy or surgical resection specimens, 50-1000 ng of which will undergo whole-genome shotgun library construction and hybridization-based capture of all coding exons from 309 cancer-related genes, one promoter region, one non-coding (ncRNA), and select intronic regions from 34 commonly rearranged genes, 21 of which also include the coding exons (refer to Table 2 and Table 3 for complete list of genes included in F1CDx). In total, the assay detects alterations in a total of 324 genes. Using the Illumina® HiSeq 4000 platform, hybrid capture-selected libraries are sequenced to high uniform depth (targeting >500X median coverage with >99% of exons at coverage >100X). Sequence data is then processed using a customized analysis pipeline designed to detect all classes of genomic alterations, including base substitutions, indels, copy number alterations (amplifications and homozygous gene deletions), and selected genomic rearrangements (e.g., gene fusions). Additionally, genomic signatures including microsatellite instability (MSI), tumor mutational burden (TMB), and positive homologous recombination deficiency (HRD) status (tBRCA-positive and/or LOH high) are reported.

PERFORMANCE CHARACTERISTICS

Please refer to product label:
foundationmedicine.com/f1cdx

LIMITATIONS

- For *in vitro* diagnostic use.
- For prescription use only. This test must be ordered by a qualified medical professional in accordance with clinical laboratory regulations.
- A negative result does not rule out the presence of a mutation below the limits of detection of the assay.
- Samples with <25% tumor may have decreased sensitivity for the detection of CNAs including *ERBB2*.
- Clinical performance of Tagrisso® (osimertinib) in patients with an *EGFR* exon 20 T790M mutation detected with an allele fraction <5% is ongoing and has not been established.
- Concordance with other validated methods for CNA (with the exception of *ERBB2*) and gene rearrangement (with the exception of *ALK*) detection has not been demonstrated and will be provided in the post-market setting. Confirmatory testing using a clinically validated assay should be performed for all CNAs and rearrangements not associated with CDx claims noted in Table 1 of the Intended Use, but used for clinical decision making.
- The MSI-H/MSS designation by FMI FoundationOne®CDx (F1CDx) test is based on genome wide analysis of 95 microsatellite loci and not based on the 5 or 7 MSI loci described in current clinical practice guidelines. Refer https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf for additional details on methodology. The threshold for MSI-H/MSS was determined by analytical concordance to comparator assays (IHC and PCR) using uterine, cecum and colorectal cancer FFPE tissue. Patients with microsatellite status of "Cannot Be Determined" should be retested with an orthogonal (alternative) method. The clinical validity of the qualitative MSI designation has not been established.
- TMB by F1CDx is defined based by counting the total number of all synonymous and non-synonymous variants present at 5% allele frequency or greater (after filtering) and reported as mutations per megabase (mut/Mb) unit. TMB is a function of the characteristics of a patient's specimen and testing parameters; therefore, TMB may differ among specimens (e.g., primary vs. metastatic, tumor content) and targeted panels. The TMB calculation may differ from TMB calculations used by other assays depending on variables such as the amount of genome interrogated, percentage of tumor, assay LoD, filtering of alterations included in the score, and the read depth and other bioinformatic test specifications. Refer to the SSED for a detailed description of these variables in FMI's TMB calculation https://www.accessdata.fda.gov/cdrh_docs/pdf17/P170019B.pdf. The clinical validity of TMB defined by this panel has not been established.
- Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community.
- The test is intended to be performed on specific serial number-controlled instruments by Foundation Medicine, Inc.
- Alterations in polyT homopolymer runs may not be reliably detected in *BRCA1/2*.
- Certain large rearrangements in *BRCA1/2* including large scale genomic deletions (affecting at least one whole exon), insertions or other deleterious genomic rearrangements

ORDERED TEST #

APPENDIX

About FoundationOne®CDx

including inversions or transversion events, may not be detected in an estimated 5% of ovarian cancer patients with BRCA1/2 mutations by F1CDx.

13. Certain potentially deleterious missense or small in-frame deletions in BRCA1/2 may not be reported under the "CDx associated findings" but may be reported in the "Other alterations and biomarkers identified" section in the patient report.
14. Alterations at allele frequencies below the established limit of detection may not be detected consistently.
15. Detection of LOH has been verified only for ovarian cancer patients.
16. Performance of the LOH classification has not been established for samples below 35% tumor content and with LOH scores near the cutoff of 16.
17. There may be potential interference of ethanol with LOH detection. The interfering effects of xylene, hemoglobin, and triglycerides on the LOH score have not been demonstrated.

