

PATIENT

DISEASE Lung adenocarcinoma
NAME
DATE OF BIRTH
SEX
MEDICAL RECORD #

PHYSICIAN

ORDERING PHYSICIAN
MEDICAL FACILITY
ADDITIONAL RECIPIENT
MEDICAL FACILITY ID
PATHOLOGIST

SPECIMEN

SPECIMEN SITE
SPECIMEN ID
SPECIMEN TYPE
DATE OF COLLECTION
SPECIMEN RECEIVED

Companion Diagnostic (CDx) Associated Findings

GENOMIC FINDINGS DETECTED	FDA-APPROVED THERAPEUTIC OPTIONS
EGFR L858R	Gilotrif® (Afatinib) Iressa® (Gefitinib) Tagrisso® (Osimertinib) Tarceva® (Erlotinib)
Tumor Mutational Burden (TMB) ≥ 10 Muts/Mb	Keytruda® (Pembrolizumab)

OTHER ALTERATIONS & BIOMARKERS IDENTIFIED

Results reported in this section are not prescriptive or conclusive for labeled use of any specific therapeutic product. See *professional services* section for additional information.

Microsatellite status MS-Stable[§]
Tumor Mutational Burden 11 Muts/Mb[§]
CASP8 R452*

MAP3K13 S867*

NOTCH3 S2032fs*53

RB1 loss[§]
TP53 C176F

XP01 Q1053E

[§] Refer to appendix for limitation statements related to detection of any copy number alterations, gene rearrangements, LOH, MSI, or TMB results in this section.;

Please refer to appendix for Explanation of Clinical Significance Classification and for variants of unknown significance (VUS).

Note: The intended use (IU) statement and claims made on this sample report may not be up to date. For the latest version of the FoundationOne CDx claims and IU, please see the current label: www.foundationmedicine.com/f1cdx

© 2022 Foundation Medicine, Inc. All rights reserved.

ABOUT THE TEST FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

Electronically signed by Nimesh Patel, M.D. |
Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309
Foundation Medicine, Inc. | 1.888.988.3639

Sample Preparation: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309
Sample Analysis: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309
Post-Sequencing Analysis: 150 Second St., 1st Floor. Cambridge, MA 02141 · CLIA: 22D2027531

FoundationOne®CDx (FICDx) is a qualitative next generation sequencing based in vitro diagnostic test that uses targeted high throughput hybridization-based capture technology for detection of substitutions, insertion and deletion alterations (indels), and copy number alterations (CNAs) in 324 genes and select gene rearrangements, as well as genomic signatures including microsatellite instability (MSI) and tumor mutational burden (TMB) using DNA isolated from formalin-fixed, paraffin embedded (FFPE) tumor tissue specimens. The test is intended as a companion diagnostic to identify patients who may benefit from treatment with the targeted therapies listed in Table 1 in accordance with the approved therapeutic product labeling. Additionally, FICDx is intended to provide tumor mutation profiling to be used by qualified health care professionals in accordance with professional guidelines in oncology for patients with solid malignant neoplasms. Genomic findings other than those listed in Table 1 are not prescriptive or conclusive for labeled use of any specific therapeutic product.

The test is also used for detection of genomic loss of heterozygosity (LOH) from formalin-fixed, paraffin-embedded (FFPE) ovarian tumor tissue. Positive homologous recombination deficiency (HRD) status (FICDx HRD defined as tBRCA-positive and/or LOH high) in ovarian cancer patients is associated with improved progression-free survival (PFS) from Rubraca (rucaparib) maintenance therapy in accordance with the Rubraca product label.

The FICDx assay is performed at Foundation Medicine, Inc. sites located in Cambridge, MA and Morrisville, NC.

