

Introduction

In terms of the General Code of Conduct of the Financial Advisory and Intermediary Services Act, 2002 (FAIS Act), 10X Investments (Pty) Ltd (Registration number: 2005/033587/07) is required to disclose the information in this document to you.

You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us.

You are entitled to a copy of this document for your own records.

Authorised financial service provider

10X Investments (Pty) Ltd is an authorised financial service provider (FSP number: 28250) in terms of Section 8 of the FAIS Act. A copy of our license certificate is available on request.

Our contact details are:

Physical and postal address:	Terraces 14 th Floor, Office 01401, 34 Bree Street, Cape Town, 8001
Telephone number:	+27 21 412 1010
Email address:	info@10x.co.za

Compliance Officer

Mandlenkosi Hendricks and Christelle Granger are the appointed compliance officers of 10X Investments (Pty) Ltd. Compliance Officer contact details:

Telephone number:	+27 21 409 2566
Email address:	compliance@10x.co.za

Financial services and products

10X Investments (Pty) Ltd is authorised to render advisory and intermediary financial services in respect of the following financial products:

Category Description	Advice Non-automated	Intermediary Other
CATEGORY 1		
Long-Term Insurance subcategory A	X	X
Long-Term Insurance subcategory B1	X	X
Long-term insurance subcategory B2	X	X
Long-term Insurance subcategory B2-A	X	X
Long-term Insurance subcategory B1-A	X	X
Long-Term Insurance subcategory C	X	X
Retail Pension Benefits	X	X
Pension Funds Benefits	X	X
Shares	X	X
Money market instruments	X	X
Debentures and securitised debt	X	X
Warrants, certificates, and other instruments	X	X
Bonds	X	X
Derivative instruments	X	X
Participatory interests in a collective investment scheme	X	X
CATEGORY II - DISCRETIONARY FSP		
Retail Pension Benefits		X
Pension Funds Benefits		X
Shares		X
Money market instruments		X
Debentures and securitised debt		X
Warrants, certificates and other instruments		X
Bonds		X
Derivative instruments		X
Participatory interests in one or more collective investment schemes		X

Details of product suppliers

Details available on request.

10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, 34 Bree Street, Cape Town, 8001
T: 021 412 1010 E: info@10x.co.za

Authorised Key Individual and Representatives

Key Individuals:

Geoffrey Graham Blount and Christopher James Eddy.

Details of 10X Investments (Pty) Ltd Authorised Representatives are available on the Financial Sector Conduct Authority (FSCA) website (under the [Regulated Entities and Persons > FAIS page](#)).

Conflict of Interest Management Policy

10X Investments (Pty) Ltd has adopted and implemented a Conflict-of-Interest Management Policy that complies with the provisions of the FAIS Act. The conflict-of-interest management policy is published on the website of 10X Investments (Pty) Ltd at www.10x.co.za and can also be obtained on request from compliance@10x.co.za.

Indemnity cover

10X Investments (Pty) Ltd holds Professional Indemnity and Fidelity cover.

Financial Intelligence Centre Act (FICA)

In terms of FICA, 10X Investments (Pty) Ltd is an accountable institution. We are required to identify our prospective clients, verify the given information, and keep records of the verifying documents.

We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

Complaints

Should you wish to pursue a complaint against a key individual or representative of 10X Investments (Pty) Ltd, you should address the complaint in writing to complaints@10x.co.za

If you are unhappy with the way your complaint has been handled or if we cannot satisfactorily resolve your complaint within a period of 6 weeks, you are entitled to refer your complaint to the Office of the Ombud for Financial Services Providers (the "FAIS Ombud").

The contact details of the FAIS Ombud are as follows:

Telephone number:	012 762 5000
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10X Investments (Pty) Ltd: The Terraces, 14th Floor, Office 01401, 34 Bree Street, Cape Town, 8001
T: 021 412 1010 E: info@10x.co.za

Share call:	086 066 3247
E-mail:	info@faisombud.co.za
Postal address:	P.O. Box 74571 Lynnwood Ridge, 0040
Website:	www.faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

Disclaimer

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Investors should seek independent professional financial, legal and tax advice relevant to their individual circumstances before making any investment decision.