

TUMOR TYPE Breast invasive ductal carcinoma (IDC) REPORT DATE

ORDERED TEST #

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DISEASE Breast invasive ductal carcinoma (IDC) NAME DATE OF BIRTH SEX MEDICAL RECORD #

PHYSICIAN

ORDERING PHYSICIAN MEDICAL FACILITY ADDITIONAL RECIPIENT MEDICAL FACILITY ID PATHOLOGIST SPECIMEN

SPECIMEN SITE SPECIMEN ID SPECIMEN TYPE DATE OF COLLECTION SPECIMEN RECEIVED

Companion Diagnostic (CDx) Associated Findings

GENOMIC FINDINGS DETECTED

PIK3CA E545K

Piqray[®] (Alpelisib)

FDA-APPROVED THERAPEUTIC OPTIONS

OTHER ALTERATIONS & BIOMARKERS IDENTIFIED Results reported in this section are not prescriptive or conclusive for labeled use of any specific therapeutic product. Security of the section for additional information. Microsatellite status MS-Stable § Microsatellite status MS-Stable § MAP2K4 loss § Tumor Mutational Burden 4 Muts/Mb § STAG2 splice site 2674-1G>C BRCA2 P628fs*16 STK/1 F231L FANCG FANCG (NM_004629) rearrangement intron 11 § TP53 C275F § Refer to appendix for limitation statements related to detection of any copy number alterations, gene rearrangements, BRCA1/2 alterations, LOH, MSI, or TMB results in this section. Please refer to appendix for Explanation of Clinical Significance Classification and for variants of unknown significance (VUS).

Note: The intended use (IU) statement and claims made on this sample report may not be up to date. For the latest version of the FoundationOne CDx claims and IU, please see the current label: <u>www.foundationmedicine.com/f1cdx</u>

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ABOUT THE TEST FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

Sample Preparation: 150 Second St., 1st Floor, Cambridge, MA 02141 • CLIA: 22D2027531
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FoundationOne*CDx (FICDx) is a qualitative next generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapeutic product labeling. Additionally, FICDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (FICDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the Rubraca product label.

The F1CDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

Non-small cell lung cancer (NSCLC)	EGFR exon 19 deletions and EGFR exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarceva® (Erlotinib)
	EGFR exon 20 1790M alterations	Tagrisso® (Osimertinib)
	ALK rearrangements	Alecensa® (Alectinib), Alunbrig® (Brigatinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	BRAF V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
	MET single nucleotide variants (SNVs) and indels that lead to MET exon 14 skipping	Tabrecta® (Capmatinib)
Melanoma	BRAF V600E	Tafinlar [®] (Dabrafenib) or Zelboraf [®] (Vemurafenib)
	BRAF V600E and V600K	Mekinist $^{\otimes}$ (Trametinib) or Cotellic $^{\otimes}$ (Cobimetinib) in combination with Zelboraf $^{\otimes}$ (Vemurafenib)
Breast cancer	ERBB2 (HER2) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	<i>PIK3CA</i> C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	KRAS wild-type (absence of mutations in codons 12 and 13)	Erbitux® (Cetuximab)
	KRAS wild-type (absence of mutations in exons 2, 3, and 4) and NRAS wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	BRCA1/2 alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	FGFR2 fusions and select rearrangements	Pemazyre [®] (Pemigatinib) or Truseltiq [™] (Infigratinib)
Prostate cancer	Homologous Recombination Repair (HRR) gene (BRCA1, BRCA2, ATM, BARD1, BRIP1, CDK12, CHEK1, CHEK2, FANCL, PALB2, RAD51B, RAD51C, RAD51D and RAD54L) alterations	Lynparza® (Olaparib)
Solid Tumors	$TMB \ge 10$ mutations per megabase	Keytruda® (Pembrolizumab)
	NTRK1/2/3 fusions	Vitrakvi® (Larotrectinib)

THERAPY

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ABOUT THE TEST FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

BIOMARKER

INDICATION

Electronically signed by Douglas Lin, M.D. | Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531 Shakti Ramkissoon, M.D., Ph.D., M.M. Sc, Laboratory Director CLIA: 34D2044309 Foundation Medicine, Inc. | 1.888.988.3639 Sample Preparation: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309 Sample Analysis: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309 Post-Sequencing Analysis: 150 Second St., 1st Floor. Cambridge, MA 02141 · CLIA: 22D2027531



TUMOR TYPE Breast invasive ductal carcinoma (IDC) COUNTRY CODE

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ABOUT THE TEST FoundationOne®CDx is a next-generation sequencing (NGS) based assay that identifies genomic findings within hundreds of cancer-related genes. Interpretive content on this page and subsequent pages is provided as a professional service, and is not reviewed or approved by the FDA.