TABLE 1: COMPANION DIAGNOSTIC INDICATIONS

INDICATION	BIOMARKER	THERAPY
Non-small cell lung cancer (NSCLC)	<i>EGFR</i> exon 19 deletions and <i>EGFR</i> exon 21 L858R alterations	Gilotrif® (Afatinib), Iressa® (Gefitinib), Tagrisso® (Osimertinib), or Tarceva® (Erlotinib)
	<i>EGFR</i> exon 20 T790M alterations	Tagrisso® (Osimertinib)
	<i>ALK</i> rearrangements	Alecensa® (Alectinib), Alunbrig® (Brigatinib), Xalkori® (Crizotinib), or Zykadia® (Ceritinib)
	<i>BRAF</i> V600E	Tafinlar® (Dabrafenib) in combination with Mekinist® (Trametinib)
	<i>MET</i> single nucleotide variants (SNVs) and indels that lead to <i>MET</i> exon 14 skipping	Tabrecta® (Capmatinib)
Melanoma	<i>BRAF</i> V600E	Tafinlar® (Dabrafenib) or Zelboraf® (Vemurafenib)
	<i>BRAF</i> V600E and V600K	Mekinist® (Trametinib) or Cotellic® (Cobimetinib) in combination with Zelboraf® (Vemurafenib)
Breast cancer	<i>ERBB2</i> (<i>HER2</i>) amplification	Herceptin® (Trastuzumab), Kadcyla® (Ado-trastuzumab emtansine), or Perjeta® (Pertuzumab)
	<i>PIK3CA</i> C420R, E542K, E545A, E545D [1635G>T only], E545G, E545K, Q546E, Q546R, H1047L, H1047R, and H1047Y alterations	Piqray® (Alpelisib)
Colorectal cancer	<i>KRAS</i> wild-type (absence of mutations in codons 12 and 13)	Erbix® (Cetuximab)
	<i>KRAS</i> wild-type (absence of mutations in exons 2, 3, and 4) and <i>NRAS</i> wild type (absence of mutations in exons 2, 3, and 4)	Vectibix® (Panitumumab)
Ovarian cancer	<i>BRCA1/2</i> alterations	Lynparza® (Olaparib) or Rubraca® (Rucaparib)
Cholangiocarcinoma	<i>FGFR2</i> fusions and select rearrangements	Pemazyre® (Pemigatinib) or Truseltiq™ (Infigratinib)
Prostate cancer	Homologous Recombination Repair (<i>HRR</i>) gene (<i>BRCA1</i> , <i>BRCA2</i> , <i>ATM</i> , <i>BARD1</i> , <i>BRIPI</i> , <i>CDK12</i> , <i>CHEK1</i> , <i>CHEK2</i> , <i>FANCL</i> , <i>PALB2</i> , <i>RAD51B</i> , <i>RAD51C</i> , <i>RAD51D</i> and <i>RAD54L</i>) alterations	Lynparza® (Olaparib)
Solid Tumors	TMB ≥ 10 mutations per megabase	Keytruda® (Pembrolizumab)
	<i>NTRK1/2/3</i> fusions	Vitrakvi® (Larotrectinib)

© 2022 Foundation Medicine, Inc. All rights reserved.

ABOUT THE TEST FoundationOne®CDx is the first FDA-approved broad companion diagnostic for solid tumors.

Electronically signed by Nimesh Patel, M.D. |
Julia Elvin, M.D., Ph.D., Laboratory Director CLIA: 22D2027531
Nimesh R. Patel, M.D., Laboratory Director CLIA: 34D2044309
Foundation Medicine, Inc. | 1.888.988.3639

Sample Preparation: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309
Sample Analysis: 7010 Kit Creek Road, Morrisville, NC 27560 · CLIA: 34D2044309
Post-Sequencing Analysis: 150 Second St., 1st Floor, Cambridge, MA 02141 · CLIA: 22D2027531

ABOUT THE TEST FoundationOne®CDx is the first and only FDA-Approved comprehensive companion diagnostic for all solid tumors. *Interpretive content on this page and subsequent pages is provided as a professional service, and is not reviewed or approved by the FDA.*

PATIENT DISEASE Lung adenocarcinoma NAME DATE OF BIRTH SEX MEDICAL RECORD #	PHYSICIAN ORDERING PHYSICIAN MEDICAL FACILITY ADDITIONAL RECIPIENT MEDICAL FACILITY ID PATHOLOGIST	SPECIMEN SPECIMEN SITE SPECIMEN ID SPECIMEN TYPE DATE OF COLLECTION SPECIMEN RECEIVED
---	--	---

Biomarker Findings

Tumor Mutational Burden - 11 Muts/Mb
Microsatellite status - MS-Stable

Genomic Findings

For a complete list of the genes assayed, please refer to the Appendix.