PDF Service Version 2.9.0

ORDERED TEST #

APPENDIX

Genes assayed in FoundationOne®CDx

FoundationOne CDx is designed to include genes known to be somatically altered in human solid tumors that are validated targets for therapy, either approved or in clinical trials, and/or that are unambiguous drivers of oncogenesis based on current knowledge. The current assay interrogates 324 genes as well as introns of 36 genes involved in rearrangements. The assay will be updated periodically to reflect new knowledge about cancer biology.

DNA GENE LIST: ENTIRE CODING SEQUENCE FOR THE DETECTION OF BASE SUBSTITUTIONS, INSERTION/DELETIONS, AND COPY NUMBER ALTERATIONS

ABL1	ACVR1B	AKT1	AKT2	AKT3	ALK	ALOX12B	AMER1 (FAM123B)	APC
AR	ARAF	ARFRP1	ARID1A	ASXL1	ATM	ATR	ATRX	AURKA
AURKB	AXIN1	AXL	BAP1	BARD1	BCL2	BCL2L1	BCL2L2	BCL6
BCOR	BCORL1	BRAF	BRCA1	BRCA2	BRD4	BRIP1	BTG1	BTG2
BTB	C11orf30 (EMSY)	C17orf39 (GID4)	CALR	CARD11	CASP8	CBFB	CBL	CCND1
CCND2	CCND3	CCNE1	CD22	CD274 (PD-L1)	CD70	CD79A	CD79B	CDC73
CDH1	CDK12	CDK4	CDK6	CDK8	CDKN1A	CDKN1B	CDKN2A	CDKN2B
CDKN2C	CEBPA	CHEK1	CHEK2	CIC	CREBBP	CRKL	CSF1R	CSF3R
CTCF	CTNNA1	CTNNB1	CUL3	CUL4A	CXCR4	CYP17A1	DAXX	DDR1
DDR2	DIS3	DNMT3A	DOT1L	EED	EGFR	EP300	EPHA3	EPHB1
EPHB4	ERBB2	ERBB3	ERBB4	ERCC4	ERG	ERRF1	ESR1	EZH2
FAM46C	FANCA	FANCC	FANCG	FANCL	FAS	FBXW7	FGF10	FGF12
FGF14	FGF19	FGF23	FGF3	FGF4	FGF6	FGFR1	FGFR2	FGFR3
FGFR4	FH	FLCN	FLT1	FLT3	FOXL2	FUBP1	GABRA6	GATA3
GATA4	GATA6	GNA11	GNA13	GNAQ	GNAS	GRM3	GSK3B	H3F3A
HDAC1	HGF	HNF1A	HRAS	HSD3B1	ID3	IDH1	IDH2	IGF1R
IKBKE	IKZF1	INPP4B	IRF2	IRF4	IRS2	JAK1	JAK2	JAK3
JUN	KDMSA	KDM5C	KDM6A	KDR	KEAP1	KEL	KIT	KLHL6
KMT2A (MLL)	KMT2D (MLL2)	KRAS	LTK	LYN	MAF	MAP2K1 (MEK1)	MAP2K2 (MEK2)	MAP2K4
MAP3K1	MAP3K13	MAPK1	MCL1	MDM2	MDM4	MED12	MEF2B	MEN1
MERTK	MET	MITF	MKNK1	MLH1	MPL	MRE11A	MSH2	MSH3
MSH6	MST1R	MTAP	MTOR	MUTYH	MYC	MYCL (MYCL1)	MYCN	MYD88
NBN	NF1	NF2	NFE2L2	NFKB1A	NKX2-1	NOTCH1	NOTCH2	NOTCH3
NPM1	NRAS	NSD3 (WHSC1L1)	NT5C2	NTRK1	NTRK2	NTRK3	P2RY8	PALB2
PARK2	PARP1	PARP2	PARP3	PAX5	PBRM1	PDCD1 (PD-1)	PDCD1LG2 (PD-L2)	PDGFRA
PDGFRB	PDK1	PIK3C2B	PIK3C2G	PIK3CA	PIK3CB	PIK3R1	PIM1	PMS2
POLD1	POLE	PPARG	PPP2R1A	PPP2R2A	PRDM1	PRKAR1A	PRKCI	PTCH1
PTEN	PTPN11	PTPRO	QKI	RAC1	RAD21	RAD51	RAD51B	RAD51C
RAD51D	RAD52	RAD54L	RAF1	RARA	RB1	RBM10	REL	RET
RICTOR	RNF43	ROS1	RPTOR	SDHA	SDHB	SDHC	SDHD	SETD2
SF3B1	SGK1	SMAD2	SMAD4	SMARCA4	SMARCB1	SMO	SNCAIP	SOC3
SOX2	SOX9	SPEN	SPOP	SRC	STAG2	STAT3	STK11	SUFU
SYK	TBX3	TEK	TET2	TGFBR2	TIPARP	TNFAIP3	TNFRSF14	TP53
TSC1	TSC2	TYRO3	U2AF1	VEGFA	VHL	WHSC1	WT1	XPO1
XRCC2	ZNF217	ZNF703						