DISEASE Breast invasive ductal carcinoma (IDC) PATIENT NAME DATE OF BIRTH SEX

Biomarker Findings

Microsatellite status - MS-Stable Tumor Mutational Burden - 4 Muts/Mb

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix. BRCA2 P628fs*16 PIK3CA E545K - subclonal[†] STK11 F231L - subclonal FANCG rearrangement intron 11 MAP2K4 loss STAG2 splice site 2674-1G>C TP53 C275F

2 Disease relevant genes with no reportable alterations: BRCA1, ERBB2

† See About the Test in appendix for details.

ORDERING PHYSICIAN MEDICAL FACILITY ADDITIONAL RECIPIENT MEDICAL FACILITY ID PATHOLOGIST

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i.	SPECIMEN SITE
÷	SPECIMEN ID
÷	SPECIMEN TYPE
1	DATE OF COLLECTION
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SPECIMEN

Report Highlights

- Targeted therapies with NCCN categories of evidence in this tumor type: Alpelisib + Fulvestrant (p. 10), Olaparib (p. 11), Talazoparib (p. 12), Everolimus (p. 13)
- Variants that may inform nontargeted treatment approaches (e.g., chemotherapy) in this tumor type: BRCA2 P628fs*16 (p. 5)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 15)
- Variants in select cancer susceptibility genes to consider for possible follow-up germline testing in the appropriate clinical context: BRCA2 P628fs*16 (p. 5)

BIOMARKER FINDINGS

Microsatellite status - MS-Stable

Tumor Mutational Burden - 4 Muts/Mb

GENOMIC FINDINGS

BRCA2 - P628fs*16

10 Trials see p. 15

PIK3CA - E545K - subclonal

10 Trials see p. 17

STK11 - F231L - subclonal

8 Trials see p. 19

THERAPY AND CLINICAL TRIAL IMPLICATIONS

No therapies or clinical trials. see Biomarker Findings section

No therapies or clinical trials. see Biomarker Findings section

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
Olaparib 1	Niraparib
Talazoparib 1	Rucaparib
Alpelisib + Fulvestrant	Everolimus 2A
	Temsirolimus
none	none
	NCCN Category

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Electronically signed by Julia A. Elvin, M.D., Ph.D. | 01 June 2021 Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531 Shakti Ramkissoon, M.D., Ph.D., M.M. Sc, Laboratory Director CLIA: 34D2044309 Foundation Medicine, Inc. | 1.888.988.3639

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VARIANTS TO CONSIDER FOR FOLLOW-UP GERMLINE TESTING IN SELECT CANCER SUSCEPTIBILITY GENES

Findings below have been previously reported as pathogenic germline in the ClinVar genomic database and were detected at an allele frequency of >10%. See appendix for details.

BRCA2 - P628fs*16

.... p. 5

This report does not indicate whether variants listed above are germline or somatic in this patient. In the appropriate clinical context, follow-up germline testing would be needed to determine whether a finding is germline or somatic.

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

FANCG - rearrangement intron 11 p. 7	STAG2 - splice site 2674-1G>C p. 8
MAP2K4 - lossp. 8	<i>TP53</i> - C275F p. 9

NOTE Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type.

Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, not are they ranked in order of level of evidence for this patient's tumor type.

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BIOMARKER FINDINGS

ORDERED TEST #

BIOMARKER Microsatellite status

RESULT MS-Stable

POTENTIAL TREATMENT STRATEGIES

- Targeted Therapies -

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors¹⁻³, including approved therapies nivolumab and pembrolizumab⁴. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, p=0.001)⁵.

FREQUENCY & PROGNOSIS

MSI is extremely rare in breast cancer, reported in o-1% of cases across studies⁶⁻¹¹. The incidence of MSI is increased in triple-negative breast cancer⁹⁻¹¹ and in tumors with homologous recombination defects, such as mutations in BRCA1/2^{9,11}. Notably, in Lynch syndrome-related breast cancer, MSI has been reported in 51-85% of cases¹²⁻¹⁷. A prospective study of 123 patients with breast cancer treated with chemotherapy reported an increase in the incidence of MSI-H following chemotherapy treatment (from 0% pre-treatment to 19% post-treatment) and a significant association between MSI and tumor recurrence¹⁸.