EGFR L858R
CASP8 R452*
MAP3K13 S867*
NOTCH3 S2032fs*53
RB1 loss exons 12-26
TP53 C176F
XPO1 Q1053E - subclonal†

7 Disease relevant genes with no reportable alterations: **ALK, BRAF, ERBB2, KRAS, MET, RET, ROS1**

† See About the Test in appendix for details.

Report Highlights

- Targeted therapies with NCCN categories of evidence in this tumor type: Afatinib (p. 10), Dacomitinib (p. 12), Erlotinib (p. 15), Gefitinib (p. 16), Osimertinib (p. 18)
- Evidence-matched clinical trial options based on this patient's genomic findings: (p. 21)

BIOMARKER FINDINGS

Tumor Mutational Burden - 11 Muts/Mb

10 Trials see p. 21

Microsatellite status - MS-Stable

THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)

Atezolizumab
 Cemiplimab
 Dostarlimab
 Durvalumab
 Nivolumab
 Nivolumab + Ipilimumab
 Pembrolizumab

THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)

Avelumab

No therapies or clinical trials. see Biomarker Findings section

GENOMIC FINDINGS	THERAPIES WITH CLINICAL RELEVANCE (IN PATIENT'S TUMOR TYPE)	THERAPIES WITH CLINICAL RELEVANCE (IN OTHER TUMOR TYPE)
EGFR - L858R	Afatinib <input type="checkbox"/> 1	none
	Dacomitinib <input type="checkbox"/> 1	
	Erlotinib <input type="checkbox"/> 1	
	Gefitinib <input type="checkbox"/> 1	
	Osimertinib <input type="checkbox"/> 1	
10 Trials see p. 23		

☐ NCCN category

GENOMIC FINDINGS WITH NO REPORTABLE THERAPEUTIC OR CLINICAL TRIAL OPTIONS

For more information regarding biological and clinical significance, including prognostic, diagnostic, germline, and potential chemosensitivity implications, see the Genomic Findings section.

CASP8 - R452* p. 5	RB1 - loss exons 12-26 p. 7
MAP3K13 - S867* p. 5	TP53 - C176F p. 8
NOTCH3 - S2032fs*53 p. 6	XPO1 - Q1053E - subclonal p. 9

NOTE Genomic alterations detected may be associated with activity of certain FDA-approved drugs; however, the agents listed in this report may have varied clinical evidence in the patient's tumor type.

Neither the therapeutic agents nor the trials identified are ranked in order of potential or predicted efficacy for this patient, nor are they ranked in order of level of evidence for this patient's tumor type.

ORDERED TEST #

BIOMARKER FINDINGS

BIOMARKER

Tumor Mutational Burden

RESULT

11 Muts/Mb

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence in solid tumors, increased TMB may be associated with greater sensitivity to immunotherapeutic agents, including anti-PD-L1¹⁻³, anti-PD-1 therapies¹⁻⁴, and combination nivolumab and ipilimumab⁵⁻¹⁰. Multiple clinical trials of PD-1- or PD-L1-targeting immune checkpoint inhibitors or combination of PD-1 and CTLA-4 inhibitors in NSCLC have reported that patients with tumors harboring TMB ≥ 10 Muts/Mb derive greater clinical benefit from these therapies than those with TMB < 10 Muts/Mb (based on this assay or others); similarly, higher efficacy of anti-PD-1 or anti-PD-L1 immunotherapy for treatment of patients with NSCLC, compared with the use of chemotherapy, has been observed more significantly in cases of TMB ≥ 10 Muts/Mb (based on this assay or others)^{1-2,5-7,11-18}. Improved OS of patients with

NSCLC treated with pembrolizumab plus chemotherapy relative to chemotherapy only¹⁹, or those treated with nivolumab plus ipilimumab also relative to chemotherapy²⁰, has been observed across all TMB levels.