DNA GENE LIST: FOR THE DETECTION OF SELECT REARRANGEMENTS

ALK	BCL2	BCR	BRAF	BRCA1	BRCA2	CD74	EGFR	ETV4
ETV5	ETV6	EWSR1	EZR	FGFR1	FGFR2	FGFR3	KIT	KMT2A (MLL)
MSH2	MYB	MYC	NOTCH2	NTRK1	NTRK2	NUTM1	PDGFRA	RAF1
RARA	RET	ROS1	RSP02	SDC4	SLC34A2	TERC*	TERT**	TPR2SS2

*TERC is an NCRNA

**Promoter region of TERT is interrogated

ADDITIONAL ASSAYS: FOR THE DETECTION OF SELECT CANCER BIOMARKERS

Loss of Heterozygosity (LOH) score
Microsatellite (MS) status
Tumor Mutational Burden (TMB)

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APPENDIX

Information Provided as a Professional Service

QUALIFIED ALTERATION CALLS (EQUIVOCAL AND SUBCLONAL)

An alteration denoted as “amplification –equivocal” implies that the FoundationOne®CDx assay data provide some, but not unambiguous, evidence that the copy number of a gene exceeds the threshold for identifying copy number amplification. The threshold used in FoundationOne CDx for identifying a copy number amplification is four (4) for *ERBB2* and six (6) for all other genes. Conversely, an alteration denoted as “loss –equivocal” implies that the FoundationOne CDx assay data provide some, but not unambiguous, evidence for homozygous deletion of the gene in question. An alteration denoted as “subclonal” is one that the FoundationOne CDx analytical methodology has identified as being present in <10% of the assayed tumor DNA.

PROFESSIONAL SERVICES FINDINGS

Incorporates analyses of peer-reviewed studies and other publicly available information identified by Foundation Medicine; these analyses and information may include associations between a molecular alteration (or lack of alteration) and one or more drugs with potential clinical benefit (or potential lack of clinical benefit), including drug candidates that are being studied in clinical research. Note: A finding of biomarker alteration does not necessarily indicate pharmacologic effectiveness (or lack thereof) of any drug or treatment regimen; a finding of no biomarker alteration does not necessarily indicate lack of pharmacologic effectiveness (or effectiveness) of any drug or treatment regimen.

RANKING OF ALTERATIONS AND THERAPIES

Biomarker and Genomic Findings

Therapies are ranked based on the following criteria: Therapies with clinical benefit in patient's tumor type (ranked alphabetically within each NCCN category) followed by therapies with clinical benefit in other tumor type (ranked alphabetically within each NCCN category).

Clinical Trials

Pediatric trial qualification → Geographical proximity → Later trial phase.

NATIONAL COMPREHENSIVE CANCER NETWORK® (NCCN®) CATEGORIZATION

Biomarker and genomic findings detected may be associated with certain entries within the NCCN Drugs & Biologics Compendium® (NCCN Compendium®) (www.nccn.org). The NCCN Categories of Evidence and Consensus indicated reflect the highest possible category for a given therapy in association with each biomarker or

genomic finding. Please note, however, that the accuracy and applicability of these NCCN categories within a report may be impacted by the patient's clinical history, additional biomarker information, age, and/or co-occurring alterations. For additional information on the NCCN categories please refer to the NCCN Compendium®. Referenced with permission from the NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). © National Comprehensive Cancer Network, Inc. 2020. All rights reserved. To view the most recent and complete version of the guideline, go online to NCCN.org. NCCN makes no warranties of any kind whatsoever regarding their content, use or application and disclaims any responsibility for their application or use in any way.