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor¹⁹. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS219-21. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers²²⁻²⁴. MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins19,21,23-24.

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BIOMARKER FINDINGS

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Tumor Mutational Burden

RESULT 4 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

Targeted Therapies On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L125-27, anti-PD-1 therapies25-28, and combination nivolumab and ipilimumab²⁹⁻³⁴. In multiple pan-tumor studies, higher TMB has been reported to be associated with increased ORR and OS from treatment with immune checkpoint inhibitors^{25-28,35}. Higher TMB was found to be significantly associated with improved OS upon immune checkpoint inhibitor treatment for patients with 9 types of advanced tumors²⁵. Analyses across several solid tumor types reported that patients with higher TMB (defined as ≥16-20 Muts/Mb) achieved greater clinical benefit from PD-1 or PD-L1-targeting monotherapy, compared with patients with higher TMB treated with chemotherapy36 or those with lower TMB treated with PD-1 or PD-L1-targeting agents²⁶. However, the KEYNOTE 158 trial of pembrolizumab monotherapy for patients with solid tumors found significant improvement in ORR for patients with TMB \geq 10 Muts/Mb (based on this assay or others)

TMB \geq 10 Muts/Mb (based on this assay or others) compared to those with TMB <10 Muts/Mb, in a large cohort that included multiple tumor types;

similar findings were observed in the KEYNOTE 028 and 012 trials^{28,35}. Together, these studies suggest that patients with TMB \geq 10 Muts/Mb may derive clinical benefit from PD-1 or PD-L1 inhibitors.

FREQUENCY & PROGNOSIS

One study reported that invasive ductal carcinoma (IDC) of the breast has a median TMB of 3.6 mutations per megabase (Muts/Mb), with 1.4% of cases harboring TMB ≥20 Muts/Mb³⁷. Another study found that 4% of breast IDC cases have TMB ≥10 Muts/Mb, with a higher frequency of such elevated TMB (7.8%) in metastatic samples³⁸. A study of 3.969 patients with breast cancer reported a median TMB of 2.63 mutations per megabase (Muts/Mb), with 5% of cases harboring, TMB ≥10 Muts/Mb; median TMB was significantly higher in hormone receptor (HR)negative and HER2-negative tumors than HRpositive or HER2-positive tumors³⁸. The Breast Invasive Carcinoma TCGA analysis reported an average (non-silent) mutation load of 0.84 Muts/ Mb for luminal A tumors, 1.38 Muts/Mb for luminal B tumors, 2.05 Muts/Mb for HER2-enriched tumors, and 1.68 Muts/Mb for basal-like tumors³⁹. In breast cancer, TMB is significantly higher in recurrent versus primary tumors, metastatic versus localized cancers, triplenegative versus HR-positive tumors, and CDH1-mutated versus CDH1-wildtype tumors^{38,40-41}. Among metastatic tumors, TMBhigh samples have been reported more frequently in invasive lobular carcinoma (9-17% of cases, depending on the TMB cutoff to designate TMBhigh) than in invasive ductal carcinoma (2-8% of cases, depending on the cutoff), and TMB-high (at

either cutoff) has not been observed in papillary carcinoma^{38,40-41}. Breast carcinoma harbors a median TMB of 3.8 muts/Mb, and 3.1% of cases have high TMB (>20 muts/Mb)³⁷. In a large study of patients with breast cancer, hypermutation was more frequently observed in metastatic tumors than in primary tumors³⁸. In a study of 14,867 patients with breast cancer, high TMB was associated with older age and metastatic disease but was not significantly associated with PD-L1 positivity using the TMB cutoff of \geq 10 Muts/Mb⁴¹. In estrogen receptor-positive breast cancer, increased TMB in tissue samples (>mean of 1.25 Muts/Mb) associated with shorter OS (HR=2.02) in an analysis of the TCGA data⁴².

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma43-44 and cigarette smoke in lung cancer⁴⁵⁻⁴⁶, treatment with temozolomide-based chemotherapy in glioma47-48, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes⁴⁹⁻⁵³, and microsatellite instability (MSI)^{49,52-53}. This sample harbors a TMB level associated with lower rates of clinical benefit from treatment with PD-1- or PD-L1-targeting immune checkpoint inhibitors compared with patients with tumors harboring higher TMB levels, based on several studies in multiple solid tumor types^{26-27,35}.

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