FREQUENCY & PROGNOSIS

A large-scale genomic analysis found that unspecified lung non-small cell lung carcinoma (NSCLC), lung adenocarcinoma, and lung squamous cell carcinoma (SCC) samples harbored median TMBs between 6.3 and 9 Muts/Mb, and 12% to 17% of cases had an elevated TMB of greater than 20 Muts/Mb²¹. Lower TMB is observed more commonly in NSCLCs harboring known driver mutations (EGFR, ALK, ROS1, or MET) with the exception of BRAF or KRAS mutations, which are commonly observed in elevated TMB cases²². Although some studies have reported a lack of association between smoking and mutational burden in NSCLC²³⁻²⁴, several other large studies did find a strong association with increased TMB²⁵⁻²⁸. TMB > 10 muts/Mb was found to be more frequent in NSCLC metastases compared with primary tumors for both adenocarcinoma (38% vs. 25%) and SCC (41% vs. 35%) subtypes²⁹. A large study of Chinese patients with lung adenocarcinoma reported a shorter median OS for tumors with a higher number of mutations in a limited gene set compared with a

lower mutation number (48.4 vs. 61.0 months)²³. Another study of patients with NSCLC correlated elevated TMB with poorer prognosis and significantly associated lower TMB in combination with PD-L1 negative status with longer median survival in patients with lung adenocarcinoma³⁰. However, no significant prognostic association of TMB and/or PD-L1 status with survival has been reported in patients with lung SCC³⁰⁻³¹.

FINDING SUMMARY

Tumor mutation burden (TMB, also known as mutation load) is a measure of the number of somatic protein-coding base substitution and insertion/deletion mutations occurring in a tumor specimen. TMB is affected by a variety of causes, including exposure to mutagens such as ultraviolet light in melanoma³²⁻³³ and cigarette smoke in lung cancer^{11,34}, treatment with temozolomide-based chemotherapy in glioma³⁵⁻³⁶, mutations in the proofreading domains of DNA polymerases encoded by the POLE and POLD1 genes³⁷⁻⁴¹, and microsatellite instability (MSI)^{37,40-41}. This sample harbors a TMB level that may be associated with sensitivity to PD-1- or PD-L1-targeting immune checkpoint inhibitors, alone or in combination with other agents^{1-2,5-7,11-18,22,42-51}.

BIOMARKER

Microsatellite status

RESULT

MS-Stable

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

On the basis of clinical evidence, MSS tumors are significantly less likely than MSI-H tumors to respond to anti-PD-1 immune checkpoint inhibitors⁵²⁻⁵⁴, including approved therapies nivolumab and pembrolizumab⁵⁵. In a retrospective analysis of 361 patients with solid tumors treated with pembrolizumab, 3% were

MSI-H and experienced a significantly higher ORR compared with non-MSI-H cases (70% vs. 12%, $p=0.001$)⁵⁶.

FREQUENCY & PROGNOSIS

MSI-H is generally infrequent in NSCLC, reported in fewer than 1% of samples across several large studies⁵⁷⁻⁶², whereas data on the reported incidence of MSI-H in SCLC has been limited and conflicting⁶³⁻⁶⁶. One study reported MSI-H in lung adenocarcinoma patients with smoking history, and 3 of 4 MSI-H patients examined also had metachronous carcinomas in other organs, although this has not been investigated in large scale studies⁵⁷. Published data investigating the prognostic implications of MSI in NSCLC are limited (PubMed, Oct 2021).

FINDING SUMMARY

Microsatellite instability (MSI) is a condition of genetic hypermutability that generates excessive amounts of short insertion/deletion mutations in the genome; it generally occurs at microsatellite DNA sequences and is caused by a deficiency in DNA mismatch repair (MMR) in the tumor⁶⁷. Defective MMR and consequent MSI occur as a result of genetic or epigenetic inactivation of one of the MMR pathway proteins, primarily MLH1, MSH2, MSH6, or PMS2⁶⁷⁻⁶⁹. This sample is microsatellite-stable (MSS), equivalent to the clinical definition of an MSS tumor: one with mutations in none of the tested microsatellite markers⁷⁰⁻⁷². MSS status indicates MMR proficiency and typically correlates with intact expression of all MMR family proteins^{67,69,71-72}.