LEVEL OF EVIDENCE NOT PROVIDED

Drugs with potential clinical benefit (or potential lack of clinical benefit) are not evaluated for source or level of published evidence.

NO GUARANTEE OF CLINICAL BENEFIT

Foundation Medicine makes no promises or guarantees that a particular drug will be effective in the treatment of disease of any patient. This report also makes no promises or guarantees that a drug with potential lack of clinical benefit will in fact provide no clinical benefit.

NO GUARANTEE OF REIMBURSEMENT

Foundation Medicine makes no promises or guarantees that a healthcare provider, insurer or other third party payor, whether private or governmental, will reimburse a patient for the cost of FoundationOne CDx.

TREATMENT DECISIONS ARE RESPONSIBILITY OF PHYSICIAN

Drugs referenced may not be suitable for a particular patient. The selection of any, all or none of the drugs associated with potential clinical benefit (or potential lack of clinical benefit) resides with the physician. Indeed, the information in this Report must be considered in conjunction with all other relevant information regarding a particular patient, before the patient's treating physician recommends a course of treatment. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all applicable information concerning the patient's condition, such as patient and family history, physical examinations, information from other diagnostic tests, and patient preferences, in accordance with the standard of care in a given community. A treating physician's decisions should not be based on a single test, such as this Test, or the

information contained in this Report.

LOSS OF HETEROZYGOSITY SCORE

The LOH score is determined by analyzing SNPs spaced at 1Mb intervals across the genome on the FoundationOne CDx test and extrapolating an LOH profile, excluding arm- and chromosome-wide LOH segments. The LOH score result may be reported for epithelial ovarian, peritoneal, or Fallopian tube carcinomas. The LOH score will be reported as “Cannot Be Determined” if the sample is not of sufficient quality to confidently determine LOH.

MICROSATELLITE STATUS

For Microsatellite Instability (MSI) results, confirmatory testing using a validated orthogonal method should be considered.

TUMOR MUTATIONAL BURDEN

Tumor Mutational Burden (TMB) is determined by measuring the number of somatic mutations in sequenced genes on the FoundationOne CDx test and extrapolating to the genome as a whole. TMB is assayed for all FoundationOne CDx samples and is reported in Professional Services as the number of mutations per megabase (Muts/Mb) rounded to the nearest integer. Tumor Mutational Burden is reported as “Cannot Be Determined” if the sample is not of sufficient quality to confidently determine Tumor Mutational Burden.

Genomic Findings with Evidence of Clinical Significance

Genomic findings listed at Level 2 are associated with clinical significance. Clinical significance may be indicated by evidence of therapeutic sensitivity or resistance and/or diagnostic, prognostic or other clinically relevant implications. Included in this category will be findings associated with clinical validity as supported by professional guidelines and/or peer-reviewed publications.

Genomic Findings with Potential Clinical Significance

Genomic findings listed at Level 3 are cancer-related mutations and biomarkers with potential clinical significance. These include findings in genes known to be associated with cancer and are supported by evidence from publicly available databases, and/or peer-reviewed publications.

A Fluid Approach to Reporting Levels

As additional information becomes available, as recognized by the clinical community (professional guidelines and/or peer-reviewed publications), findings may move between Levels 2 and 3 in accordance with the above descriptions.

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SELECT ABBREVIATIONS

ABBREVIATION	DEFINITION
CR	Complete response
DCR	Disease control rate
DNMT	DNA methyltransferase
HR	Hazard ratio
ITD	Internal tandem duplication
MMR	Mismatch repair
mut/Mb	Mutations per megabase
NOS	Not otherwise specified
ORR	Objective response rate
OS	Overall survival
PD	Progressive disease
PFS	Progression-free survival
PR	Partial response
SD	Stable disease
TKI	Tyrosine kinase inhibitor

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APPENDIX

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