ORDERED TEST #

GENOMIC FINDINGS

GENE

EGFR

ALTERATION

L858R

TRANSCRIPT ID

NM_005228

CODING SEQUENCE EFFECT

2573T>G

VARIANT ALLELE FREQUENCY (% VAF)

58.9%

POTENTIAL TREATMENT STRATEGIES

— Targeted Therapies —

For patients with non-small cell lung cancer, EGFR activating mutations may predict sensitivity to EGFR TKIs, including erlotinib⁷³, gefitinib⁷⁴, afatinib⁷⁵, dacomitinib⁷⁶, and osimertinib⁷⁷; however, the data for patients with other tumor types are limited⁷⁸⁻⁸³. The Phase 1 CHRYSLIS study of amivantamab monotherapy or in combination with lazertinib for the treatment of EGFR-mutated non-small cell lung cancer (NSCLC) has produced encouraging preliminary results for treatment-naïve patients and patients who relapsed after treatment with osimertinib with and without chemotherapy, including osimertinib-relapsed patients with biomarkers indicating EGFR/MET-based osimertinib resistance⁸⁴⁻⁸⁷. In a Phase 1 trial, the HER3-targeted antibody patritumab deruxtecan elicited an ORR of 39% (22/57, 1 CR) and a median

PFS of 8.2 months for patients with non-small cell lung cancer previously treated with an EGFR TKI, many of whom displayed TKI resistance alterations⁸⁸. A Phase 1 trial evaluating the EGFR inhibitor AZD3759 reported a reduction in the volume of brain metastases in 40% (8/20) of patients with previously treated non-small cell lung cancer (NSCLC) harboring either the EGFR L858R alteration or EGFR exon 19 deletion, including 3 confirmed PRs and 3 unconfirmed PRs⁸⁹⁻⁹⁰. In a Phase 1/2 trial for advanced NSCLC, the brain-penetrant third-generation EGFR TKI lazertinib enabled ORRs of 54% (69/127) for all evaluable patients and 44% (8/18, intracranial) for patients with brain metastases⁹¹. The Phase 3 IMPower150 study showed that the addition of atezolizumab to bevacizumab plus chemotherapy treatment also had clinical efficacy for patients with EGFR-mutated or ALK-rearranged metastatic NSCLC⁹²; therefore, the patient's clinical context should be considered.

FREQUENCY & PROGNOSIS

EGFR mutation has been reported in 12-36% of lung adenocarcinomas^{27,93-94} and in 4% of lung squamous cell carcinomas⁹⁵. EGFR protein expression/overexpression has been reported in up to 70% of NSCLC cases⁹⁶⁻¹⁰¹. In addition, expression of EGFR protein has been shown to be higher in lung squamous cell carcinoma samples as compared to lung adenocarcinoma¹⁰²⁻¹⁰³. In the context of metastatic non-small cell lung cancer (NSCLC), patients with EGFR sensitizing mutations and concurrent alterations in both RB1

and TP53 (triple-mutant), as seen here, may be at significantly higher risk of transformation to small cell lung cancer (SCLC), a mechanism of resistance to treatment with EGFR inhibitors; median time from advanced NSCLC diagnosis to SCLC transformation has been reported to be 17.8 months¹⁰⁴⁻¹⁰⁶. A retrospective study reported SCLC transformation in 18% (7/39) of patients with triple-mutant NSCLC and a shorter time to initial EGFR inhibitor discontinuation in these patients (9.5 months) compared to that in patients with EGFR/TP53-mutant NSCLC (12.3 months) or in patients with NSCLC harboring EGFR mutations only (36.6 months)¹⁰⁶. In patients with lung adenocarcinoma, EGFR mutation was a predictor of poor overall survival¹⁰⁷⁻¹⁰⁸. However, EGFR mutations have been reported to predict improved survival in patients with resected Stage 1-3 lung adenocarcinoma¹⁰⁹ or resected Stage 1 NSCLC¹¹⁰.

FINDING SUMMARY

EGFR encodes the epidermal growth factor receptor, which belongs to a class of proteins called receptor tyrosine kinases. In response to signals from the environment, EGFR passes biochemical messages to the cell that stimulate it to grow and divide¹¹¹. EGFR L858 is located in the kinase domain and is encoded by exon 21. EGFR L858R has been characterized as activating¹¹²⁻¹¹⁴ and patients with the L858R mutation have been shown to be sensitive to EGFR tyrosine kinase inhibitors, such as erlotinib, gefitinib¹¹²⁻¹¹⁴, and afatinib¹¹⁵.